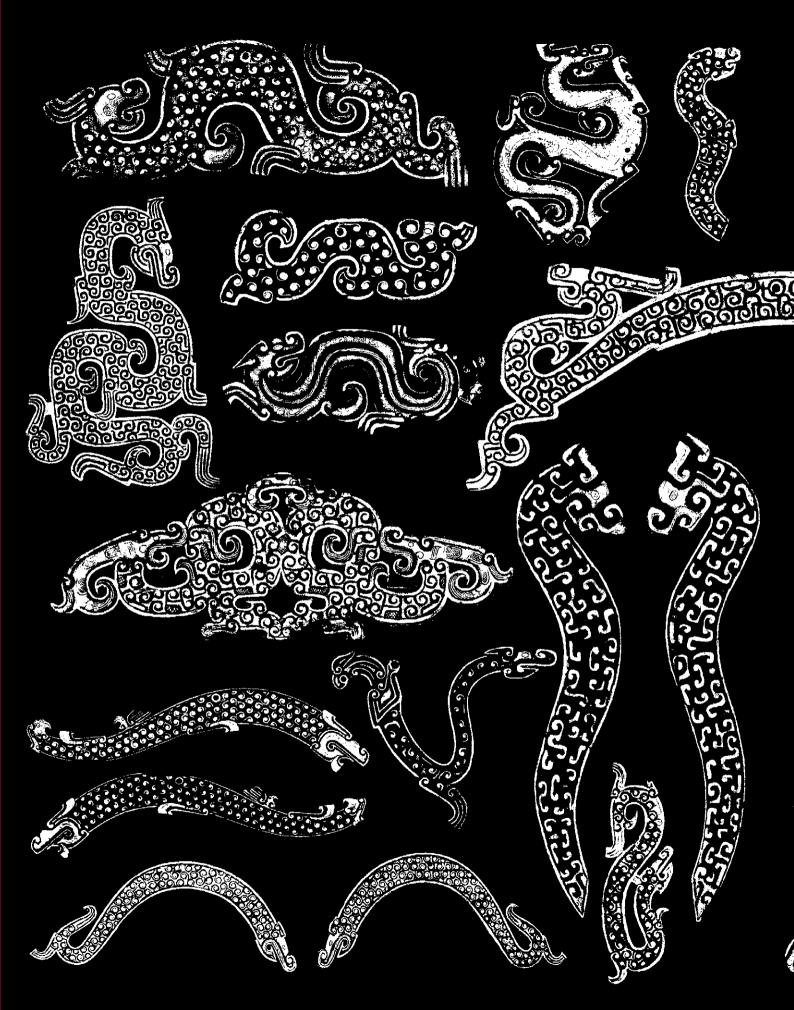
## 雲中玉筵

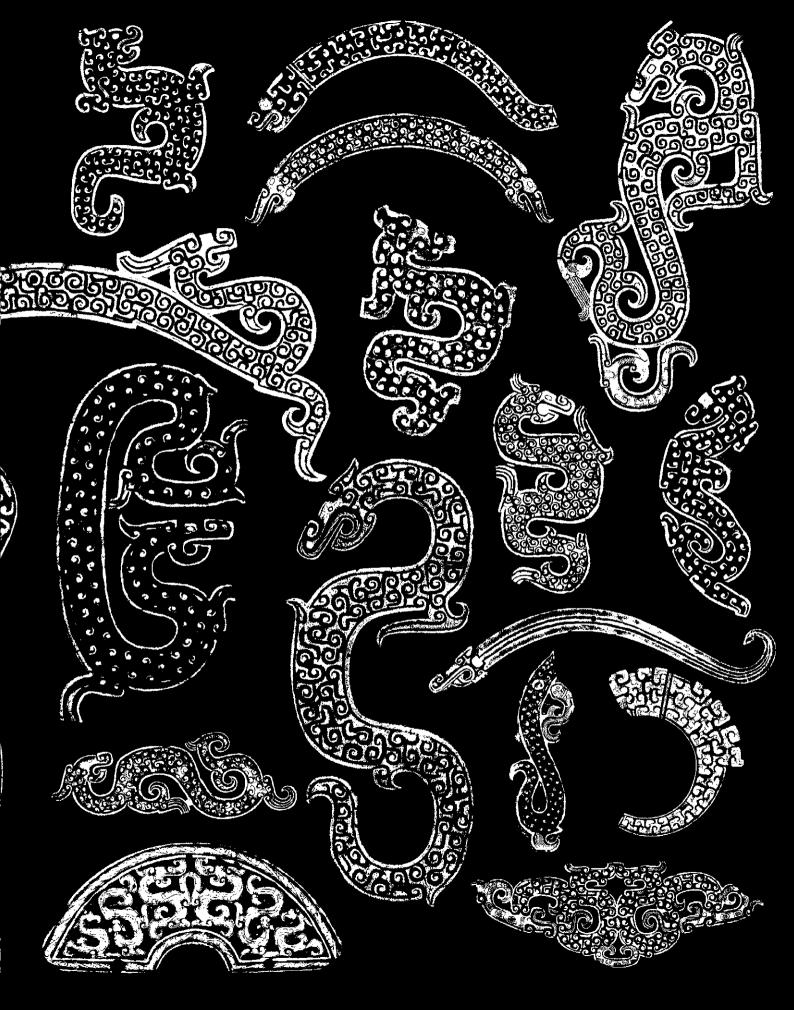
# THE CHANG WEI-HWA COLLECTION OF ARCHAIC JADES

Hong Kong, 3 December 2021 | 香港 2021年12月3日



CHRISTIE'S 佳士得





## 雲中玉筵

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# THE CHANG WEI-HWA COLLECTION OF ARCHAIC JADES – SPRING AND AUTUMN & WARRING STATES PERIODS

#### 雲中玉筵

重要亞洲私人古玉收藏: 春秋戰國篇

FRIDAY 3 DECEMBER 2021 · 2021 年 12 月 3 日 (星期五)

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### CHRISTIE'S 佳士得



## 雲中玉筵-春秋戰國篇 張偉華

很快的,「雲中玉筵」又將舉行第三次的古玉饗宴

繼 2019 年「新石器時代篇」、2020 年「夏商西周篇」受到大家 熱烈的支持,今年秋拍,接續推出「春秋戰國篇」77 組件精彩 拍品與藏家同好分享。

這次「雲中玉筵――春秋戰國篇」,規劃了「群龍爭勝」、「秦 式玉器」、「戰國玉印」及「戰國帶鈎」等兼具藝術審美、學 術文獻、實物考證多重價值的單元

「群龍爭勝」,是解析春秋戰國玉器中最重要的「龍形」與「龍紋」,以體現此時期諸侯攻伐征戰的國力展現,拍品中如「拍品 2754 春秋晚期至戰國早期,玉援鳳首銅內戈」、「拍品 2724 春秋晚期/戰國早期 玉雲龍紋壁」……等都是稀有的重器 並輔以精確淸晰的拓印對照,對「龍形」與「龍紋」春秋渾厚、戰國活潑的特徵能夠一目了然。其中楚式風格的玉器佔了絕大的多數。

相對的,「秦式玉器」,是春秋戰國時期極爲稀有的品種。秦國因地處西北偏隅,地理、人文、經濟各方面都與崤山以東諸侯國大不相同,因此孕育出獨特的「秦式」風格,此次上拍的數件玉瑞、玉珮、「亞」字形玉飾,皆屬罕見佳構。

「戰國玉印」,是戰國時期官、私璽印中最珍稀與尊貴的材質,傳世極少。此次推出四方玉印,或玉質上乘,或印文奇絕,或印紐靈動,皆有所長;其中一方〈戰國玉「文信君」覆斗鈕方印〉(拍品 2760)尤其珍貴,經考證爲「三晉官璽」,印主爲孔子七世孫孔謙,曾受魏安釐王拜相,封「文信君」,在傳世戰國玉璽中的重要性不言可喻。

「戰國帶鉤」,不僅是當時王公貴族、社會名流的日常服飾所需,也是身分地位的表徵,故而材質、工藝都極爲講究。此次上拍之三件戰國帶鉤,一爲銅鎏金、玉璧、琉璃珠三種材質的複合式工藝,恢弘大器;一爲氣息端莊優雅,玉質極佳的龍鳳紋玉帶鉤;一爲稀有的「盾」形玉帶鉤;此外還搭配精緻的戰國瑪瑙環、琉璃珠,都值得細賞品味。

此次拍賣圖錄的編纂,特別要感謝前陝西文物局副局長劉雲輝 先生,劉先生編著《陝西出土東周玉器》一書中的專文,提供 了此次撰寫「秦式玉器」考證文章最權威的素材;也要感謝拓 印專家張水和先生對此次重要拍品的精心拓製、王行恭先生對 重要拍品生動準確的線繪製作,以及熊宜敬先生的相關考證文章。

期待這次「雲中玉筵―春秋戰國篇」,能再一次讓中國古代玉器的學術研究與市場發展,獲得正面而廣大的回響。

# A FEAST OF JADES AMIDST THE CLOUDS - SPRING AND AUTUMN AND WARRING STATES PERIODS

**Chang Wei-Hwa** 



In the blink of an eye, the third part of the jade feast is upon us. Following the 'Neolithic period' in 2019, and 'Xia, Shang and Western Zhou Dynasties' in 2020, a remarkable collection of 77 lots from the 'Spring and Autumn and Warring States Periods' will be presented to collectors and enthusiasts.

This part of the collection is divided into the following sections: 'Contending Dragons', 'Qin-style Jades', 'Warring States Seals', and the 'Warring States Belt Buckles'. The collection brings together their artistic, academic and archaeology merits.

'Contending Dragons' deconstructs the most important motif on jades of this period – dragon – both in form and in decoration, as an expression of power between competing states. The jade dagger with bronze fitting (**lot 2754**) and the jade *bi* disc with dragon decoration (**lot 2724**) are amongst the more important examples amongst this group, and many are accompanied by detailed rubbings that show the difference between the robust dragons of the Spring and Autumn period (770–476 BC) and the more convivial examples of the Warring States period (475–221 BC). The majority of these jades are made in the Chu style.

On the other hand, 'Qin-style jades' are considered extremely rare amongst jades of the Spring and Autumn and Warring States periods (770–221BC). The Qin state was located to the northwest region, and its geography, culture and economy were very different to those of the eastern states, thus given rise to a very distinctive Qin style as exemplified by several of the jades in the current sale.

Jade is the rarest and most precious of materials that were used for seals in the Warring States period, whether for official or private use, and there are very few extant examples.

The four seals included this time are either made of excellent material, or with unique inscriptions, or with masterfully carved finials. The most important is a white jade square seal with an 'inverted funnel' finial that is carved with the characters *Wen xin jun* (Lord of Literary Fidelity) (**lot 2760**). Research shows that it belonged to the seventh-generation offspring of Confucius, Kong Qian, who was the prime minister of King Anli of Wei, and was awarded the title *Wenxinjun*. The current seal is one of the most important seals of the Warring States period.

Warring States belt buckles are not only a necessity in the noblemen and upper class's daily wear, they are also status symbols with careful attention to material and craftsmanship. There are three examples this time that are worthy of note: first is an impressive multimedia example with gilt bronze, jade and glass; second, a refined and elegant example with excellent material decorated with dragon and phoenix; third, a very rare example in shield form. These are complemented by fine agate rings and glass beads that are worthy of appreciation.

I would like to pay special thanks to Mr. Liu Yunhui, vice chairman of the Cultrual Relics Bureau in Shaanxi, whose work in *Eastern Zhou Jades excavated in Shaanxi* provided the most authoritative material in the 'Qin-Style Jades' section. I would also like to thank Mr. Zhang Shuihe for his meticulous rubbings of some of the highlight pieces; Mr. Wang Xinggong for the accurate and vivid line drawings for some of the important pieces; and Mr. Hsiung I-Ching for his research.

I hope the third part of the collection will once again contribute positively to the academic studies as well as the collecting world of Chinese archaic jades.

## 春秋戰國·五霸七雄

### 熊宜敬



3000 年前至今,中國歷史上出現過幾次群雄四起較大規模的爭戰局面,包括春秋戰國、魏晉南北朝、五代十國,乃至於民國時期的軍閥割據;其中,發生最早,歷時最長,影響最深的就屬「春秋戰國」時期,旣是整個東周歷史各路諸侯爭勝的時期,亦是諸子百家爭鳴與各類學說紛陳的時期,也是審美造型與工藝技術豐沛多姿的時期。

公元前770年周平王東遷以後,王室衰微,諸侯國多不依周王室訂定的禮樂制度行事,「春秋五霸」先後崛起,以各自的立地條件致力於尋找強國富民之策,於是經濟發展成爲顯學,商人的社會地位大爲提升;不過,此時諸侯還尊周室爲共主,權謀中仍講仁義。

公元前 475 年,進入戰國時期,征伐四起,「戰國七雄」合縱 連橫,演繹著兵爭天下的霸業追逐,周王室宛如虛設,已成風 中殘燭;同時,各種學說流派鵲起,諸侯國各取所需,治世的、 治國的、治人的、修身的、利他的…開創了中國思想史的一頁 輝煌。

春秋戰國時期長達500多年(前770-前221),以公元前475年,即周元王元年作爲分界;過渡期則爲公元前481年「田齊」取代「姜齊」,及公元前453年韓、趙、魏「三家分晉」,這兩椿歷史事件皆爲諸侯國內自己的內爭結果,新主並非全受周王室所封,諸侯國結構性產生變化,因此而劃分爲「春秋」與「戰國」兩個時期。

#### 春秋五霸

從公元前 770 年到前 476 年,歷史上稱爲春秋時代。在這 290 多年間,可以說是烽煙四起,魯史《春秋》記載的軍事行動就有 480 餘次,司馬遷的《史記》也說春秋時期「弑君三十六,亡國五十二,諸侯奔走不得保其社稷者,不可勝數」;據知春秋初期大小諸侯逾 140 家,經過連年兼併,後來只剩大國之間相互攻伐,先後稱霸的五個諸侯國就被稱爲「春秋五霸」。

關於「春秋五霸」,歷史上的說法很多,主要的兩種一是《史記索引》裡提到的:齊桓公、宋襄公、晉文公、秦穆公、楚莊王。 另一種是《荀子·王霸》中提出的:齊桓公、晉文公、楚莊王、 吳王闔閭和越王勾踐。而又以第一種的說法贊同者較多。

「春秋五霸」在其稱霸時的版圖大致如下:

齊桓公~齊國:現今山東半島一帶。宋襄公~宋國:位於 現在河南商丘一帶。

晉文公~晉國:包括今山西省全部、陝西省東部與北部、

河北省中部與南部、河南省西部和北部、

山東西北部與內蒙一部。

秦穆公~秦國:現今陝西省西部,在當時屬於中國的邊緣

部分。

楚莊王~楚國:大致爲湖南、湖北全部及重慶、河南、

安徽、江蘇、江西一部分。

## SPRING AND AUTUMN, WARRING STATES; FIVE HEGEMONS AND SEVEN POWERS

**Hsiung Yi-Ching** 

In the last 3000 years, there have been several large-scale wars involving multiple contesting forces in Chinese history, such as during the Spring and Autumn and Warring States period; the Southern and Northern Dynasties of Wei and Jin; the Five Dynasties and Ten Kingdoms; or the Republic era in more recent times. The earliest, longest and most far-reaching of these are the Spring and Autumn and Warring States period, which was not only a period when wars broke out between feudal lords in the Eastern Zhou period, but also a period when the Hundred Schools of Thought competed for pre-eminence, and aestheticism as well as various arts and crafts flourished.

In 770 BC, when the court of King Ping of Zhou moved to the east, its influence began to wane. The feudal lords started to breach the etiquettes and rules set out by the Zhou court, and the Five Hegemons of Spring and Autumn gained dominance one after another. They looked for ways to strengthen their state finances by optimising their geographical advantage and made economic development their primary focus, resulting in significant social elevation of the merchant class. However, the feudal lords still recognised the Zhou Court as their nominal head, and there was great emphasis on morality in their strategic power play.

In 474 BC, the Warring States period began, and conflicts broke out. The Seven Powers of the Warring States formed vertical or horizontal alliances in order to gain dominance, rendering the Zhou Court weak and vulnerable. In the meantime, various schools of thought emerged, each catering to the needs of the feudal states, some emphasised universal peace, some emphasised statecraft, others humanism, self-cultivation or altruism etc., precipitating the golden age of Chinese philosophy.

The Spring and Autumn and the Warring States period lasted more than 500 years (770 – 221 BC), with year 475 BC as the year of division. The transition period dates between 481 BC, when the Tian clan claimed the dukedom of Qi, replacing the Jiang clan; and to 453 BC, when the Han, Zhao and Wei clans initiated the partition of Jin. These two historical events started as infighting within feudal states, and gave rise to new feudal lords that were not wholly recognized by the Zhou court, which resulted in lasting structural changes to the feudal system. This marks the separation of 'Spring and Autumn' and 'Warring States' into two different periods.

#### The Five Hegemons of Spring and Autumn

Historically, the period between 770 BC to 476 AD is called the Spring and Autumn period, a period of more than 290 years with many conflicts. The *History of Lu* records more than 480 military campaigns. It is also recorded in *Shiji* (Records of the Grand Historian) that during the Spring and Autumn period 'there were 36 regicides, 52 eradicated states and countless fleeing feudal lords unable to protect their subjects.' It is estimated that there were around 140 feudal states in the beginning of the Spring and Autumn period. After years of merging, only larger states were left to fight each other, resulting in the succeeding Five Hegemons of Spring and Autumn.

There are various versions in the history books as to which are the Five Hegemons. The two most cited combinations are: Duke Huan of Qi, Duke Xiang of Song, Duke Wen of Jin, Duke Mu of Qin and King Zhuang of Chu as listed in the *Shiji suoyin* (Index to Records of the Grand Historian); and Duke Huan of Qi, Duke Wen of Jin, Duke Zhuang of Chu, King Helu of Wu, and King Goujian of Yue as listed in *Xunzi*. The first version appears to be the more accepted.



The hegemons of Spring and Autumn Period 春秋形勢圖



The hegemons of Warring States Period 戰國形勢圖

此外吳國與越國在春秋時代影響力亦大,版圖如下:

吳王闔閭~吳國:由江蘇南京、揚州擴展到蘇州一帶, 蘇州為晚期吳國都城。

越王勾踐~越國:勢力一度北達齊魯,東瀕東海, 西達皖淮、贛鄱,雄踞東南。

#### 戰國七雄

從公元前 475 年到前 221 年,歷史上稱爲戰國時代。戰國時代 以「戰」字當頭,戰爭的規模及慘烈的程度可謂前無古人,後 者難追;戰爭的性質也由春秋時期的爭奪霸權轉化爲純粹的國 土擴張,經過春秋時期和戰國初期無數次兼併戰爭,使諸侯國 的數量大量減少,到了戰國中期,韓、趙、魏「三家分晉」, 七個實力較強的諸侯國燕、秦、楚、齊、韓、趙、魏正式浮上 檯面,並稱爲「戰國七雄」。而在這七雄之中,除秦國以外, 其餘六國均在崤山以東。因此該六國又稱「山東六國」

戰國晚期,各國之間的兼併更加激烈,由於秦國勢力日益龐大,秦王統一天下的野心也越來越明顯,因此在公元前241年,趙、楚、魏、燕、韓五國組成了最後一次「合縱」聯軍攻秦,卻以楚軍未戰先逃,抗秦聯軍失敗,「東方六國」聯盟不復存在。於是秦國乘勢各個擊破,自公元前230年至前221年,先後滅韓、趙、燕、魏、楚、齊而統一天下,結束七國爭雄的局面。

「戰國七雄」的版圖大約如下:

秦:約佔有今陝西關中、漢中和甘肅東南部、四川省大部。

魏:約佔有今山西南部、河南北部、中部和東部。

趙:約佔有今山西北部、中部和河北中部、西南、 内蒙古自治區一部分。

韓:約佔有今河南中部、西部、和山西東南部。

齊:約佔有今山東北部、河北南部、西部,和山西東南部。

楚:約佔有今湖北全省,河南,安徽,湖南,江蘇, 浙江的一部分。

燕:約佔有今河北北部,遼寧,吉林的一部分。

春秋戰國時期,除了政治、軍事、經濟、學說、思想的榮榮大觀之外,藝術審美與工藝技術的發展,也因爲諸侯林立,各自彰顯國力,而呈現爭妍鬥豔的百花齊放現象,其中,「玉器」工藝無論在玉質材料選擇的多樣性、雕琢技藝的精緻性以及造型與紋飾的藝術性上,都出現了嶄新的面貌,在中國玉器發展史上具有舉足輕重的地位。

#### 玉器勃興

自商周以來,玉器一直是王室地位與身份的象徵,多作爲禮器之用;進入春秋戰國,大思想家輩出,「君子比德於玉」、「言念君子,溫其如玉」…等觀念大興,玉的溫潤光澤就成爲道德人品的表徵,上自君臣下至商賈,皆以玉繫於身以表其德;再加上鑄鐵技術的精進及雕琢經驗的積累,使玉器工藝的發展大爲精進,除了禮器之用外,實用器類、裝飾類、陳設類的玉器也大量出現,不論造型、紋飾都呈現出新穎、獨特、美觀、精緻的藝術性。

At the height of each of the Five Hegemons' power, his territory is more or less as follows:

Duke Huan of Qi: Qi State, around the Shandong peninsula

Duke Xiang of Song: Song State, around Shangqiu of Henan province

Duke Wen of Jin: Jin State, including the whole of Shanxi province, eastern and northern Shaanxi province, central and southern Hebei province, western and northern Henan province, northwestern Shandong province, and Inner Mongolia

Duke Mu of Qin: Western Shaanxi province, the very border of China

King Zhuang of Chu: Most of Hunan and Hubei, as well as Chongqing and part of Henan, Anhui, Jiangsu and Jiangxi.

The Wu and Yue States were also very influential in the Spring and Autumn period, and their territories were:

King Heju of Wu: expanding from Nanjing and Yangzhou to Suzhou of Jiangsu province, Suzhou was its capital in the later years

King Goujian of Yue: at the peak, its influence reached Shandong, Anhui, and Shanxi, and was the most powerful state in the southeast.

#### The Seven Powers of Warring States

Warring States spans 475 to 221 BC. As its name suggests, it is a period of prolonged and brutal wars the likes of which have not been seen before and rarely surpassed since. The purpose for war also changed from vying for leadership in the Spring and Autumn period to pure expansionism. After a period of consolidation in the Spring and Autumn and early Warring States period, there were now fewer feudal states. When Han, Zhao and Wei divided Jin in the mid Warring States period, seven powerful states emerged: Yan, Qin, Chu, Qi, Han, Zhao and Wei – together they were called the Seven Powers of Warring States. Apart from Qin, the other six were all situated east of Mount Yao, therefore, these six were also called 'The Six Eastern States'.

In the late Warring States, conflicts between these states intensified. As Qin became increasingly powerful, its ambition in unifying the country became more apparent. In 241 B.C., Zhao, Chu, Wei, Yan and Han formed the last alliance to fight Qin, but the Chu army deserted them even before the battles began, resulting in the collapse of the alliance. The Qin army then eliminated the other states one

by one in the order of: Han, Zhao, Yan, Wei, Chu and Qi, between 230 and 221 B.C., finally unifying the country and ending the Seven Powers.

The territories of the Seven Powers of Warring States are as follows:

Qin: Central and southern Shaanxi, southeastern Gansu and most of Sichuan

Wei: Southern Shanxi, northern, central and eastern Henan

Zhao: Northern and central Shanxi, central and southwestern Hebei, and part of Inner Mongolia

Han: Central and western Henan, and southeastern Shanxi

Qi: Northern Shandong, southern and western Hebei Chu: Whole of Hubei, parts of Henan, Anhui, Hunan, Jiangsu and Zhejiang

Yan: Northern Hebei, parts of Liaoning and Jilin

In the Spring and Autumn and Warring States period, apart from the impressive advancement in politics, military strategy, economics, and various schools of philosophy and thought, the development of aesthetics and crafts also benefited from the competition between feudal lords trying to outdo each other. Jade carvings, in particular, showed renewed vigor in the variety of jade material, the refinement craftsmanship and creativity in form and decoration, making this period an important stage in the development of Chinese jade.

#### The Rise of Jade

Jade had always been a symbol of status for the ruling class in the Shang and Zhou dynasties when it was used for ritual purposes. In the Spring and Autumn and Warring States period, various thinkers attributed moral virtue to jade in concepts such as: 'the gentleman compares his virtue to jade'; 'when speaking of a gentleman, one thinks of his warmth like the luster of jade' etc., equating the luster of jade to that of a man's character and morality. From the ruling class to ordinary people, all started to wear jade as a symbol of their moral rectitude. The use of iron tools coupled with craftsmen's expanding repertoire of techniques advanced the art of jade carving, and many other types started to appear alongside ritual objects, such as utility items, adornments as well as display pieces, showing renewed, unique and refined artistry in both form and decoration.

## 群龍爭勝

#### 能官敬

春秋戰國時期玉器的造型與紋飾豐富多樣,其中以龍鳳題材最爲常見,尤其是龍形、龍紋最具特色。如安徽長豐縣楊公鄉出土戰國晚期的「玉雙龍首璜」、「玉鏤空龍形佩」以及「玉龍形角嵩」;曾侯乙墓出土的一件「玉雙龍首璜」、「玉四節佩」及河南光山縣寶相寺黃君孟墓出土的一對春秋早期的「玉衝牙」、中山國國王墓中出土的一件「玉透雕三龍環形飾」,皆屬造型獨特,紋飾精美的珍品。

「龍」,在中國未有信史以前就已經是人們崇祀的象徵,距今五千至八千年前的紅山文化玉器中就出現了「C」形龍的形象,在中國的古文獻中也形容中國人的祖先伏羲和女媧是「人首龍身」,於是,我們成了「龍的傳人」。殷墟甲骨出土之後,中國文明開始有了「龍」的文字記載,「龍」的文化也因此代代相傳;古代中國以農立國,「水利」是農業的命脈,在人們的意識中,「龍」主司興雲佈雨,於是「龍」就成了中國歷史上從帝王到庶民最重要的精神依託。

「龍」非常奇特,是「十二生肖」中唯一不曾見過眞身的動物,牠的形貌塑造衆說紛紜,但所留下的圖像、紋飾、造型卻又多采多姿。即使是文字,繁體字多達十六劃的「龍」字竟然是部首,是單一的獨體字;而追溯到中國最早的文字甲骨文,「龍」字以「象形」為主, 1976年台北故宮的「龍在故宮」專題展覽,從1500多個已識別的甲骨文中整理出120種「龍」字,雖然寫法不同,但又存在共通性:其一是字形一律直立,狀似騰空飛翔;其二是皆爲龍首帶引修長彎捲的龍身與龍尾,或「C」形,或「S」形;這種形象與中國新石器時代至漢代的高古玉器中的造型、紋飾並無二致。

周朝壬室與春秋戰國各路諸侯之主,皆以「龍」的造型、紋飾作爲玉器的主要圖像,依隨著各諸侯國經濟、信仰、審美、技術…等條件的發揮與需求,創造出繽紛璀璨且各具特色的玉器文化;於是,春秋五霸、戰國七雄,不僅僅在軍事、武功上展現雄圖霸業的競爭,玉器上龍形、龍紋的夭矯奇異與精雕細琢,也建構中國玉器發展史上一頁燦爛的篇章。

## CONTESTING DRAGONS

#### **Hsiung Yi-Ching**

The dragon has been the object of worship in China even before written records began. The Hongshan Culture, between 5000 to 8000 years ago, had already created the image of 'C'-shaped dragons. In ancient texts, the primogenitors of Chinese people, Fuxi and Nüwa, supposedly had human heads on dragons' bodies. Chinese people were also known as 'dragon's offsprings'. The oracle bones excavated from the Shang ruins show the first written records of dragons, and the start of a 'dragon culture'. Agriculture was China's foundation economy, and irrigation its life source. The dragon was associated with clouds and rain, and therefore a much depended symbol for both the ruling class and the ordinary people.

The dragon had many unique characteristics. It is the only animal of the twelve zodiac that does not exist. The descriptions of its appearance are many and varied, and its imagery and representations even more so. The written character of dragon, 龍, has sixteen strokes but stands as a radical as well as a complete character. The earliest

written forms of 龍 are primarily pictographs. In the 1976 exhibition *Dragon in the Palace Museum*, 120 characters denoting 龍 were picked out from 1500 oracle bone scripts. Although each is different, they share some characteristics: firstly, they are all written upright, as if soaring towards the sky; secondly, they are all depicted with the head leading a slender curved body, either in 'C' or 'S' shapes. These depictions are no different to representations of dragon in jades from the Neolithic to Han periods.

The Zhou Court and feudal lords of the Spring and Autumn and Warring States period all used the dragon as the primary motif on jades. Depending on the states' economical, religious, aesthetic and technical circumstances, each developed a unique jade culture that together formed a dazzling and multifarious whole. The Five Hegemons and Seven Powers not only competed in military terms, fanciful and refined representations of dragons also became a point of competition that resulted in one of the most spectacular chapters in the history of Chinese jade.





#### 2701 A CELADON JADE DRAGON-FORM PENDANT LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The pendant is carved as a coiled dragon narrowing from head to tail with serrated ridges on the front half of the body. Both sides are incised with various types of scrolls depicting further dragons, with a tiny hole pierced at the head.

3% (8.5 cm.) long, box

#### HK\$60,000-80,000

US\$7,800-10,000

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1990

#### 春秋晚期 龍形玉珮

來源

養德堂舊藏,1990年購於台北

### A GROUP OF THREE JADE DRAGON-FORM PENDANTS LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The group comprises three sinuous jade dragonform pendants with comma sprials and c-scrolls carved in low relief on both sides, and tiny holes at centres for suspension, the pendant shown in the centre of the illustration has an additional hole at the head.

Longest: 3<sup>3</sup>/<sub>4</sub> in. (9.5 cm.) long, boxes

(3)

#### HK\$120,000-180,000

US\$16,000-23,000

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1988

#### 春秋晚期 龍形玉珮三件

來源

養德堂舊藏,1988年購於台北

#### 2703 TWO JADE DRAGON-FORM PENDANTS LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The group comprises two sinuous jade dragonform pendants with curled tails, both carved with comma spirals and c-scrolls on both sides with pierced holes at centres for suspension, one of greyish mottled tone, the other of celadon tone.

Longer: 3% in. (9.9 cm.) long, boxes (2

## HK\$120,000-180,000

US\$16,000-23,000

PROVENANCE

(left) Lantien Shanfang Collection, acquired in Taipei in 1991

(right) The Yangdetang Collection, acquired in Taipei in 1990

#### 春秋晚期 龍形玉珮二件

#### 來源

(左) 藍田山房舊藏,1991年購於台北

(右)養德堂舊藏,1990年購於台北

# 2704 TWO JADE DRAGONFORM PENDANTS LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

Each pendant is carved as a slender, sinuous single-horned dragon, with a mixture of comma spirals and c-scrolls carved in low relief on both sides and a pierced hole at the centre for suspension.

Longer: 6 in. (15.3 cm.) long, box (2)

#### HK\$100,000-150,000

US\$13,000-19,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1990

#### 春秋晚期 龍形雲穀紋玉珮二件

#### 來源

藍田山房舊藏,1990年購於台北





#### A RETICULATED JADE DRAGON-FORM PENDANT

MID WARRING STATES PERIOD, CIRCA 400-300 BC

The pendant is finely carved in openwork as a sinuous dragon with a slender body and a bi-furcated tail folding above its head. Both sides are carved with c-scrolls.

31/8 in. (8 cm.) long, box

#### HK\$100,000-150,000

US\$13,000-19,000

PROVENANCE

Dexinshuwu Collection, acquired in Taipei in 1995

For a similar reticulated jade dragon-form pendant, see an example illustrated in *Jades of Warring States Period*, Taipei, 2007, no. 112.

#### 戰國中期 鏤雕龍形玉珮

#### 來源

德馨書屋舊藏,1995年購於台北

同期類似造型的透雕龍紋玉珮,可參考一件著錄於《戰國玉器》, 台北,2007年,圖版112號。

#### 2706

## A GROUP OF FOUR JADE PENDANTS

LATE SPRING AND AUTUMN TO MID WARRING STATES PERIOD,

CIRCA 570-300 BC

The group comprises a slender dragon-form pendant with a protruding snout and tail curved upwards, late Spring and Autumn period (top); two reticulated pendants each depicting a dragon and its young, mid Warring States period (middle-left), early Warring States period (middle-right); and an s-shaped pendant with a dragon-shaped head and a phoenix-shaped tail, early Warring States period (bottom).

Longest: 41/4 in. (10.7 cm.) long, boxes (4)

#### HK\$120,000-180,000

US\$16,000-23,000

PROVENANCE

(top, middle-left) Dexinshuwu Collection, acquired in Taipei in

(middle-right, bottom) The Yangdetang Collection, acquired in Taipei in 1989

#### 春秋晚期/戰國中期 玉珮四件

本拍品包括春秋晚期龍形玉珮(上)、戰國中期子母龍玉珮(中左)、 戰國早期龍形玉珮(中右),及戰國早期龍鳳玉珮(下)。

#### 來源

(上、中左)德馨書屋舊藏,1995年購於台北 (中右、下)養德堂舊藏,1989年購於台北

春秋晚期龍形玉珮近似例見《中國美術全集-工藝美術編-9-玉器》, 北京,1986年,圖版111號;戰國中期玉珮近似例可參考震旦藝術博物館 藏一件雙龍首玉珮,見《戰國玉器》,台北,2007年,圖版103號;戰國 早期龍形玉珮近似例參閱《中國玉器全集-3-春秋戰國》,河北,1993 年,圖版148號。











#### A PALE CELADON JADE DRAGON-FORM PENDANT

MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The pendant is carved as a sinuous single-horned dragon with backward-turned head and bi-furcated tail. Both sides are carved with comma spirals with a suspension hole to the centre.

5 3/16 in. (13.1 cm.) long, box

#### HK\$120,000-180,000

US\$16,000-23,000

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1989

Compare with a larger and elaborately carved dragon-form pendant from the same period, with a similar single horn, backturning head, and a bi-furcated tail, excavated from subordinate tomb no. 1 at the King of Zhongshan tomb site in Pingshan County, Hebei Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 215 (fig. 1).

#### 戰國中期 龍形玉珮

#### 來源

養德堂舊藏,1989年購於台北

參閱河北省平山縣中山國一號墓陪葬墓出土一件同期較大的龍形玉珮,回首、獨角、張口、身體捲曲、花尾等特色均與此相類,見《中國玉器全集-3-春秋 戰國》,河北,1993年,圖版215號(圖一)。

#### 2708

## A CELADON JADE RETICULATED DRAGON-FORM PENDANT

MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The pendant is carved in the form of a sinuous dragon shown in profile with a backward-turned head, a s-shaped body, and a bi-furcated tail. Both sides are incised with comma spirals with a suspension hole pierced to the centre.

43% in. (11 cm.) long, box

#### HK\$60,000-80,000

US\$7,800-10,000

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1990

Compare with a very similar dragon-form pendant excavated from subordinate tomb no. 1 of the King of Zhongshan tomb site, Pingshan County, Hebei Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 215.

#### 戰國中期 龍形玉珮

#### 來源

養德堂舊藏,1990年購於台北

參閱河北省平山縣中山國一號墓陪葬墓出土一件同期造型相似的龍形玉珮,見《中國玉器全集-3-春秋 戰國》,河北,1993年,圖版215號。







#### 2709 A GROUP OF FOUR 'DRAGON' JADE ORNAMENTS

WARRING STATES PERIOD (475-221 BC)

The lot comprises a black and white jade dragon-form pendant, carved with comma spirals on both sides, mid Warring States period; a celadon jade pendant carved as an arc-shaped dragon in profile with an upturned tail, Warring States period; a greenishwhit jade dragon-form pendant with an arc-shaped body, long snout and coiled tail, with an raised ridge to the middle of its body, mid Warring States period; and a jade 'double-dragon' pendant carved on either end with a single-horned dragon coiling its body backwards on the arch with one of its legs forming the terminal, carved with comma spirals and cloud scrolls on one side.

Longest: 7% in. (19 cm.) long, boxes

#### HK\$100,000-150,000

US\$13,000-19,000

#### PROVENANCE

- 1. Dexinshuwu Collection, acquired in Taipei in 1995
- 2. Lantien Shanfang Collection, acquired in Taipei in 1992
- 3, 4. The Yangdetang Collection, acquired in Taipei in 1990

Compare with a similar reticulated arched pendant with a back-turned dragon on one terminal and tail on the other, excavated from tomb no. 1 of the King of Zhongshan tomb site, Pingshan County, Hebei Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 239.

#### 戰國 龍紋玉飾四件

- 1. 德馨書屋舊藏,1995年購於台北
- 2. 藍田山房舊藏,1992年購於台北
- 3, 4. 養德堂舊藏, 1990年購於台北

參閱河北省平山縣中山國一號墓出土一件同期相似 但爲單龍造型的玉珮,見《中國玉器全集-3-春秋 戰國》 河北,1993年,圖版239號。





#### 2710 A JADE 'DRAGON AND PHOENIX' PENDANT

MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The pendant is carved as a slender s-shaped dragon with its tail ending in the form of a phoneix head. Both sides are carved with comma spirals in low relief. The stone is of a pale russet tone with areas of white opaque inclusions.

211/15 in. (6.8 cm.) long, box

HK\$80,000-120,000 US\$11,000-16,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1992

戰國中期 龍鳳玉珮

來源

藍田山房舊藏,1992年購於台北





2712

#### 2711 A JADE DRAGON-FORM PENDANT WARRING STATES PERIOD (475-221 BC)

In the form of a sinuous dragon with rope-twist design, the pendant is constructed in sections and connected by two metal mounts. There is a suspension hole to the top of the tail and a tiny cicular dent to the back of the neck.

6½ in. (16.8 cm.) long, box

#### HK\$60,000-80,000

US\$7,800-11,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1991

Compare with a similar slender jade dragon-form pendant also incised with rope-twist design but with its tail curving upwards and bending above the body, illustrated in *Zhongguo guyu duandai yu bianwei-2-guyu dongwu yu shenyishou juan*, Beijing, 2007, p. 358, no. 785.

#### 戰國 紐絲紋龍形玉珮

#### 來源

藍田山房舊藏,1991年購於台北

參閱一件造型類似同刻以紐絲紋的龍形玉珮,著錄於周南泉《中國古玉 斷代與辨爲-2-古玉動物與神異獸卷》,北京,2007年,圖版785號。

#### 2712

## A JADE DRAGON-FORM PENDANT MID-WARRING STATES PERIOD,

CIRCA 400-300 BC

The pendant is carved in the form of a dragon in profile with an upward-turned head at one end of the arched s-shaped body, and a coiled tail at the other end. The body and tail are carved on both sides in low relief with comma spirals and cloud scrolls, and pierced with a suspension hole to the centre.

41/16 in. (10.4 cm.) long, box

#### HK\$80,000-120,000

US\$11,000-16,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1992

Compare a more elaborate jade dragon-form pendant with a similar s-shaped arched body and flattened upward-turned head, excavated from subordinate tomb no.1 of the King of Zhongshan tomb site, Pingshan County, Hebei Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, nos. 215.

#### 戰國中期 龍形玉珮

#### 來源

藍田山房舊藏,1992年購於香港

參閱河北省平山縣中山國一號墓陪葬墓出土一件同期但造型較繁複的龍 形玉珮,其三彎的身軀及仰視的龍首與此類似,見《中國玉器全集-3-春秋 戰國》,河北,1993年,圖版215號。

## A VERY RARE AND IMPORTANT CARVED JADE DRAGON-FORM PENDANT

LATE WARRING STATES PERIOD, CIRCA 300-221 BC

The pendant is carved as a single-horned dragon in profile with an arched s-shaped body and a coiled bi-furcated tail. Both sides are finely incised with *ruyi* motifs and a various scrolling patterns with some filled with criss-cross design, between raised edges. The semi-translucent stone has a mottled pale greyish and russet tone with a high polish.

35% in. (9.1 cm.) long, box

#### HK\$2,800,000-4,000,000

US\$370,000-520,000

PROVENANCE

Jinhuatang Collection, acquired in Taipei in 1998

EXHIBITED

National Palace Museum, Collectors' Exhibition of Archaic Chinese Jades, Taipei, 1999, Catalogue, no. 177

LITERATURE

Teng Shu-p'ing, Collectors' Exhibition of Archaic Chinese Jades, Taipei, 1999, no. 177

#### 戰國晚期 龍形玉珮

#### 來源

金華堂舊藏,1998年購於台北

#### 展覽

國立故宮博物院,《群玉別藏續集》,台北,1999年,圖錄圖版177號

#### 出版

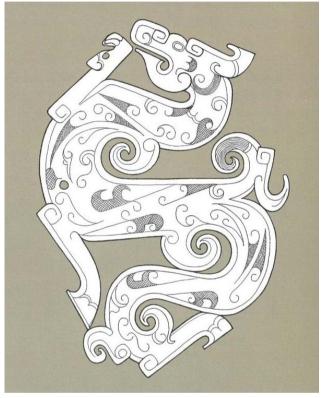
鄧淑蘋,《群玉別藏續集》,台北,1999年,圖版177號



#### 2713 Continued

The present pendant is a rare example of the finest jade carvings from this period. The double-s-shaped body of the dragon shows an unusually strong modulation and a high degree of curvature, imbuing the mythical animal with a sense of movemnet and vivacity. The finely incised pattern on the body of the dragon comprising *ruyi*-scrolls, comma spirals, triangles, and other shapes filled with criss-cross design, further distinguishing the present pendant from other contemporary examples.

龍體作雙s相連形,粗細變化明顯,扭轉彎度相當大,藝術性極高。龍腹上鑽一圓孔,用以繫掛。龍體上以細陰線雕刻如意首雲紋、圓弧紋、斜三角紋,並局部加飾網格紋,腮邊與背上凸出的捲勾處,雕毛束紋,雕工極烏精細。紋飾風格較接近於洛陽金村玉器。



line drawing of the present lot 本拍品之線描圖



#### 2714 A JADE DRAGON-FORM PENDANT EARLY WARRING STATES PERIOD, CIRCA 476-400 BC

The semi-circular pendant is carved in the shape of a dragon shown in profile, with a hole pierced to the centre for suspension. Both sides are carved with comma spirals, c-scrolls and cloud scrolls in low relief. The pendant is covered under white alteration.

4% in. (12.5 cm.) long, box

#### HK\$120,000-180,000

US\$16,000-23,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1992

For a similar dragon-form pendant from the same period, see an example excavated from tomb no. 53 at the Fenshuiling village tomb site, Changzhi City, Shanxi Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 153; and another similar pendant with a backturned head excavated from the same site, illustated *ibid.*, no. 154.

#### 戰國早期 龍形玉珮

#### 來源

藍田山房舊藏,1992年購於香港

近似例可參考山西省長治市水分嶺53號墓出土兩件,一件俯首與此相類,一件回首向後,見《中國玉器全集-3-春秋戰國》,河北,1993年,圖版153及154號。

#### 2715 TWO JADE DRAGON-FORM PENDANTS

EARLY WARRING STATES PERIOD, CIRCA 476-400 BC

Each pendant is of semi-circular shape carved in the shape of a coiled dragon with an upturned tail.

Larger: 5 in. (12.6 cm.) long, box (2)

#### HK\$100,000-150,000

US\$13,000-19,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1991

For another dragon-form pendant of this shape, see an example excavated from tomb no. 3 of yi-group at the Ancient Capital Site of the Lu Kingdom, Qufu City, Shandong Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 148.

#### 戰國早期 龍形玉珮兩件

#### 來源

藍田山房舊藏,1991年購於台北

近似例可参考山東省曲阜市魯國故城乙組三號墓出土一例,見《中國玉器全集-3-春秋戰國》,河北,1993年,圖版148號。



#### 2716 A JADE 'DRAGON AND PHOENIX' PENDANT

LATE WARRING STATES PERIOD, CIRCA 300-221 BC

The arched pendant is carved as a sinuous dragon with backward-turned head and tail transforming into a phoenix, with comma spirals carved in relief on both sides and a suspension hole pierced close to the centre.

6% in. (16.3 cm.) long, box

HK\$160,000-200,000

US\$21,000-26,000

PROVENANCE

Jinhuatang Collection, acquired in Taipei in 1998

For a more elaborate pendant but similarly carved pendant with dragon and phoenix, see an example excavated from tomb no. 8 of the Yanggong tomb site at Changfeng County, Anhui Province, illustrated in Zhongguo yuqi quanji-3-Spring Autumn Period, Hebei, 1993, no. 293.

#### 戰國晚期 龍鳳玉珮

#### 來源

金華堂舊藏,1998年購於台北

本珮主體爲一回首曲身的龍,尾部化爲一鳳鳥,造型奇巧。近似例可參考安徽省長豐縣楊公八號墓出土一件,見《中國玉器全集 -3-春秋戰國》,河北,1993 年,圖版 293 號。

#### 2717

#### A JADE RETICULATED 'DRAGON AND PHOENIX' PENDANT

MID-LATE WARRING STATES PERIOD, CIRCA 400-221 BC

The arched pendant is carved in openwork as a sinuous dragon with backward-turned head and coiled tail transforming into a phoenix adjacent to a smaller phoenix.

61/8 in. (15.4 cm.) long, box

HK\$100,000-150,000

US\$13,000-19,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1991

Compare two other jade reticulated 'dragon and phoenix' pendants, one in the Aurora Art Museum Collection, illustrated in *Jades of Warring States Period*, Taipei, 2007, no. 89, which is also carved with two phoenix at the tail; and another with a single phoenix at the tail, excavated from tomb no. 8 of the Yanggong tomb site at Changfeng County, Anhui Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 293 (**fig. 1**).

#### 戰國中晚期 玉透雕龍鳳紋珮

#### 來源

藍田山房舊藏,1991年購於香港

此珮造型特殊,龍呈 S 形,龍首回顧,龍身扭動,龍尾轉化爲一隻鳳鳥,左側另有一隻彎曲的鳳鳥。造型近似的玉透雕龍鳳紋珮可參考震旦藝術博物館所藏一例,其亦爲一龍雙鳳的組合,見《戰國玉器》,台北,2007年,圖版 89 號;及安徽省長豐縣楊公八號墓出土一件,其尾部爲單鳳,見《中國玉器全集 -3-春秋戰國》,河北,1993年,圖版 293 號(圖一)。



fig. 1





#### A YELLOWISH-CELADON JADE RETICULATED 'DOUBLE-DRAGON' PENDANT

MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The pendant is finely carved in openwork as a pair of addorsed s-shaped dragons joined at their backs below a *nuyi* motif. Each dragon is carved with a single bi-furcated horn and a curved snout. 4¾ in. (12.2 cm.) long, box

#### HK\$300,000-500,000

US\$39,000-65,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1990

LITERATURE

Orientations, May 1995

Compare a smaller curved pendant carved as a double-headed dragon with an arched body, excavated from tomb no.1 at the Changtai Guan tomb site, Xinyang County, Henan Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 251 (**fig. 1**).

#### 戰國中期 玉透雕雙龍珮

#### 來源

藍田山房舊藏,1990年購於台北

#### 出版

《Orientations》,1995年5月

比較河南省信陽縣長臺關一號墓出土一件較小的扇面形透雕玉雙龍紋珮,其爲雙龍連體造型,見《中國玉器全集 -3- 春秋戰國》,河北,1993 年,圖版 251 號(圖一)。

#### 2719

## A JADE 'DRAGON AND PHOENIX' PENDANT

MID-LATE WARRING STATES PERIOD, CIRCA 400-221 BC

The s-shaped pendant is carved as a slender undulating dragon, the head on one end with a long snout and split mouth, the tail transforming into a phoenix facing a small bird perched on the back of the dragon.

5% in. (14.9 cm.) long, box

#### HK\$280,000-400,000

US\$37,000-52,000

PROVENANCE

Jinhuatang Collection, acquired in Taipei in 1996

EXHIBITED

National Palace Museum, Collectors' Exhibition of Archaic Chinese Jades, Taipei, 1999, Catalogue, no. 176

LITERATURE

Teng Shu-p'ing, Collectors' Exhibition of Archaic Chinese Jades, Taipei, 1999, no. 177

#### 戰國中晚期 龍鳳鳥紋玉珮

#### 來源

金華堂舊藏,1996年購於台北

#### 展覽

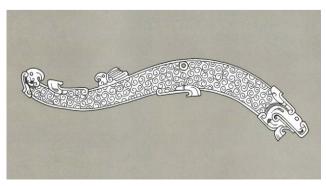
國立故宮博物院,《群玉別藏續集》,台北,1999 年,圖錄 圖版 176 號

#### 出版

鄧淑蘋,《群玉別藏續集》,台北,1999年,圖版 176號



fig. 1 圖一



line drawing of lot 2719 拍品 2719 號之線描圖





#### A RARE JADE RETICULATED 'DRAGON AND MONKEY' PENDANT

MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The pendant is carved as a sinuous single-horned dragon with an arched body and a monkey clambering on its coiled tail. The midsection of the body is carved with a rope-twist band flanked by *ruyi* cloud motifs. The semi-translucent stone is of a pale greenish-white tone.

3% in. (9.9 cm.) long, box

#### HK\$800,000-1,200,000

US\$110,000-160,000

PROVENANCE

Jinhuatang Collection, acquired in Taipei in 1998

It is rare to find the depiction of monkeys on jade carvings from this period. compare a Western Han jade 'chilong and monkey' scabbard from the Yangdetang Collection, sold at Christie's Hong Kong, 29 November 2017, lot 2773 (**fig. 1**).

#### 戰國中期 龍形雲猴玉珮

#### 來源

金華堂舊藏,1998年購於台北

本珮罕見地結合了龍與靈猴於一體,造型生動有趣。相同題材的玉雕可參考養德堂舊藏一件西漢鏤空螭龍靈猴紋劍珌,2017 年 11 月 29 日於香港佳士得拍賣,拍品 2773 號(圖一)。



detail 細部



fig. 1 圖一



# 2721 A FINELY CARVED JADE RETICULATED 'DOUBLE-DRAGON' PENDANT

MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The pendant is carved in vertical symmetry with a dragon's head turned backwards on both ends, their bodies intertwined to form the arch at the centre above an openwork scrollwork motif. 31% in. (7.9 cm.) long, box

HK\$200,000-300,000

US\$26,000-39,000

PROVENANCE

Dexinshuwu Collection, acquired in Taipei in 1995

Compare a simlar reticulated 'double-dragon' pendant excavated from tomb no. 1 at Changtai Guan tomb site, Xinyang County, Henan Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 253 (**fig. 1**).

# 戰國中期 玉透雕雙龍珮

### 來源

德馨書屋舊藏,1995年購於台北

同期近似的透雕雙龍珮可參考河南省信陽縣長臺闊一號墓出土一件,載於《中國玉器全集-3-春秋 戰國》,河北,1993年,圖版 253(圖一)。

### 2722

# A GREENISH-WHITE JADE RETICULATED 'FOUR-DRAGON' PENDANT

MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The pendant is carved in openwork as four conjoined coiled dragons with bi-furcated tails, their bodies finely incised with comma spirals alternating with criss-cross patterns . The jade is of an even greenish-white tone with areas covered under white alteration.

211/16 in. in. (6.9 cm.) long, box

HK\$150,000-250,000

US\$20,000-32,000

PROVENANCE

Dexinshuwu Collection, acquired in Taipei in 1995

Compare two rectangular plaques also carved with four dragons in a symmetrical layout but in different compositions, one in openwork and dated to the mid Warring States period, excavated from tomb no. 3 at the Zhongshan Kingdom tomb site, Pingshan County, Hebei Province, illustrated in *Zhongguo yuqi quanji-3-Spring Autumn Period*, Hebei, 1993, no. 221; the other in low relief and dated to the late Warring States period, excavated from tomb no. 6 at the Zhongshan Kingdom tomb site, Pingshan County, Hebei Province, illustrated *ibid.*, no. 231 (fig. 1).

### 戰國中期 透雕四龍紋玉珮

### 來源

德馨書屋舊藏,1995年購於台北

參考另外兩件長方形四龍紋板,一件於河北省七汲村中山國三號墓出土,斷代爲戰國中期,玉板上雕十字隔欄,四條龍各分佈於隔欄內,見《中國玉器全集 -3- 春秋 戰國》,河北,1993 年,圖版 221 號;另一於七汲村中山國六號墓出土,斷代戰國晚期,四龍兩兩一組,以淺浮雕方式呈現,見上揭書,圖版 231 號(圖一)。



fig. 1 圖一



fig. 「圖一





# A VERY RARE AND FINELY CARVED GREYISH-WHITE JADE RETICULATED 'DRAGON AND PHOENIX' PENDANT

# LATE WARRING STATES TO EARLY WESTERN HAN DYNASTY, CIRCA 300-141 BC

The pendant is intricately carved and pierced in symmetry as two single-horned coiled dragons with backward-turned heads and tails transforming into phoenix, joined at their backs with incised double-line scroll motifs on their bodies. The semi-translucent stone is of an even pale greyish-white tone. 3% in. (10 cm.) long, box

# HK\$3,800,000-5,000,000

US\$500,000-650,000

### PROVENANCE

Jinhuatang Collection, acquired in Taipei in 1996

### EXHIBITED

National Palace Museum, Collectors' Exhibition of Archaic Chinese Jades, Taipei, 1999, Catalogue, no. 209

### LITERATURE

Teng Shu-p'ing, Collectors' Exhibition of Archaic Chinese Jades, Taipei, 1999, no. 209

### 戰國晚期/西漢早期 玉透雕龍鳳紋珮

### 來源

金華堂舊藏,1996年購於台北

### 展覽

國立故宮博物院,《群玉別藏續集》,台北,1999年,圖錄 圖版 209 號

# 出版

鄧淑蘋,《群玉別藏續集》,台北,1999年,圖版 209號





line drawing of the present lot 本拍品之線描圖

# 2723 Continued

Very few other jade ornaments from this period are as intricately carved as the present pendant, which is further distinguished by its white and even tone, with very little alteration. The dragons depicted on the present pendant have arched eyebrows, leaf-like horns, split-mouths, and arc-shaped jaws, which are similar in style to that found on jade ornaments excavated at the Changfeng, Lingzi and King of Nanyue tomb sites. The present pendant is pierced with a tiny hole on the left and right side for suspension, instead of a hole in the centre, suggesting that it would have been worn as the uppermost ornament in a string of group jade ornaments. compare with a slightly more altered 'dragon' jade ring of the same period from the Yangdetang Collection, sold at Christie's Hong Kong, 28 November 2018, lot 2756.

此玉珮精緻華美,探對稱佈局,左右各琢飾一合體龍鳳。龍作翹鼻,有 眉尖,葉形角,張口,彎勾形類,造型與於長豐、臨淄、南越王墓等地 出土的戰國晚期至西漢早期玉器上的龍紋相似。龍體上捲,琢飾圓弧紋、 網格紋、扭絲紋等。龍尾轉化爲彎勾形鳳首,鳳眼旁纘有小孔,好以懸 繫其他玉飾。由穿孔的佈局可知,此爲成組玉珮最上一件,亦稱爲「衍 形珮」。

此珮玉質白皙,瑩透少沁,構圖新穎,極富巧思。上端花蕾式的設計, 迴轉圓柔的鳳喙、龍爪、鳳爪、勾雲,與自臨淄商王村出土的齊國玉器 較爲相似。 雕工如此精緻的同期玉飾於市場上極其罕見,試比雕刻風格 近似的一件龍螭紋環,爲養德堂舊藏,2018 年 11 月 28 日於香港佳士得 拍賣,拍品 2756 號。



# 2724 A LARGE JADE 'DRAGONS AND CLOUDS' DISC, *BI*

# LATE SPRING AUTUMN-EARLY WARRING STATES PERIOD, CIRCA 570-400 BC

Both sides of the disc are carved in low relief with various types of scrolls and cloud motifs incorporating seven abstract dragons. The surfaces have been covered under white alteration. 7%6 in. (19.1 cm.) diam., box

# HK\$300,000-500,000

US\$39,000-65,000

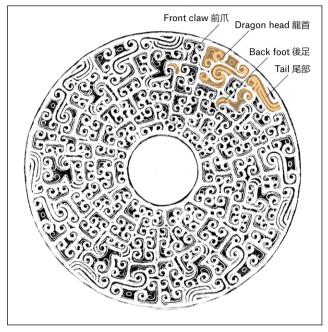
PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1993

# 春秋晚期/戰國早期 玉雲龍紋璧

### 來源

藍田山房舊藏,1993年購於香港



rubbing of the present lot 本拍品之拓片





fig. 1 圖一

# 2724 Continued

It is rare to find a *bi* disc of this size carved with a pattern of abstract dragons. A smaller *bi* disc (12.5 cm.) carved with a similar pattern is illustrated in *Jades of Spring and Autumn Period*, Taipei, 2010, no. 28 (**fig. 1**); and another (15 cm.) with less distinctive features of dragons, was excavated from the Tomb of Marqui Yi of Zeng, Suizhou, Hubei Province, and illustrated in *Zhongguo kaogu wenwu zhi mei*, vol. 5, Beijing, p. 144 (upper left).

此璧玉質已全部白化,呈白褐色,中孔較小,內外有邊框,通體紋飾由淺浮雕七組龍紋和雲紋交錯組成,每組龍紋由回頭龍首、尾部、前爪、後足構成。整體設計看似繁複,卻井然有序。此璧紋飾恰由七組龍紋組成,是否和稍晚戰國中期形成七雄爭勝的局面有所關聯或只是時空的巧合,值得玩味。琢飾類似抽象龍紋的玉璧另可參考兩件,尺寸皆較小,一件(12.5公分)載於《春秋玉器》,台北,2010年,圖版28號(圖一);另一件龍紋五官肢體更抽象的玉璧(15公分)於湖北省隨州市曾侯乙墓出土,著錄於《中國考古文物之美5:戰國地下樂宮》,北京,頁144(左上圖)。



# 2725 A JADE DISC, *BI* MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The disc is carved on both sides with raised bosses in the form of comma spirals enclosing the central aperture within raised borders. The stone of celadon tone is covered almost entirely under opaque white alteration.

415/16 in. (12.5 cm.) diam., box

# HK\$250,000-400,000

US\$33,000-52,000

PROVENANCE

Dexinshuwu Collection, acquired in Hong Kong in 1990

Compare a similar jade disc excavated from the tomb of King of Zhongshan, Pinghsan County, Hebei Province, illustrated in *Cuo mu-Zhanguo Zhongshan guo guowang zhi mu*, Beijing, 1996, col. pl. 40, fig. 2.

### 戰國中期 穀紋玉璧

### 來源

德馨書屋舊藏,1990年購於香港

參閱河北省平山縣中山國國王之墓出土之近似例,見《聲墓 – 戰國中山國國王之墓》,北京,1996年,彩版 40 號,圖 2。

# 2726

# A WHITE AND RUSSET JADE RING, HUAN

MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The ring is carved on both sides with raised bosses in the form of comma spirals between raised borders. The stone is of a creamywhite tone with areas of russet inclusions. 5½ in. (14 cm.) diam., box

# HK\$250,000-400,000

US\$33,000-52,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1991

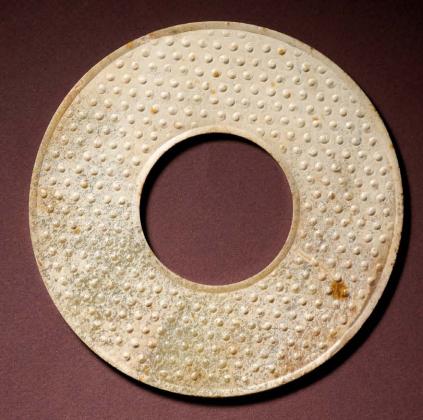
Compare a similar jade ring excavated from the tomb of King of Zhongshan, Pinghsan County, Hebei Province, illustrated in *Cuo mu-Zhanguo Zhongshan guo guowang zhi mu*, Beijing, 1996, col. pl. 40, fig. 1.

### 戰國中期 穀紋玉環

### 來源

藍田山房舊藏,1991年購於台北

參閱河北省平山縣中山國國王之墓出土之近似例,見《譽墓 – 戰國中山國國王之墓》,北京,1996年,彩版 40 號,圖 1。







# 2727 A PALE CELADON JADE 'DRAGONS AND CLOUDS' RING, *HUAN* EARLY WARRING STATES PERIOD, CIRCA 476-400 BC

The ring is carved on both sides with various motifs including raised comma spirals, c-scrolls, and cloud scrolls, arranged to represent abstract dragons amid clouds.

4% in. (12.3 cm.) diam., box

# HK\$160,000-200,000 US\$21

US\$21,000-26,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1992

Compare with a very similar jade ring excavated from the Tomb of Marqui Yi of Zeng, Suizhou, Hubei Province, and illustrated in Zhongguo yuqi quanji-3-Spring Autumn Period, Hebei, 1993, no. 162.

# 戰國早期 青白玉雲龍紋玉環

### 來源

藍田山房舊藏,1992年購於香港

比較湖北省隨州市曾侯乙墓出土一件紋飾甚爲類似的玉璧,見《中國 玉器全集 -3- 春秋 戰國》,河北,1993 年,圖版 162 號。

# 2728 A PALE CELADON AND RUSSET JADE DISC, *BI*

MID WARRING STATES PERIOD, CIRCA 400-300 BC

The disc is carved on one side with raised bosses in the form of comma spirals ecnlosing the central aperture within narrow raised borders. The reverse plain. The stone is of a pale celadon tone with areas of russet and green inclusions.

4¾ in. (12 cm.) diam., box

### HK\$160,000-200,000 U.

US\$21,000-26,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1991

Compare a similar jade disc excavated from the tomb of King of Zhongshan, Pinghsan County, Hebei Province, illustrated in *Cuo mu - Zhanguo Zhongshan guo guowang zhi mu*, Beijing, 1996, col. pl. 40, fig. 1. A few areas on the rim of the present disc have altered to an emerald colour from being in contact with bronzes, suggesting that it was mounted on other vessels or hung on the walls as decoration.

### 戰國中期 鑲嵌用穀紋玉璧

# 來源

藍田山房舊藏,1991年購於台北

參閱河北省平山縣中山國國王之墓出土之近似例,見《譽墓 – 戰國中山國國王之墓》,北京,1996年,彩版 40 號,圖 1。本玉璧邊緣局部有銅沁,顯示其曾作爲鑲嵌用。

# 2729 A PALE YELLOWISH-CELADON JADE 'DRAGON' SPLIT-DISC, *JUE* LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

Both sides of the disc are carved with different types of scrolls in double-line grooves, interspersed with finely incised comma spirals, ovals and shaped cartouches filled with parallel lines depicting four abstract dragons. The stone is of a pale yellowish-green tone with small areas of russet and white opaque inclusions.

3<sup>5</sup>/<sub>16</sub> in. (8.4 cm.) diam., box

# HK\$60,000-80,000

US\$7,800-10,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1991

# 春秋晚期 玉龍紋玦

### 來源

藍田山房舊藏,1991年購於香港

此玦可能由齊家玉料在春秋早期改件,工藝刀法與兩件於江蘇省吳 縣嚴山出土的兩件玉飾類似,見《中國玉器全集 -3- 春秋戰國》, 河北,1993 年,圖版 94、95 號。

# 2730 Two pairs of Jade Ornaments

EARLY SPRING AND AUTUMN PERIOD, CIRCA 770-670 BC

The lot comprises a pair of pale celadon flattened jade split-disc ornaments, *jue*, each incised on one side with a double-headed dragon; and a pair of small pale celadon jade ornaments each carved in the form of a single-horned coiled dragon pierced with three suspension holes.

Slit-disc ornaments: each 1½ in. (3.8 cm.) diam., boxes (4)

# HK\$40,000-60,000

US\$5,200-7,800

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1990

# 春秋早期 龍紋玉玦、玉飾共兩對

### 太湄

養德堂舊藏,1990年購於台北

參考山西省聞喜縣上郭墓地 55 號墓出土一件紋飾近似的玉玦,載於 《中國出土玉器全集 -3- 山西》,北京,2005 年,圖版 161 號。



2729









# A CELADON JADE CHU-STYLE DISC. *BI*

EARLY TO MID-WARRING STATES PERIOD, CIRCA 476-300 BC

The disc is carved on both sides with raised bosses in the form of comma spirals ecnlosing the central aperture between raised borders. The stone is of sea-green tone with much of the areas covered under alteration.

6 1/16 in. (16.6 cm.) diam., box

## HK\$150,000-250,000

US\$20,000-32,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1993

During the Eastern Zhou period, Zhou rulers gradually lost their control of power, and the Chu State in the Yangzi River region grew rapidly. The 'animal-spirits worship' of ancient Eastern China and the deeprooted 'bi-disc worship' enjoyed a renaissance. Hubei, Hunan and Anhui were the centre of the Chu culture. At some unknown location a jade mine of grass-green coloured nephrite was uncovered, and the Chu people used it to make sizeable grain-patterned bi discs, such as the present lot, and grain-patterned huang pendants, such as lot 2732 in this sale. compare a larger disc (21.7 cm.) similarly carved with a large central aperture from the Yangdetang Collection, sold at Christie's Hong Kong, 29 November 2017, lot 2777.

# 戰國早中期 青玉楚式穀紋璧

### 來源

藍田山房舊藏,1993年購於香港

東周時,周天子的勢力漸衰,長江流域楚國迅速茁壯,遠古華東「物精崇拜」及深厚的「崇璧文化」再度復興。湖北、湖南、安徽是楚文化的核心地帶,不詳何處被發現草綠色的閃玉玉礦,楚人用之製作體量甚大的穀紋壁,如此件玉壁,及玉穀紋璜(見本場拍賣拍品 2732 號)。比較養德堂舊藏形制風格類似但較大的一件楚式穀紋壁(21.7 公分),2017年 11 月 29 日於香港佳士得拍賣,拍品 2777 號。

# 2732 A LARGE CELADON JADE CHU-STYLE PENDANT, *HUANG* EARLY TO MID-WARRING STATES PERIOD, CIRCA 476-300 BC

The arc-shaped pendant with serrated edges is carved on both sides with raised bosses in the form of comma spirals, framed within raised borders. The jade is of a dark celadon tone covered under russet alteration.

12½ in. (31.8 cm.) long, box

# HK\$80,000-150,000

US\$11,000-19,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1993

Compare a similar, but slightly smaller (26.4 cm.) huang from the Yangdetang Collection, sold at Christie's Hong Kong, 29 November 2017, lot 2776.

## 戰國早中期 青玉楚式穀紋大璜

### 來源

藍田山房舊藏,1993年購於香港

比較養德堂舊藏風格近似但略小的一件楚式穀紋璜(21.7 公分), 2017 年 11 月 29 日於香港佳士得拍賣,拍品 2776 號。







# 2733 A JADE 'DOUBLE-DRAGON' PENDANT, *HUANG* LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The arc-shaped pendant is carved with a dragon's head either end with their bodies intertwined, decorated with c-scrolls and comma spirals in low relief on both sides, and pierced with three suspension holes.  $4^{1}/_{16}$  in. (10.3 cm.) long, box

# HK\$150,000-250,000

US\$20,000-32,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1990

# 春秋晚期 雙龍首玉璜

### 來源

藍田山房舊藏,1990年購於台北

參閱河南省固始縣侯古堆一號墓出土一件風格類似的雙龍玉璜,見《中國玉器全集 -3- 春秋 戰國》,河北,1993年,圖版 127號。

# 2734 A JADE 'DOUBLE-DRAGON' PENDANT, *HUANG*LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The arc-shaped pendant with serrated edges is carved with gooved details on both sides with various scrolls depicting four abstract dragons in a vertical symmetry, pierced with a suspension hole on either end of the pendant.

41/8 in. (12.4 cm.) long, box

# HK\$150,000-200,000

US\$20,000-26,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1991

# 春秋晚期 龍紋玉璜

### 來源

藍田山房舊藏,1991年購於香港

此璜可能由齊家玉料在春秋早期改件,工藝刀法與兩件於江蘇省吳縣嚴山出土的兩件玉飾類似,見《中國玉器全集 -3-春秋戰國》,河北,1993年,圖版 94、95 號。

# 2735 TWO JADE 'DOUBLE-DRAGON' PENDANTS LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The lot comprises a jade arc-shaped 'dragon'pendant, *luang*, with serrated edges and carved with comma spirals on both sides depicting two dragons with a conjoined body and their heads on either end; and a semi-circle 'dragon' pendant, *heng*, with serrated edges andcarved with c-scrolls and comma spirals on both sides depicting abstract dragons. *Huang*: 4% in. (10.3 cm.) long, box *Heng*: 4% in. (12.5 cm.) long, box

(2)

# **HK\$160,000-250,000** *US\$21,000-32,000*

PROVENANCE

Huang: The Yangdetang Collection, acquired in Taipei in 1989

Heng: The Yangdetang Collection, acquired before 1999 from E Yuan Tang Chinese Art, Taipei

For a similar 'double-dragon' huang, see an example excavated from tomb no. 1 at Hougudui tomb site, Gushi County, Henan Province, illustrated in Zhongguo yuqi quanji-3-Spring Autumn Period, Hebei, 1993, no. 127. For a similar heng, see an example illustrated in Jades of Warring States Period, Taipei, 2007, no. 50.

# 春秋晚期 雙龍首玉璜及龍紋玉珩各一

### 來源

璜:養德堂舊藏,1989 年購於台北 茶:養德堂舊藏,1989 年購於台北

珩:養德堂舊藏,1999年前購於台北一言堂

比較河南省固始縣侯古堆一號墓出土一件風格類似的 雙龍玉璜,見《中國玉器全集-3-春秋 戰國》,河北, 1993年,圖版 127號。另參閱一件近似的龍紋珩,載 於《戰國玉器》,台北,2007年,圖版 50號。



# 2736 A JADE 'DOUBLE-DRAGON' PENDANT, *HUANG*

# MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The arc-shaped pendant is carved with a dragon's head with a split mouth on both ends with a conjoined body, carved with comma spirals on both side and pierced with a suspension hole to the centre and to one lower snout of the dragon. The semitranslucent stone is of pale greyish tone with black speckling.

4% in. (11.2 cm.) long, box

# HK\$100,000-150,000

US\$13,000-19,000

### PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1988

Compare three 'double-dragon' pendants of this shape excavated at the Guwei Village tomb site, Hui County, Henan Province, one plain, the other two nearly identical to each other and very similar to the present pendant but with a more angular jaw, illustrated in Zhongguo yuqi quanji-3-Spring Autumn Period, Hebei, 1993, nos. 195, 196, 197, respectively.

# 戰國中期 雙龍首玉璜

# 來源

養德堂舊藏,1988年購於台北

比較河南省輝縣固圍村出土三件造型類似的雙龍玉璜,一光素無紋飾,其他二件近乎相同,應爲一組玉珮中的兩件飾物,亦與此十分相近,惟鼻吻、下顎等處處理較爲尖銳,見《中國玉器全集 -3-春秋 戰國》,河北,1993年,圖版 195、196、197 號。

# 2737 A JADE 'DOUBLE-DRAGON' PENDANT, HUANG

# MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The arc-shaped pendant is carved with a dragon's head with a split mouth on either end with their bodies intertwined, carved with comma spirals on both sides and pierced with a suspension hole to the centre. The stone is of mottled greenish-white tone.

4¾ in. (12 cm.) long, box

# HK\$100,000-150,000

US\$13,000-19,000

### PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1988

The present pendant is very similar to the preceding lot in this sale, lot 2736 but more slender in proportion. See lot 2736 for discussion on other similar pendants.

### 戰國中期 雙龍首玉璜

### 來源

養德堂舊藏,1988年購於台北

此璜與本場拍賣拍品 2736 號近似,惟身形較細長。見拍品 2736 號關於其他近似例之討論。

# 2738 A PALE JADE 'DOUBLE-DRAGON' PENDANT, HENG MID-WARRING STATES PERIOD, CIRCA 400-300 BC

The slender arc-shaped pendant is carved with a dragon's head on either end with a long snout and a split mouth, and their bodies conjoined. Both sides are carved with comma spirals, with a tiny suspension hole to the centre.

415/16 in. (12.6 cm.) long, box

# HK\$160,000-250,000

US\$21,000-32,000

### PROVENANCE

The Yangdetang Collection, acquired before 1999 from E Yuan Tang Chinese Art, Taipei

Dragons found on pendants of the Mid-Warring States period are characterised by their long upturned snouts, split mouths and triangular horns extending backwards. It is interesting to note that the dragons on the present pendant have an unusually long snout. Compare a very similar 'double-dragon' pendant in the Yangdetang Collection, illustrated in Jades of Warring States Period, Taipei, 2007, no. 68.

### 戰國中期 雙龍首玉珩

### 來源

養德堂舊藏,1999年前購於台北一言堂

以線具拉切出張口翹鼻及後掠地三角形龍角的 雙龍紋造型於戰國中期極爲盛行。此器上翹的 龍鼻尤爲誇張。比較養德堂藏一件極爲類似的 雙龍玉珩,見《戰國玉器》,台北,2007年, 圖版 68 號。







# A RETICULATED JADE 'DOUBLE DRAGON AND PHOENIX' PENDANT, *HUANG*

LATE WARRING STATES PERIOD, CIRCA 300-221 BC

The arc-shaped pendant is carved with a dragon's head on both ends with an upcurved snout, almond-shaped eye and a s-shaped horn with their bodies intertwined at the centre between an openwork flange with two addorsed phoenix above and a scroll border below. The stone is of a pale greyish celadon tone with areas of alteration concentrated on one end. 6% in. (16.2 cm.) long, box

# HK\$800,000-1,200,000

US\$110,000-160,000

PROVENANCE

Jinhuatang Collection, acquired in Taipei in 1996

戰國晚期 玉雙龍鳳出廓璜

來源

金華堂舊藏,1996年購於台北







another view 另一面

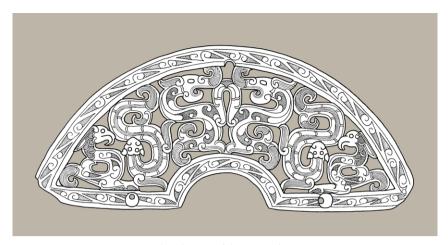
# 2739 Continued

Compare a similar 'double-dragon' pendant carved with openwork clouds on the upper flange and *chilong* on the lower flange, excavated from tomb no. 1 at the Shangwang Village, Zibo City, Shandong Province, illustrated in *Zhongguo chutu yuqi quanji-4-Shandong*, Beijing, 2005, no. 192 (**fig. 1**).

此珮作雙龍首形,口部透雕,尖唇,杏仁目,獨角。中部上緣透雕相背雙鳳紋,下緣透雕雲紋,雕工十分細緻。參考一件山東省淄博市臨淄區商王村 1 號墓出土的造型類似的玉雙龍鳳出廓璜,其身驅較粗,上下緣出廓處分透雕雲紋及螭紋,見《中國出土玉器全集 -4- 山東》,北京,2005 年,圖版 192 號(圖一)。



fig. 1 圖一



line drawing of the present lot 本拍品之線描圖

# 2740 AN IMPORTANT JADE OPENWORK 'DRAGON AND PHOENIX' PENDANT, *HUANG*MID TO LATE WARRING STATES PERIOD, CIRCA 400-220 BC

The pendant is well-carved and pierced as a pair of dragons, serpent-like juvenile dragons, *chihui*, and phoenixes, all within a fine border decorated with scrolls and fine grid-pattern. There are two drilled holes along the bottom side of the arc.  $4\frac{5}{8}$  in. (11.8 cm.) long, box

# HK\$1,800,000-2,500,000

US\$240,000-320,000

### PROVENANCE

Jinhuatang Collection, acquired in Taipei in 1998

### LITERATURE

Teng Shu-ping, Collectors' Exhibition of Archaic Chinese Jades, Taipei, 1999, no. 161

### EXHIBITED

National Palace Museum, Collectors' Exhibition of Archaic Chinese Jades, Taipei, 1999, no. 161

### 戰國中晚期 鏤雕龍鳳紋玉璜

### 來源

金華堂舊藏,1998年購於台北

### 出版

鄧淑蘋,《群玉別藏續集》,台北,1999年,圖版 161號

### 展覽

國立故宮博物院,《群玉別藏續集》,台北,1999 年,圖錄 圖版 161 號



# 2740 Continued

The dragon on the current jade *huang* has long scrolling snout, which can be found on other jade ornaments of the Warring States period. See one jade *kui* dragon pendant excavated from Changtaiguan no.1 tomb, Xinyang County, Henan Province, now in the National Museum of China, illustrated in *Zhongguo yugi quanji*, Volume 1, Shijiazhuang, 2005, pl.no.251.

There is a semicircle in-between the two dragons on the arc, which suggest the jade may designed for suspension. The two holes along the bottom side may have been drilled to connect to other jade ornaments.

青玉,沁為斑斕的灰白、深褐與灰黑色斑點。扇面形,似半壁 而略長,扁平體,厚薄不一,似為新石器時代的半壁璜改製而 成。輪廓外圍卷雲紋及斜方格紋,其內對稱鏤雕捲曲狀龍,虺 及鳳紋,並以陰線雕琢曲線紋、卷雲紋及斜方格紋做器表裝飾。 二龍鼻相對處有一孤形凹陷,似供穿繫懸掛用。下方寬邊左右 兩側各一兩面對鑽的圓穿,可能屬新石器時代晚期時所爲,亦 可能用以聯繫其他玉飾。

本器上所飾之龍喙長而捲曲,龍爪拳曲。 亦見於同時期其他玉珮。比較一河南省信陽縣長臺關 1 號墓的玉雙夔龍紋珮,現存於中國國家博物館,見楊伯達主編,《中國玉器全集》(上),2005 年,石家莊,圖版 251 號。



# 2741 TWO JADE SHIELD-FORM ORNAMENTS

EARLY WARRING STATES PERIOD, CIRCA 476-400 BC

Each jade is of slightly concave form, decorated on one side with two pairs of coiling dragons, with four pierced holes along the edge.

2¾ in. (7 cm.) long each, box

HK\$30,000-50,000

US\$3,900-6,500

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1993

Compare a shield-from jade also from the early Warring States period, excavated at Yue kingdom tomb, Hongshan village, Wuxi, Jiangsu Province, illustrated in *The Complete Collection of Jades Unearthed in China*, Volume 7, Beijing, 2005, pl.no. 81.

### 戰國早期 盾形玉飾兩件

### 來源

藍田山房舊藏,1993年購於香港

近似戰國早期例可參考江蘇省無錫市鴻山鎮越國貴族墓一件,現藏於南京博物院,見《中國出土玉器全集》,第7冊,北京,2005年,圖版81號。

# 2742 A GROUP OF FIVE JADE Ornaments

LATE SPRING AND AUTUM PERIOD-MID WARRING STATES PERIOD, CIRCA 570-300 BC

The lot consists of a jade 'twin-dragon' pendant, late Spring and Autumn period, a jade 'twin-dragon' *huang*, late Spring and Autumn period, a jade *hang* pendant, mid Warring States period, a jade cylindrical bead, mid Warring States period, and a jade *le*, mid Warring States period.

Largest: 31/8 in. (8 cm.) long, boxes

(5)

HK\$80,000-120,000

US\$11,000-16,000

PROVENANCE

Bottom right:

Lantien Shanfang Collection, acquired in Hong Kong in 1992 Others:

The Yangdetang Collection, acquired in Taipei from 1989 to 1990

# 春秋晚期至戰國中期 玉飾五件

### 來源

右下:

藍田山房舊藏,1992年購於香港

其他:

養德堂舊藏,於1989年至1990年購於台北













2743



2744

# 2743 A JADE ORNAMENT EARLY SPRING AND AUTUMN PERIOD, CIRCA 770-670 BC

The flat finial is decorated with double meander lines below bow-string bands, drilled with five evenly-spaced holes down the middle.

41/8 in. (10.5 cm.) long, box

HK\$50,000-80,000

US\$6,500-10,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1999

### 春秋早期 玉柄形器

### 來源

藍田山房舊藏,1999年購於台北

雙線紋亦見於春秋早期其他形制玉器上,如一對玉玦,見震旦藝術博物館,《春秋玉器》,上海,2010年,圖版196號。

# 2744 A JADE 'DRAGON' ORNAMENT LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The jade is carved with in low-relief with scrolling serpent-like juvenile dragons.

3 in. (7.5 cm.) long, box

HK\$30,000-50,000

US\$3,900-6,500

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1992

# 春秋晚期 玉柄形器

# 來源

藍田山房舊藏,1992年購於香港

飾有相似淺浮雕龍紋例可參考一件春秋晚期玉扁瑚 ,見《春秋玉器》, 上海,2010 年,圖版 160 號。

# 2745 A JADE CYLINDRICAL BEAD LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The tall bead of tapering form is carved at the sides in relief with comma spirals.

41/s in. (10.5 cm.) long, box

HK\$80,000-120,000

US\$11,000-16,000

Lantien Shanfang Collection, acquired in Hong Kong in 1991

# 春秋晚期 玉雲穀紋管

藍田山房舊藏,1991年購於香港

相似例可比較震旦藝術博物館藏一件春秋晚期雲穀紋玉扁瑚,見《春秋 玉器》,上海,2010年,圖版170號

# 2746 A GROUP OF TWO JADE LONG FLAT BEADS, LE LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The two jades are of flattened rectangular form with notched sides, one carved with four panels of c scrolls divided by rope bands; the other carved with dragons formed by c scrolls and comma spirals, drilled through the centre along the length of the body.

Larger: 41/2 in. (11.4 cm.) long, boxes

# HK\$80,000-120,000

US\$10,000-16,000

PROVENANCE

Left: Lantien Shanfang Collection, acquired in Taipei in 1992 Right:Jinhuatang Collection, acquired in Taipei in 1996

### 春秋晚期 玉扁墈兩件

左:藍田山房舊藏,1992年購於台北 右:金華堂舊藏,1996年購於台北









2746

# A GROUP OF JADE ORNAMENTS AND A JADE RING

# LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The lot consists of two *huang*, one *huan*, one *bi*, six *le*, four square form plaques a jade ornament, all decorated with c scrolls. Together with a jade cylindrical ornament. Largest:  $3^{15}$ /16 in. (10 cm.) long, box (16)

# HK\$600,000-800,000

US\$78,000-100,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1992

# 春秋晚期 玉組珮十五件及穀紋玉環一件

### 來源

藍田山房舊藏,1992年購於香港

相似的春秋晚期玉組佩可比較國立故宮博物院藏一例,含璧、龍、瑚、珩等十三個組件,見《春秋玉器》,香港,2010 年,圖版 15 號。



# 2748 A GROUP OF THREE JADE TIGER-FORM PENDANTS

SPRING AND AUTUM PERIOD, 770-476 BC

The lot consists of a jade tiger-form pendant (top), early Spring and Autumn period, and two jade tiger-form pendants, Spring and Autumn period.

Largest: 23/8 in. (6 cm.) long, box

# HK\$40,000-60,000

US\$5,200-7,800

PROVENANCE

Top and middle: Lantien Shanfang Collection, acquired in Taipei

Bottom: Lantien Shanfang Collection, acquired in Taipei in 1991

# 春秋 玉虎形珮三件

來源

上及中:藍田山房舊藏,1990年購於台北 下:藍田山房舊藏,1991年購於台北











2750

# 2749 A JADE OPENWORK PENDANT LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The pendant is carved and pierced with two crouching tigers with snout-like noses above a rectangular shaped plaque decorated with c-scrolls.

23/16 in. (5.5 cm.) long, box

HK\$50,000-70,000

US\$6,500-9,000

PROVENANCE

Dexinshuwu Collection, acquired in Hong Kong in 1990

春秋晚期 虎形玉珮

來源

德馨書屋舊藏,1990年購於香港

# 2750 A JADE MYTHICAL BEAST PENDANT AND A JADE TIGER-FORM PENDANT

LATE SPRING AND AUTUM PERIOD-EARLY WARRING STATES PERIOD, CIRCA 570 -400 BC

The lot consists of a late Spring and Autumn period jade tiger-form pendant, carved in the form of a crouching tiger shown in profile and a early Warring States period jade mythical beast pendant, Larger: 1% in. (4.8 cm.) long, boxes (2)

HK\$50,000-70,000

US\$6,500-9,000

PROVENANCE

Top: Lantien Shanfang Collection, acquired in Hong Kong in 1992 Bottom: Lantien Shanfang Collection, acquired in Hong Kong in

#### 春秋晚期 玉虎形珮及戰國早期 玉獸佩

來源

上:藍田山房舊藏,1992年購於香港 下:藍田山房舊藏,1991年購於香港

比較一相似玉獸形珮,同樣通體雕隱起的渦雲紋,出土於陝西省長治市分水嶺八四號墓,見《中國玉器全集》,第 3 冊,2006 年,石家莊,圖版 150 號。相似的春秋晚期玉虎形珮亦見於河北省中山國王 cuo 王墓中。另可比較養德堂舊藏一對相似例,拍賣於香港佳士得,2017 年 11 月 29日,拍品 2758 號。

#### 2751

# A RARE JADE TUBE-SHAPED PENDANT

LATE WARRING STATES PERIOD, CIRCA 300-221 BC

The jade is finely carved with a crouching tiger on top of the c scroll decorated tube above the leafy scroll.

3½ in. (9 cm.) long, box

HK\$1,200,000-1,800,000

US\$160,000-230,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1991

### 戰國晚期 虎紋管形玉飾

來源

藍田山房舊藏,1991年購於香港







fig. 1 Charles Lang Freer Endowment , Freer Gallery of Art and Arthur M. Sackler Gallery Collection 圖一 Charles Lang Freer 捐贈佛利爾美術館與亞瑟·M·賽克勒美術館

# 2751 Contiued

Compare to a similar jade ornament but decorated with comma scrolls on the tube instead, in the Freer Gallery Collection (**fig. 1**), illustrated in *Yuqi tongshi*, Voulume 1, Taipei, 1964, pl.no. 83. See also a simialr jade ornament of the late Warrings States peirod, decoarted with a phoenix was excavated from No.2 Yanggong tomb, Changfeng County, Anhui Province, illustrated in *The Pictorial Handbook of Ancitent Chinese Jades*, Beijing, 2017, p.206, top left.

比較弗利爾美術館藏一形制相似惟略大的戰國例(11.3公分長)(圖一),見那志良著《玉器通釋》,上冊,台北,1964年,圖版83號。此類管形飾亦見鳳紋,如安徽省長豐縣楊公2號墓例子,見古方著《中國古玉器圖典》,北京,2017年,206頁,左上。

# 2752 A TOAD-SHAPED JADE PENDANT MID TO LATE SPRING AND AUTUMN PERIOD, CIRCA 670-476 BC

The jade is of toad-form with finely incised scales. The pendant is drilled with a longitudinal channel. 11/4 in. (3.2 cm.) long, box

Lantien Shanfang Collection, acquired in Taipei in 1991

#### HK\$80,000-120,000

US\$11,000-16,000



rubbing of the present lot 本拍品之拓片

春秋中晚期 蛙形玉珮

# 來源

PROVENANCE

藍田山房舊藏,1991年購於台北

#### 2753

# A GROUP OF TWO JADE ARCHER'S RINGS, SHE LATE SPRING AND AUTUM PERIOD-WARRING STATES PERIOD, CIRCA 570-221 BC

The lot comprises two archer's thumb rings, one carved on one outer edge with a scroll and decorated on the front side with comma scroll; the other with a projecting scroll. Larger: 23/8 in. (6 cm.) long, box (2)

#### HK\$80,000-120,000

US\$11,000-16,000

PROVENANCE

The Yangdetang Collection, acquired from E Yuan Tang Chinese Art, Taipei, prior to 1999

#### 春秋晚期至戰國 韘形玉珮兩件

#### 來源

養德堂舊藏,1999年前購於台北一言堂







# 2754 AN EXCEPTIONAL AND RARE JADE INSET BRONZE *GE*-HALBERD BLADE

# LATE SPRING AND AUTUM PERIOD-EARLY WARRING STATES PERIOD, CIRCA 570-400 BC

The jade *ge* carved with two median ridges and bevelled edges, the *tang* decorated with serpent-like juvenile dragons, *hui* and the decoration continues to the openwork bronze *nei*. The bronze haft is delicately cast with a crouching mythical beast lying on top of the phoenix' head, connected with hilt decorated with *taotie* mask. 5% in. (13.5 cm.) long, box

### HK\$1,800,000-2,500,000

US\$240,000-320,000

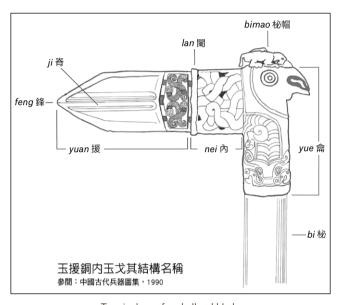
PROVENANCE

Dexinshuwu Collection, acquired in Taipei in 1997

#### 春秋晚期至戰國早期 玉援鳳首銅內戈

#### 來源

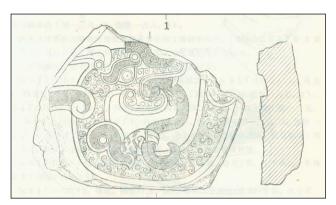
德馨書屋舊藏,1997年購於台北



Terminology of ge-halberd blade







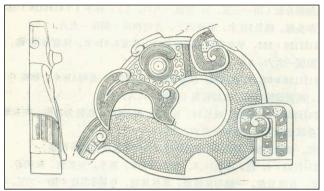


fig 1 Renderings of moulds for similar fittings excavated at Houma 圖一 候馬鑄銅遺址出土模范線描圖

## 2754 Continued

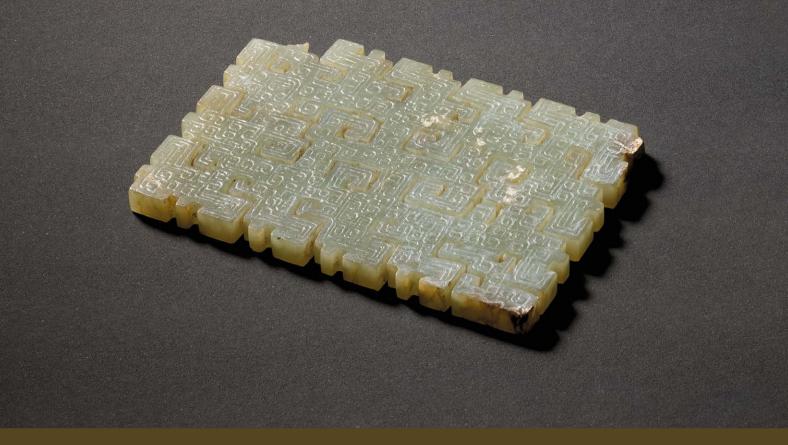
The jade *yuan* only inserted less than 0.5 cm. into the bronze *nei*, together with the delicacy of the craftsmanship indicates this current piece is for ceremonial use.

Jade inset bronze *ge*-halberd blades were popular during the Shang Dynasty but rarely seen during the Spring and Autumn period. The decorative motifs, such as the *hui* dragons and the phoenix used on the bronze haft is similar to the bronze moulds excavated from the Eastern Zhou Jin Kingdom site, Niu Village, Xintian County, Houma City, Shanxi Province, illustrated in *Houma zhutong yizhi*, 1993, Beijing, p. 245, pl.2 and p. 248, pl. 1 (**fig. 1**).

此器玉援爲青白玉,玉質溫潤,直援,援起脊,與銅內銜接處陰刻四隻 交纏小虺龍, 與銅內鏤空之四隻小虺龍相互呼應。龠的上半部爲鳳首, 羽紋精細,鳳首上爲卧獸造型的柲帽,小龍由鳥嘴穿過至獸口、龍首再 由獸身衝出,極具巧思。龠的下半部爲獸面紋,龠內殘留有柲的木屑。

玉戈一般較薄,玉質脆硬不宜碰撞,玉援嵌入銅內不到半厘米,較容易 脫落, 而且雕琢精緻,顯然不是實用的兵器,應是作爲權杖之用的禮儀 器。

銅內玉戈,常見於商代,春秋戰國已不多見。此件精美的玉援銅內 戈,銅內及龠上的紋飾與東周時期晉國侯馬鑄銅遺址的出土資料幾乎 相同,見《侯馬鑄銅遺址》,北京,1993年,頁245圖版2,頁248圖版1(圖一)。同樣的高水平技法工藝、相似的由獸銜龍紋或龍銜小虺龍紋等風格,可大膽的判斷此件銅內玉戈爲春秋晚期晉國的上乘之作。



# 秦式玉器

秦,從公元前770年秦襄公受周平王封爲公爵,正式列爲諸侯建國開始,至公元前221年秦始皇滅六國統一天下,歷經550年。從一個地處西北偏僻的蕞爾小國,逐步崛起爲春秋五霸、戰國七雄,最終統一中國;除了政治、經濟、軍事諸原因之外,不斷吸收外來文化的營養也是主要原因之一。

秦與中原諸國相較,立國較晚,又長期處於西北一隅並與戎 狄雜居,誠如《史記·秦本紀》所言:「秦僻在雍州,不與中國 之會盟,夷翟遇之。」秦正是與東方諸國處在互相對立的半隔 絕狀態下,經營陝西關中汧、渭、岐、豐之地,收周餘民,並在 接受周文化的基礎上,逐步發展出一種地域性和民族性較強 而獨具特色的「秦文化」,同時,秦文化本身就包含了許多夷 狄文化的因素。

如果我們從器物學方面觀察,秦國的各類器物,其造型、紋 樣及製作工藝與東方諸國不盡相同,有較爲鮮明的特徵; 其中,學術界便將具有上述特徵的秦國玉器,稱之爲「秦式 玉器」。 「秦式玉器」迄今發現最多的是位於陝西鳳翔縣的秦都雍城 遺址、鳳翔縣南指揮村秦景公大墓、寶雞市益門村二號春秋 秦墓、秦都咸陽城遺址、西安市北交戰國晚期至秦代祭祀坑 等,另外在西漢初年的墓葬中往往也有「秦式玉器」的發現。 從目前已發現的玉器分析判斷,秦式玉器風格萌芽於春秋早 期,成長於春秋中期,成熟於春秋晚期,戰國時期仍有延續, 但總體呈衰落趨勢。

春秋晚期的秦式玉器,不但數量最多,種類最複雜,用途也更具多樣性。包括禮器(如圭、璧、璜、玦)、儀仗(如戈、劍)、符節器(如玉石鞋底)、陳設器(如秦式龍紋長方形突齒鏤空玉牌、秦式龍紋梯形玉牌)、藝術品(如玉雕馬頭)、實用器(如玉帶鈎、玉帶鐍、白玉掏耳勺、玉石扣)、裝飾品(如玉繫璧、玉璜、玉環、玉珩、玉玦、玉觹、玉佩、串飾)、葬玉(如玉琀、玉覆面),以及可能是「棺飾」的玉魚、玉貝、龍紋突齒斜角長條型玉器。



#### 春秋晚期的秦式玉器,有三大特色:

- (一) 造型:以幾何形狀爲主,如長方形、鏤空長方形、片狀 弧形、半月形、凸面圓形、圓片凹腰形、鏤空宮燈形、鏤空三角形、鏤空三角形、梯形、弧狀梯形、片狀盾 形、片狀亞腰形、亞字形、鏤空亞字形、尖頂等腰雙足形、U形、工字形、馬鞍形、拐角尖足形、長條斜角磬形、突齒斜角長條形、鑿形、鐘形、半圓竹節形、鞋底形等,其中許多形制迄今僅見於秦國。其次是圓雕,如玉麥 粒、玉馬頭、鴨首形、鳥首形、龜形、貝形、蟬形、蝸牛形。
- (二) 裝飾紋樣:最獨特之處,就是以方折迴轉的細陰線, 組成幾何形狀的各式龍紋。這些獨具特色的龍紋,有 些是頭、眼、鼻、口、唇、冠毛、角、獠牙、足、身尾齊全; 有些是僅有頭部,略去身尾;有些有頭無眼,有的以 S 紋來代表龍紋,有時以龍角代表龍紋。秦式玉器的裝 飾紋樣目前只有陰線刻,不見淺浮雕和高浮雕。
- (三) 雕琢工藝:主要爲鏤空工藝、鑽孔工藝、切邊工藝、開口工藝、研磨凹面工藝、鑲嵌工藝、裝飾工藝等幾種。

秦國自立國以來,即處於西北苦寒之地,資源匱乏,生活艱困, 又須與戎狄游牧部族周旋,養成了刻苦圖存的強悍民風,這 種地域性的陽剛特質,於秦式玉器的造型、紋飾中稜角分明、 霸氣簡潔的幾何形狀裡展露無遺。

\* 此篇〈秦式玉器〉內容之整理,主要是參酌引用了前陝西省 文物局副局長劉雲輝先生所著《陝西出土東周玉器》(2006 年7月文物出版社,衆志美術出版社出版)中<陝西出土東周 玉器研究>一文,由於此書收錄的秦式玉器最爲齊全,提供了 研究者最佳的入門工具,於此特別感謝。

早在1996年,由台北「中華文物學會」出版的《周原玉器》即由劉雲輝先生執筆,此書歷經3年完成,資料齊全,咸認爲是中國玉器發展史斷代的標竿。猶記得1998年11月14日,有幸在台北鴻禧美術館初識當時擔任陝西省文物局文物處處長劉雲輝先生,相談融洽,獲益良多。再遇劉雲輝先生,是2012年在台北故宮〈赫赫宗周〉特展的展場,劉雲輝先生時任陝西省文物交流協會副會長,促成了這次大型展覽,並出版〈赫赫宗周〉一書,對兩岸藝術文化的交流推廣貢獻卓著。



# QIN-STYLE JADES

# **Chang Wei-Hwa**

The Qin a state lasted 550 years, from 770 BC when Duke Xiang of Qin was first invested with a dukedom by the King Ping of Zhou, until 221 BC when the first Emperor, Qin Shihuangdi, conquered six other states to form a unified country. Emerging as a small insignificant state in the northwest corner, it rose to become one of the Five Hegemons of the Spring and Autumn period, then one of the Seven Strong States of the Warring States period, until the unification of China. Apart from various political, economical and military factors at play, it owes its success in large part to its constant assimilation of other cultures.

Compared to the other states in the Central Plain, Qin's establishment is relatively late, and is situated at the north west corner, neighbouring the Rong and Di tribes. It is said in *Shiji: Qin Benji* (Records of the Grand Historian: Chronicle of Qin), 'Qin is situated remotely at Yongzhou, and it did not attend the Alliance Meetings of the central states, but met with the Yi and Zhai tribes.' It is in this isolated existence away from the eastern states that Qin was able to cultivate the land of Shaanxi and Guanzhong, incorporating the remnant Zhou people and

their culture to form a localised ethnic 'Qin Culture', which, concurrently, includes many elements from tribal cultures.

From a stylistic point of view, Qin artifacts vary in form, decoration and techniques of manufacture to those of the eastern states and are very distinctive. Archaic jades from the Qin states are therefore categorised as 'Qin-style jades' in academia.

Qin-style jades have been excavated mainly at the Qin capital Yongcheng in Fengxiang County, Shaanxi; the tomb of Duke Jing of Qin at Zhihuicun, south of Fengxiang County; the Qin tomb No.2 at Yimencun in Baoji; Qin capital Xianyang; the sacrificial pit dated to late Warring States to Qin Dynasty to the north of Xi'an; as well as examples of Qin-style jades found in tombs dated to early Western Han. From excavated examples we could see that Qin-style jades first appeared in early Spring and Autumn period and reached a zenith during late Spring and Autumn period. Although the style continues through the Warring States period, it was already in decline.

Qin-style jades dated to the late Spring and Autumn period are the most numerous, and most varied in from as well as in



function. They include ritual objects (such as *gui*, *bi*, *huang* and *jue*); ritual weapons (such as *ge* and *jian*); tallies (such as jade 'soul'); display objects (such as reticulated rectangular plaques with serrated edges; trapezoidal plaques with dragon decoration); objects of art (such as jade horse heads); utility objects (such as jade belt hooks, jade belt rings, white jade ear pick, jade buttons); jade ornaments (such as jade *bi*, *huang*, *huan*, *xing*, *xi*, and other jade pendants and beads); burial jades (such as *han* and masks); and ornaments probably made for the sarcophagus (such as fish, shells, and slender plaques with angled ends decorated with dragons).

There are three distinct characteristics on Qin-style jades of the late Spring and Autumn period:

- Form: predominantly geometric shapes, some that are unique to Qin-style jades, as well as three-dimensional forms such as wheat grain, horse head, duck head, bird head, tortoise, shell, cicada and snail.
- 2. Decoration: the most distinctive being the incised angular lines that form geometric dragon patterns; some complete with head, eyes, nose, mouth, lips, plume, antler, teeth, claw and body; others merely head without body or head without eyes; some use S scrolls or antlers to represent dragons. Only incised decorations are used on Qin-style jades, no relief carving has appeared as yet.
- 3. Carving techniques: piercing, drilling, cutting, polishing, inlay and incised decoration.

Since its inception, the harsh northwest environment, the lack of resources, the arduous living conditions and being surrounded by nomadic tribes have forged the stoic characters of the Qin State. This uniquely masculine quality manifests in the angled, clean geometric shapes and decorations of Qin-style jades.

\* The content of the current introduction mainly derives from *Shaanxi Chutu Dongzhou Yuqi* (Excavated Eastern Zhou Jades in Shaanxi) by Liu Yunhui, former Vice Director of the Shaanxi Cultural Relics Bureau. This publication includes the most complete collection of Qin-style jades which is invaluable to researchers, for which I offer my gratitude.

In 1996, the Chinese Culture and Fine Arts Association published *Zhouyuan yuqi* (Jades of the Zhou Plain), which was penned by Mr. Liu Yunhui. This book took three years to finish and includes abundant materials, widely considered the standard for dating developmental Chinese jades. I still remember meeting Mr. Liu, who was Head of Cultural Relics Department of Shaanxi Cultural Relics Bureau, in the Chang Foundation on the 14th November 1998. I enjoyed our conversation very much and benefited greatly from it. The next time I met him was in the Taipei Palace Museum in 2012, at *The Cultural Grandeur of the Western Zhou Dynasty* exhibition. He was the vice director of Cultural Exchange Association of Shaanxi, which was responsible for this large scale exhibition and the publication of its catalogue, making incalculable contribution to cross-strait cultural exchange.

# 2755 A QIN-STYLE JADE OPENWORK ORNAMENT

LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The flat pale celadon jade is of square shape, delicately carved and pierced with interlocking dragons, highlighted with c-scrolls. 2 in. (5 cm.) high, box

### HK\$100,000-150,000 US\$13,000-19,000

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1988

Compare to a late Spring and Autumn period jade plaque carved with similar dragon motif, excavated from the Qingong no.1 tomb, Nan zhihui Village, Fengxian County, Shaanxi Province, illustrated in *Qin Culture Exhibition*, Taipei, 2016 cat. no. 112 (**fig. 1**).

Compare also a late Spring and Autumn period jade openwork Qin-style 'double dragon' pendant formely in the Yangdetang Collection, sold at Chrisitie's Hong Kong, 29 November 2017, lot 2759.

#### 春秋晚期 秦式龍紋鏤空玉牌

#### 來源

養德堂舊藏,1988年購於台北

此器爲長方形扁平體,右下角略有殘缺。器身四邊均切有若干口小底大的缺口,每側邊上皆有數條由外向內切割的方折線狀孔,居中部位亦有方折的雲紋和勾連雲紋線形孔,正面佈滿了陰刻的秦式龍紋,背面則平整無紋。在左右兩側的居中部位鑽有兩對四個隧孔,以供縫綴之用。

此器與陝西鳳翔縣南指揮村秦公一號大墓出土的春秋晚期秦式鏤空玉飾相類,且更爲完整,可參閱《贏秦溯源:秦文化特展》,2016年,台北,圖版 112號(圖一)。

另可參考養德堂舊藏一件春秋晚期靑白玉秦式鏤空雙龍珮,2017 年 11 月 29 日於香港佳士得拍賣,拍品 2759 號。



fig. 1



# 2756 A QIN-STYLE JADE OPENWORK ORNAMENT

# LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The flat jade ornament is carved with two pairs of dragons with long snouts pointing upward and lower jaws resting on the necks of the adjacent dragon, protruding from the sides of the square, highlighted by the delicately incisied detail.

2% in. (5.5 cm.) wide, box

### HK\$100,000-150,000

US\$13,000-19,000

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1988

Compare to a late Spring and Autumn period jade plaque carved with similar decorative motif excavated from Qingong no.1 tomb, Nan zhihui Village, Fengxian County, Shaanxi Province, illustrated in *Shaanxi chutu dongzhou yuqi*, Beijing, 2006, pp. 82-83, pl. FN32 (**fig. 1**).

#### 春秋晚期 秦式龍紋鏤空玉牌

#### 來源

養德堂舊藏,1988年購於台北

此器玉質溫潤,方形扁體,有殘缺,底部平齊,右方和上方兩邊鏤雕出 直折線形孔,邊緣鏤雕出「八」字形缺口,形成齒稜;左下方方形臺面 底部向上刻有深槽,槽邊有二個三角形鏤雕透孔。通體細線陰刻相互勾 連的龍首紋兩面刻紋基本相同,爲典型春秋晚期秦式玉器。

此器與 1986 年陝西鳳翔縣南指揮村秦公一號大墓出土的春秋晚期秦式龍紋長方形突齒鏤空玉珮相類,且更爲完整,可參閱 2006 年劉雲輝編著的《陝西出土東周玉器》82、83 頁,圖 FN32(圖一)。



fig. 1 圖一



# 2757 A GROUP OF TWO QIN-STYLE JADE ORNAMENTS

LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The flat jade pendent is carved with three horizontal flanges around the waist, one finely incised with c-scrolls, and the other delicately pierced and incised.

Larger: 1% in. (4 cm.) long, box (2)

### HK\$120,000-180,000 US\$16,000-23,000

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1988

Jades in the form of the character Ya 「亞」 were popular during the late Western Zhou to early Spring and Autumn period. Compare to jade ornaments of similar form and decoration excavated from Qingong tomb no.1, Nan zhihui Village, Fengxiang County, Shaanxi County, illustrated in *Shaanxi chutu dongzhou yuqi*, Beijing, 2006, pls. FN69 (**fig. 1**), FN72.

A Western Han jade pillow (**fig. 2**) excavated from Chu tomb, Shizishan, Xuzhou, Jiangsu Province, now in the Xuzhou Museum Collection, has thirty-five jade ornaments of this shape decorated on the surface.

#### 春秋晚期 秦式龍紋「亞」字形玉珮兩件

#### 來源

養德堂舊藏,1988年購於台北

「亞」字形玉飾的形制,源自周人傳統,為流行於西周晚期至春秋早期 的東帛形玉飾。此兩件亞字形玉飾,由雕工技法與裝飾紋樣可確知爲春 秋晚期秦人承襲周文化而製作的秦式玉器。

91 頁圖下邊的亞字形玉飾,扁平體,腰部爲三東突稜,上下以細線陰刻兩組對稱之龍紋,極爲精緻;此器的形制與紋飾與 2006 年劉雲輝編著的《陝西出土東周玉器》104 頁,圖 FN69 左一的秦式龍紋亞字形玉佩相類(圖一)。亦可參閱《嬴秦溯源——秦文化特展》,台北,2016 年,頁 73,圖 024,陝西鳳翔縣南指揮村秦公一號大墓出土的春秋晚期束帛形玉飾。

91 頁圖上邊的亞字形玉飾,扁平體,腰部爲三束突稜,上下兩側皆鏤雕出左右對稱的勾連雲紋狀透孔,中央上下則鏤雕出 T 字形透孔,在器孔邊緣隨形陰刻出方折的勾連雲紋,精工大器;此器的雕工、形制與紋飾,與 2006 年劉雲輝編著的《陝西出土東周玉器》105 頁,圖 FN72 的〈秦式龍紋亞字形鏤空玉珮〉相類,亦可參閱 2016 年 10 月台北故宮《嬴秦溯源——秦文化特展》圖錄 73 頁,圖 024 陝西鳳翔縣南指揮村秦公一號大墓出土的春秋晚期〈東帛形玉飾〉。

這類春秋晚期秦式亞字形玉飾,主要是用於何處?目前最著名的用途出現在徐州博物館所藏的西漢早期「食官監」玉枕(圖二)。此玉枕1995年出土於江蘇徐州獅子山西漢早期楚王墓,呈板凳狀,由枕足、枕板、獸頭飾三部分構成,枕板內爲一長方形木枕芯,枕芯上鑲飾有35片雕琢精美的龍形、長方形及「亞」字形玉片,枕芯中央與獸頭飾後方所鑲的「亞」字形玉片,即同本拍品兩件秦式亞字形玉飾極爲相似。

由於西漢承襲了秦代政治、經濟制度乃至於宗教信仰、文化藝術,進一 步發展得更爲成熟,即歷史上所謂的「漢承秦制」,此件西漢楚王墓玉 枕鑲有前朝的秦式亞字形玉飾,就是「漢承秦制」最好的明證。





fig. 1 Collection of the Emperor Qinshihuang's Mausoleum Site Museum 圖一 秦始皇帝陵博物院藏品



fig. 2 Xuzhou Museum Collection 圖二 徐州博物館藏品





### 2758

# A GROUP OF TWO QIN-STYLE JADE 'DRAGON' PENDENTS, XI

LATE SPRING AND AUTUMN PERIOD, CIRCA 570-476 BC

The jades are modelled as curved dragons, carved in low relief with dragons motif.

Larger: 3<sup>3</sup>/<sub>16</sub> in. (8 cm.) long, box. (2)

#### HK\$100,000-150,000

US\$13,000-19,000

PROVENANCE

The Yangdetang Collection, acquired in Taipei in 1988

#### 春秋晚期 秦式龍紋玉觽兩件

#### 來源

養德堂舊藏,1988年購於台北

方形龍首頭頂有兩突齒,口縫細而深,口角邊鑽一圓孔,背部略靠前處亦鑽一圓孔,器身兩沿切 成斜邊,兩面皆以細線陰刻秦式龍首紋及變體秦式龍紋,隱見冠毛龍角。

此組拍品器型及紋飾與 1986 年陝西省鳳翔縣南指揮村秦公一號墓出土兩例相似,見劉雲輝編著《陝西出土東周玉器》,北京,2006 年,108 頁,圖版 FN77 及 FN78。



# 戰國璽印

# 熊宜敬

中國歷史長河中,使用印章的記載,最早出現於《左傳·襄公二十九年》,可見在春秋戰國時期,璽印已成爲社會各階層交往與商業行爲的信物,官璽便是各級官吏行使權力的憑證,到戰國時期則被廣泛使用;目前,可確證的先秦古璽印多屬戰國時期,依據功能,可分爲「官璽」、「私璽」兩大類,根據考古發掘、公私典藏的遺存實物與前人所集印譜經確認爲戰國璽印者約有6000餘方,其中官璽僅約300餘方,十分難得;在材質上,則以銅質最多,玉質因數量極少而更顯珍貴。

「官璽」,可分爲官名璽與官署璽。官名璽爲諸侯國各級文 武官吏依其封號、官銜所佩,製作比較規範,多爲銅質,玉 質則爲較高層級官員所用;官署璽是指僅鐫官署名稱的公章, 爲具體的職能部門所用。戰國時期統治者很重視官璽的管 理,已普遍施行任官授印、免官收印的制度,爲後世官印制 度提供了寶貴的基礎。

「私璽」,可分爲姓名璽、成語璽、肖形璽三類。姓名璽多數姓名俱全,也有單鐫姓或名的;比較特殊的是姓名璽中的「姓」,不少應爲「氏」,古人習慣以官名、爵名、祖先諡號、封地、居址爲「氏」,姓、氏並用亦爲古代習俗,所以在私璽中會出現是「氏」而非「姓」的官名、爵名、封地等名稱。成語璽分爲箴言、吉語兩種,箴言類多數以儒家思想爲內容,吉語則不脫追求財富、仕途、長壽、辟邪的詞句。肖形璽多鐫刻生動的動物圖象,也有圖象文字並用的。

根據東漢衛宏所撰《漢舊儀》卷上所載:「秦以前民皆佩綬, 以金、銀、銅、犀、象爲方寸璽,各服所好。」再從傳世出 土遺物考察,可以確知戰國璽印無論官、私,其質地、鈕式 及文字鐫刻均活潑多樣,多無定制。一般來說,銅官璽的鈕 式有鼻、壇、橛、圓筒幾種,玉官璽較稀有,皆爲覆斗鈕; 私璽的印體與鈕式,較之於官璽就更加多元而追求美感。

戰國時期文字尚未統一,因而促成了璽印文字的多采多姿, 其裝飾性比同時期的簡書、帛書、盟書、金文都豐富。字體 或端莊,或欲斜,不拘一格;字形或增筆,或減省,或合文, 或偏旁移動、倒置,都以美感爲首要考量。由此,戰國璽印 鐫刻風貌的千姿百態,印文書寫設計的峻異多變,章法布局 的靈動巧妙,形成了豐沛奇絕的時代特徵。



# 2759 A JADE SQUARE SEAL WARRING STATES PERIOD (475-221 BC)

The seal has a square base and trapezoid top, surmounted by a flat finial pierced with a suspension hole. The base is incised with two characters in seal script, Dujiao.  $\frac{3}{4} \times \frac{3}{4}$  in. (1.8 x 1.8 cm.), box

# HK\$120,000-180,000

US\$16,000-23,000

PROVENANCE Dexinshuwu Collection, acquired in Taipei in 1991

#### 戰國 玉覆斗鈕方印

#### 來源

德馨書屋舊藏,1991年購於台北

此方黃玉覆斗鈕印,玉質溫潤,印面陰刻方框 白文「都角」二字,其中「都」字的寫法明顯 屬於戰國「晉系」文字,而結體章法疏密有致, 極具審美高度,爲不可多得的戰國玉印。











impression ⊞寸

# 2760 A JADE SQUARE SEAL

WARRING STATES PERIOD (475-221 BC)

The seal has a square base and trapezoid top, surmounted by a flat finial pierced with a suspension hole. The base is incised with three-characters name in seal script, *Wenxin jun*. <sup>15</sup>/<sub>16</sub> x <sup>15</sup>/<sub>16</sub> in. (2.4 x 2.4 cm.), box

#### HK\$400,000-600,000

US\$52,000-78,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1992

### 戰國 玉「文信君」覆斗鈕方印

#### 來源

藍田山房舊藏,1992年購於香港

此方白文「文信君」白玉覆斗鈕印,是極爲少見的戰國官璽,不論尺寸、 形制、鐫刻、字體,都與上海博物館所藏之戰國「春安君」白玉覆斗鈕 官印極爲相似,皆屬「三晉官璽」。

戰國時期由於長期割據,各國形成了鮮明的地域性文化特色,尤其是文字,分別形成了各自的體系,大致可分為燕、齊、三晉、秦、楚五個大系。公元前403年,韓、趙、魏三家分晉,雖然成爲各自獨立的諸侯國,但其文化思想及文字使用仍存在著密切的聯繫,因此,包括韓、趙、魏與中山、鄭等小國,文字風格都十分相近,所以統稱爲「晉系文字」,運用在官璽上,就稱爲「三晉官璽」或「晉系官璽」。

「晉系官璽」大多數爲銅質,朱文較多,白文極少,僅有少量玉質的璽 印爲白文。相較於燕、齊、楚系官璽,晉系官璽尺寸較小,多方形,約1.5 至2釐米見方,只有少量較大者超過2釐米,且多爲玉質,使用玉質官 璽者一般權位較高,如上海博物館所藏之戰國「春安君」白玉覆斗鈕官 璽爲2.5釐米見方,及此件戰國「文信君」白玉覆斗鈕官璽爲2.4釐米 見方。

此件戰國「文信君」白玉覆斗鈕官印,主人爲孔子七世孫孔謙。孔謙, 生卒年不詳,爲孔穿之子,一名斌,又作胤,字子順,一字子慎,曾被 魏安釐王(?一前 243 年)聘爲魏相,獲封「文信君」,相魏九月後稱 病辭官,五十七歲時去世。魏國文字屬晉系,此方「文信君」玉印確證 爲「晉系官璽」。

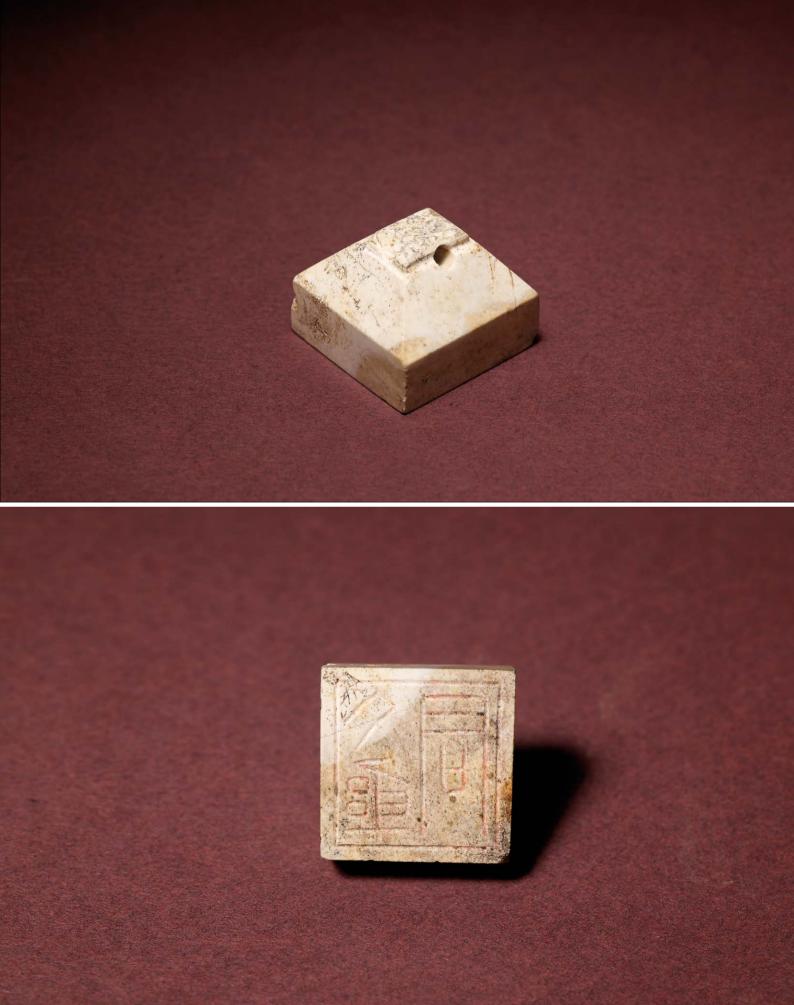
此印可參閱上海書畫出版社授權錦繡出版事業股份有限公司於 1996 年 12 月在台灣發行的《中國璽印篆刻全集 1 璽印(上)》第 9 頁,圖 69。 1981 年文物出版社出版,北京故宮編纂的《古璽匯編》「官璽一」第一頁,圖 0005。



rubbing 銘文



impression







# 2761 A JADE SQUARE SEAL WARRING STATES PERIOD

(475-221 BC)

The seal has a square base, surmounted by a finial in the form of a deer with backwardturned head. The base is inscribed with character, yu.

 $\frac{1}{2}$  x  $\frac{1}{2}$  in. (1.3 x 1.3 cm.), box

# HK\$350,000-500,000

US\$46,000-65,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1993

## 戰國 玉鹿鈕「玉」方印

#### 來源

藍田山房舊藏,1993年購於香港

此方黃玉鹿鈕印,玉質溫潤如脂,印身爲方形, 印鈕爲長方梯形,體積較印身碩大許多,整體 造型極爲特出。印鈕隨形雕琢成一頭回首卧 鹿,形態生動,雕工簡潔,鹿背中間鑽一圓孔 作爲繫繩之用,十分討喜。

印面陰刻白文如甲骨文中的「玉」字,三橫一 豎皆衝出印面,刀工豪放犀利,推斷可能爲姓 名璽中單鐫姓、名或氏的印信,以其所用玉質 之美及印鈕雕工之精,主人應具有頗高的身分 地位。





impression

# 2762 A JADE SQUARE SEAL WARRING STATES PERIOD (475-221 BC)

The seal is of square shape, surmounted with a dragon head form finial. The base is carved with two characters in seal script, *Lu Sui*.

1 x 1 in. (2.4 x 2.4 cm.), box

## HK\$600,000-800,000

US\$78,000-100,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1991

#### 戰國 玉蟠龍鈕「魯晷」方印

#### 來源

藍田山房舊藏,1991年購於香港

#### 出版

此方青白玉蟠龍鈕印,方形,尺寸較大,龍首 挺立成鈕,龍口後下方鑽一孔烏繫,瞠目張口, 龍身盤曲,兩爪前伸,形態威猛;印面鐫方框 白文「魯晷」二字,印文靈動活潑,刀工勁健 爽利,符合戰國時期文字書寫追求美感爲尙的 特質。

戰國時期,唯秦國立國於崤山以西,以東各國 則稱「山東」諸國,今之山東境內當時有齊、 魯二國,魯國雖已式微,但因屬周王室一脈, 素尊禮制,地位仍在。此印印文「魯晷」,一 則尺寸較大,且爲玉質;二則上刻盤龍印鈕; 可知主人身分必非尋常,或與魯國宗室有關。









rubbing 銘文

impression 印文





# 帶鈎略述

# 熊宜敬

雖然在良渚文化遺址中,發現過類似於帶鈎的長型玉片, 但並無成型的出土實物,大家所熟悉的帶鈎,大約在春秋 晚期開始出現,到戰國中晚期,帶鈎的使用已相當普遍, 出土物及傳世品皆多,多用靑銅鑄造,也有金、銀、鐵、 玉…等材質,以及複合式工藝。

此時,帶鈎不僅爲日常服飾所需,也成爲身份地位的象徵,尤其王公貴族、社會名流所用的帶鈎都極爲精美考究,鑲金嵌玉,精緻華麗,以彰顯其身份地位的高貴,也體現了鮮明的時代風尚。

成書於西漢初的《淮南子》,在談及帶鈎時寫到:「滿堂之座,視鈎各異,於環、帶一也。」意思是說,放眼看去,滿堂賓客的腰間環帶上,都露出奢華的帶鈎,裝飾各展其能,藉以突顯個人的身份地位。

帶鈎,古稱「犀毗」,《漢書·匈奴傳》顏師古註:「犀毗,胡帶之鈎也,亦曰鮮卑,亦謂師比,總一物也,語有輕重耳。」又引《史記索隱》張宴所說:「鮮卑,郭落帶瑞獸名也,東胡好服之。」郭落帶,即突厥的革帶,鮮卑,即蒙古語的五爪虎,因鮮卑人崇拜它,就把鮮卑用爲本部族的名稱,並鑄其形於革帶上作爲裝飾。近現代歷史考古學家王國維(1877~1927)與專研北方民族、西域史、中國神話研究的近現代日本歷史學家白鳥庫吉(1865-1942),也都持此論點,均認爲鮮卑即「犀毗」,亦稱「師比」,指胡人的帶鈎。

所以,今日「帶鈎」這項收藏品類,可說是一種多元文化 與藝術的融合,具有活潑奔放、瑰麗多姿的吸引力。去年 (2020)11月3日,倫敦佳士得秋拍一件極爲罕見的西 漢〈黃玉帶鈎〉(爲30至40年代上海收藏家陳仁濤舊藏,

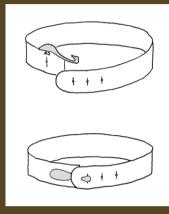


illustration of hooks 帶鈎使用示意圖



line drawing of a bronze figure wearing a belt fastened by a belt hook excavated at Jincur, Luoyang 洛陽金村出土銅人座像

後爲男爵夫人 von Oertzen 收藏),估價 60-80 萬英鎊,以 290.25 萬英鎊成交,約爲 2520 萬人民幣,創下高古帶 鈎的第一高價,也體現出「玉質」帶鈎的稀有與收藏價值。

帶鈎究竟如何使用呢?據學者考究,帶鈎入孔的方向是由右至左,左手執帶,右手執鈎,將鈎首掛入帶孔,繫扣皮革或布帛絲綢所製之腰帶,井然束扎於腰腹之際,令衣衫袍服得以妥貼裹身。1998年3月,養德堂楊俊雄先生將珍藏的一組二件〈戰國銅帶鈎暨原附絲條腰帶〉(此絲條腰帶經紐西蘭「Rafter Radiocarbon Laboratory」碳14測試,年代吻合)捐贈給台北故宮,給學術界提供了研究早期帶鈎使用的實物證據,極爲難得而重要。後來,帶鈎演變爲裝飾之用,除束帶外,亦可佩掛,成爲身份地位象徵,故製作工藝愈趨精巧,美玉所製之帶鈎也成爲藏家追逐的標的。

# A SHORT NOTE ON BELT HOOKS

# **Hsiung Yi-Ching**

Although jade plaques resembling belt hooks have been excavated from Liangzhu Culture sites, no actual belt hooks have been found. The belt hooks as we know them only started to appear in late Spring and Autumn period. By mid Warring States period, the use of belt hooks was commonplace and many excavated and heirloom examples exist. Most of them were made of bronze, but there were also examples made of gold, silver, iron and jade etc., as well as multi-media examples.

Belt hooks were not only a necessity in daily wear during this period, they were also status symbols, especially for the aristocrats and the upper classes. Their belt hooks were made with much attention to detail, decorated with gold or jade for sumptuousness, in order to signify the wearer's exalted status and showing the fashion of the day.

It is recorded in *Huainanzi* composed in early Western Han dynasty that: 'As one looks at the guests in this fully attended hall, each was wearing a unique belt hook, attached to a singular ring and belt.' These personal luxury items were a means to show one's status in a group setting.

Belt hooks were called *xipi* in ancient times. In *Hanshu: Xiongnuzhuan* (History of Han: Accounts on the Xiongnu tribe), Yan Shigu noted in the commentary that: 'Xipi — the tribal people use it as belt hooks; it was also called *xianbei* or *shibi*, all denoting the same thing, just with different accents.' It was recorded in *Shiji suoyin* (Index to the Records of the Grand Historian) by Zhang Yan that: 'xianbei — an auspicious animal found on *guoluo* belts, the eastern tribal people were fond of wearing them.' Guoluo belts were leather belts worn by the Tujue tribe; while *xianbei* was a type of five-clawed tiger in the Mongolian

language. The Xianbei people worshipped it, and use it as their tribal name. They also made casts of it to decorate their belts. Archaeologist Wang Guowei (1877–1927) and Japanese historian Shiratori Kurakichi (1865–1942) both adopted this theory that *xianbei*, *xipi* and *shibi* were one and the same, and denote belt hooks of tribal people.

When discussing 'belt hooks' as a collecting category, we have to view them from a multi-cultural perspective as works of art that have an unbridled, diverse quality. A Western Han dynasty yellow jade belt hook (formerly in the collection of Shanghai collector Chen Rentao in 1930s and 1940s, and later that of Baroness von Oertzen), estimated at £600,000-800,000, was sold in Christie's London, 3 November 2020, for the price of £2,902,500 (25,200,000 RMB), making it the most expensive archaic jade belt hook ever sold at auction, and showing the value collectors placed on rare jade belt hooks.

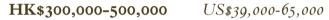
How were belt hooks used? According to scholars, the hook would have been fastened pointing to the left, with the left hand holding the belt and the right hand holding the hook, the leather or silk/textile belt was tied to the hook. In March of 1998, Dr. Yang Chün-Hsiung of Yangdetang Collection donated a set of bronze belt buckle with its original silk belt dating to the Warring States period (the silk was carbon-14 tested by the Rafter Radiocarbon Laboratory in New Zealand to be of the period) to the Taipei Palace Museum, providing researchers with an invaluable physical example of how early belt hooks were used. In later times, belt hooks take on a more ornamental function, and were worn as pendants besides being used for belt fastening, with ever more refined decoration, and became real collector's items.

# 2763 A JADE SECTIONED 'DRAGON' BELT HOOK

#### WARRING STATES PERIOD (475-221 BC)

The curved belt hook consisting of eight jade sections, extending to a dragon-head terminal forming the hook, held together by a metal core.

5% in. (15 cm.) long, box



PROVENANCE

Dexinshuwu Collection, acquired in Hong Kong in 1990

Compare to a similar jade hook consisting of thirteen sections in the Harvard Art Museums Collection, illustrated in *Ancient Chinese Jades from the Grenville L. Winthrop Collection in the Fogg Art Museum, Harvard University*, Cambridge, 1975, pl. 473 (**fig. 1**).

#### 戰國 龍首虎面玉帶鈎

#### 來源

德馨書屋舊藏,1990年購於香港

可比較哈佛藝術博物館藏一件相似玉帶鉤,由十三部分組成,見《Ancient Chinese Jades from the Grenville L. Winthrop Collection in the Fogg Art Museum, Harvard University》,劍橋,1975 年,圖版 473 號(圖一)。



fig. 1 圖一





# 2764 A GROUP OF TWO JADE 'DRAGON' BELT HOOKS

MID WARRING STATES PERIOD, CIRCA 400-300 BC

Each garment hook is carved with square-sectioned shaft with horizontal ridges, extending to an dragon-head terminal forming the hook, decorated on the upper side with bands of ridges.

Larger: 7½ in. (18.5 cm.) long, box (2)

HK\$200,000-300,000

US\$26,000-39,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1992

戰國中期 龍首玉帶鉤兩件

來源

藍田山房舊藏,1992年購於台北

#### 2765

# A GROUP OF TWO JADE 'DRAGON' BELT HOOKS

MID WARRING STATES PERIOD, CIRCA 400-300 BC

Each jade belt hook is carved with straight sides that narrow towards a hook, which ends in a three dimensional carved dragon head, one decorated on the upper side with longitudinal convex ridges flanked by hollow-ground ledges, the other decorated with longitudinal ridges.

Larger: 43/4 in. (12 cm.) long, box

HK\$160,000-250,000 US\$21,000-32,000

PROVENANCE

Left:

Lantien Shanfang Collection, acquired in Taipei in 1992

Yangdetang Collection, acquired in Taipei in 1989

#### 戰國中期 龍首玉帶鉤兩件

#### 來源

左:藍田山房舊藏,1992年購於台北 右:養德堂舊藏,1989年購於台北

比較哈佛藝術博物館藏一件相似裝飾的戰國中期龍首玉帶鉤,見《Ancient Chinese Jades from the Grenville L. Winthrop Collection in the Fogg Art Museum, Harvard University》,劍橋,1945 年,圖版 468 號。





#### 2766 A GROUP OF THREE JADE BELT HOOKS

WARRING STATES PERIOD

(475-221 BC)

The lot consists of two Warring states period jade belt hooks with the shaft decorated with curls within a frame and one late Warring states period jade dragon belt hook with a slender body.

Largest: 5½ in. (14 cm.) long, box

(3)

#### HK\$150,000-250,000

US\$20,000-32,000

PROVENANCE

Left:

Yangdetang Collection, acquired from E Yuan Tang Chinese Art, Taipei, prior to 1999 Middle and right:

Lantien Shanfang Collection, acquired in Taipei in 1992

#### LITERATURE

Left: Aurora Art Museum, Jades of Warring States Period, Taipei, 2007, no.215

Compare to a similar jade hook of the Warring States period in the Havard Art Museums collection, illustrated in Ancient Chinese Jades from the Grenville L. Winthrop Collection in the Fogg Art Museum, Harvard University, Cambridge, 1975, no. 465. Compare also a jade dragon belt hook of the late Warring States period, illustrated in Jades of Warring States Period, Shanghai, 2010, no.215.

#### 戰國 龍首穀紋玉帶鈎三件

#### 來源

左:養德堂舊藏,1999 年前購於台北一言堂 中及右:藍田山房舊藏,1992 年購於台北

#### 出版

左:震旦藝術博物館,《戰國玉器》,台北,2007年, 圖版 215 號

可比較一同爲戰國晚期之穀紋玉帶鈎,著於《戰國玉器》, 震旦藝術博物館,上海,2010 年,圖版 215 號。相似的 戰國時期穀紋玉帶鈎可參考哈佛藝術博物館藏一例,著 於《Ancient Chinese Jades from the Grenville L. Winthrop Collection in the Fogg Art Museum, Harvard University》,劍橋, 1945 年,圖版 465 號。

#### 2767 A GROUP OF TWO JADE 'DRAGON' BELT HOOKS WARRING STATES PERIOD (475-221 BC)

Each garment hook is carved with square-sectioned shafts with horizontal ridges, extending to an dragon-head terminal forming the hook.

Larger: 4 in. (10.2 cm.) long

#### HK\$40,000-60,000 US\$5,200-7,800

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1991

#### 戰國 龍首玉帶鈎兩件

#### 來源

藍田山房舊藏,1991年購於台北







#### 2768 A GROUP OF THREE JADE 'DRAGON' BELT HOOKS WARRING STATES PERIOD

(475-221 BC)

Each garment hook is carved with square-sectioned shafts with horizontal ridges, extending to an dragon-head terminal forming the hook.

Largest: 3½ in. (9 cm.) long, box

#### HK\$60,000-80,000 US\$7,800-10,000

PROVENANCE

Left and middle: Lantien Shanfang Collection, acquired in Taipei in 1991

Right: Yangdetang Collection, acquired in Taipei in 1989

#### 戰國 龍首玉帶鈎三件

#### 來源

左及中:藍田山房舊藏,1991 年購於台北 右:養德堂舊藏,1989 年購於台北

相似形制的戰國龍首玉帶鉤可參考兩例,分別發現於河南省准陽縣平長臺關及平糧臺,見《中國玉器全集》,第3冊,2006年,石家莊,圖版 254 及 269 號。



2768



#### 2769 A JADE 'DRAGON' BELT HOOK WARRING STATES PERIOD (475-221 BC)

The hook is finely carved and pierced with a dragon-head form hook and shaft with cloud-shaped scrolls. A circular stud is on the plain reverse.

2½ in. (5.6 cm.) wide, box

#### HK\$300,000-500,000 US\$39,000-65,000

PROVENANCE

Dexinshuwu Collection, acquired in Taipei in 1997

It is rare to find jade belt hooks in the form of a shield like the current lot. Compare to a similar Warring States period belt hook in the Palace Museum collection, illustrated in *The Complete Collection of Treasures of the Palace Museum Jadeware*, Hong Kong, 1995, pl.168 (**fig. 1**). See also a yellow jade belt hook of similar form, sold at Christie's London, 3 November 2020, lot 8.

#### 戰國 獸首玉帶鈎

#### 來源

德馨書屋舊藏,1997年購於台北

此器玉質透潤,形狀如盾,鈎首雕成獸首,直鼻,凸眼,聳耳,鈎面中 心淺浮雕獸面紋,鈎身頸肩及鈎面兩側鏤雕勾連雲紋如雙翅般對稱伸 展,具有極高的裝飾效果,鈎背近中間處有一圓形鈎鈕。

此種盾形玉帶鉤較爲罕見,可參閱故宮舊藏一列相似形制的戰國帶鉤, 見《故宮博物館藏文物珍品全集-玉器(上)》,香港,1995年,圖版 168號(圖一)。另可比較一件屬此類形制的西漢黃玉帶鉤,拍賣於倫 敦佳士得 2020 年 11 月 3 日,拍品 8 號,創高古帶鉤成交記錄。



fig. 1 圖一



#### 2770

#### A VERY RARE PIERCED 'DRAGON AND PHOENIX' BELT HOOK LATE WARRING STATES PERIOD TO EARLY WESTERN HAN DYNASTY, CIRCA 300-141 B.C.

The curved open-work shaft is carved with a sinuous phoenix, terminating at an upturned dragon head. A square stud is on the plain reverse.

4% in. (11 cm.) long, box

#### HK\$2,500,000-4,000,000

US\$330,000-520,000

PROVENANCE

Jinhuatang Collection, acquired in Taipei in 1996

LITERATURE

Collectors' Exhibition of Archaic Chinese Jades, The National Palace Museum, Taipei, 1999, cat. no. 183

#### 戰國晚期至西漢早期 龍首鳳紋玉帶鈎

#### 來源

金華堂舊藏,1996年購於台北

#### 出版

國立故宮博物院,《群玉別藏續集》,台北,1999年,圖錄圖版 183號



detail 細部





#### 2770 Continued

The phoenix is a common motif used on Warrings States period jade ornaments, which can be found on jades excavated from tombs in Jin County, Luoyang, and Changfeng, Anhui. The phoenix decorative motif can also be found on ealry Han dynasty jades, such as ones excavated from the Nan Yuewang tomb, Guangzhou.

此器爲靑黃玉,玉質溫潤,局部有白沁斑,側邊及鈎柱上有鐵鏽。鈎首雕一龍首,二龍角短而扶貼,鼻吻方平,兩側有髭;鈎面至鈎尾雕一側身鳳鳥,彎喙甚厚,羽冠後方羽鳥足前方,各有一刻繪斜線扭絲紋的長條羽束,軀體微微扭轉,以細陰線刻繪圓孤紋、S紋等,尾羽蓬鬆;鈎柱上則雕飾圓弧紋與斜格菱形紋。

洛陽金村戰國中晚期墓、安徽長豐戰國晚期墓的玉器,以及廣州南越王墓的西漢早期玉器,都見鏤雕鳳鳥紋,但鮮有如此件玉帶鈎上的鳳鳥紋如此端莊秀麗,生動自然。



line drawing of the present lot 本拍品之線描圖

#### 2771

# AN EXTREMELY RARE AND IMPORTANT GLASS AND JADE INSET GILT-BRONZE 'DRAGON' BELT HOOK

LATE WARRING STATES PERIOD, CIRCA 300-221 BC

The arched belt hook is cast in high relief at one end a *taotie* adorns a jade bi-disc, which is further embellished with a glass bead, and the other with a cow-head supporting the jade bi with the horns, terminates in a dragon-head form hook. The surface of the jade bi is decorated with small, raised spirals. A circular stud is on the reverse.

8% in. (22 cm.) long, box

#### HK\$600,000-800,000 US\$78,000-100,000

PROVENANCE

Dexinshuwu Collection, acquired in Hong Kong in 1990

Compare to a late Easter Zhou to early Western Han period belt hook combined bronze, jade and glass, illustrated in Eskenazi, Inlaid Bronze and Related Material form Pre-Tang China, June 1991, pl.no. 48 (**fig. 1**).

#### 戰國晚期 鎏金鑲嵌玉璧琉璃珠龍首牛頭銅帶鈎

#### 來源

德馨書屋舊藏,1990年購於香港

此件帶鈎爲複合式工藝,技術繁複,難度極高。器身鎏金,均匀飽滿,鈎首所雕之龍首,從鈎頸所雕之牛首嘴中伸出,極具巧思;鈎體寬大,鈎面至鈎尾雕各式獸面紋,鈎面上的大小兩圓形開光,各鑲嵌一塊穀紋壁,大壁中央鑲嵌七顆藍色琉璃珠,小壁中間鑲有一顆,全器宏偉大度,氣勢逼人,頗具王者氣象。

可比較一同以複合式工藝打造的鎏金銀嵌玉璧料珠龍紋帶鉤,見 Eskenazi,《Inlaid Bronze and Related Material form Pre-Tang China》, 1991 年 6 月,圖版 48 號(圖一)。



fig. 1 圖一



#### 戰國 琉璃珠

戰國時期,琉璃珠屬於人工製造的裝飾品,因西亞一帶也製造琉璃,可能有些由西方引入,也有國內自己的製品,西方製造的琉璃含有較高的鈉和鈣成分,而當中國自製的琉璃卻含有較高的鉛和鋇的成分,這兩種成分不同的琉璃目前已被公認為區別琉璃產地的主要依據。

琉璃也經常與玉或鎏金、青銅等作為複合工藝的裝飾品, 增加器物的華麗感。

## WARRING STATES GLASS BEADS

Glass beads were manufactured during Warring States as ornaments, but some of them might have been imported from the west, since they were also made in West Asia. Western glass beads have higher sodium and calcium content, while Chinese beads are high in lead and barium. The difference in composition is sometimes used to differentiate the origin of their manufacture. Glass pieces were often combined with jade, gilt bronze or bronze to form composite pieces, adding a touch of luxury to the objects.

#### 2772 A STRAND OF GLASS BEADS WARRING STATES PERIOD, CIRCA 475-221 BC

The strand comprises of 106 globular glass beads of various sizes, each decorated with concentric circles, dotted lines and bosses, all picked out in turquoise, blue, white and tan reserved on blue coloured ground.

15% in. (39 cm.) long overall, box

HK\$80,000-120,000

US\$11,000-16,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1992

#### 戰國 藍色琉璃珠串飾

#### 來源

藍田山房舊藏,1992年購於香港

湖北隨縣曾侯乙墓中有類似料珠串,見《中國考古文物之美》,第5卷, 北京,1994年,146頁,左下圖。



detail 細部



#### 2773 A STRAND OF GLASS BEADS WARRING STATES PERIOD. CIRCA 475-221 BC

The strand comprises of 54 globular beads of various sizes, each decorated with geometric shapes including pentagon and circles, all picked out in turquoise, blue, white and tan reserved on brown coloured ground.

12 in. (30.5 cm.) long overall, box

HK\$60,000-80,000

PROVENANCE

Lantien Shanfang Collection, acquired in Hong Kong in 1992

#### 戰國 褐色琉璃珠串飾

#### 來源

藍田山房舊藏,1992年購於香港

河北平山中山國王墓中有類似料珠串,見《中國考古文物之美》,第6卷,





#### 2774 A GROUP OF FOUR GLASS INSET JADE DISCS

WARRING STATES PERIOD, CIRCA 475-221 BC

Each jade flat disc is of cicular form, the middle is inset with a convex knob of intense dark blue and whtie glass eye-beads. Largest: 1%6 in. (4 cm.) diam, box

HK\$80,000-120,000

US\$11,000-16,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1992

#### 戰國 玉環嵌琉璃四件

#### 來源

(4)

藍田山房舊藏,1992年購於台北

比較哈佛藝術博物館藏一件銅鏡鑲玉,其上玉璧亦鑲嵌琉璃珠,見 《Ancient Chinese Jades from the Grenville L. Winthrop Collection in the Fogg Art Museum, Harvard University》,劍橋,1945 年,圖版 524 號。

#### 2775 A GROUP OF THREE JADE ROPE-TWIST RINGS, *HUAN* WARRING STATES PERIOD, CIRCA 475-221 BC

Each jade *huan* is carved as a continuous twisted rope. Largest: 2 in. (5 cm.) diam, box

HK\$30,000-50,000

US\$3,900-6,500

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1991

The twist-rope decoration was also found on Spring and Autumn Period jades. Compare to a huan, illustrated in The Pictorial Handbook of Ancient Chinese Jades, 2017, p.199

#### 戰國 玉扭絲環三件

來源

藍田山房舊藏,1991年購於台北

扭絲紋亦見於春秋時期玉環上,可比較河南省固始縣侯王堆1號墓出土例,現藏於河南省文物考古研究所,見古方著《中國古玉器圖典》, 2017年,199頁。



#### 2776 A GROUP OF TWO JADE RINGS, HUAN

WARRING STATES PERIOD, CIRCA 475-221 BC

Each ring is of cicular form, tapers from the beveled inner edge to the outer edge.

Larger: 3<sup>15</sup>/<sub>16</sub> (9.8 cm.) diam, box (2)

HK\$100,000-150,000

US\$13,000-19,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1991

#### 戰國 玉環兩件

#### 來源

藍田山房舊藏,1991年購於台北

本組玉環表面磨平成三棱平面,中部較厚呈平台狀,而內外兩緣漸薄而形成刃邊。這類玉環的形制較爲特殊,類似例可參考兩例,刊於震旦藝術博物館,《戰國玉器》,台北,2007年,圖版 23 及 24 號。





#### 2777 A GROUP OF THIRTEEN AGATE FACETED RINGS, HUAN

WARRING STATES PERIOD, CIRCA 475-221 BC

Each ring is of cicular form, tapers from the bevelled inner edge to the outer edge.

Largest: 3½ in. (8.2 cm.) diam, box (13)

#### HK\$70,000-90,000 US\$9,100-12,000

PROVENANCE

Lantien Shanfang Collection, acquired in Taipei in 1991

#### 戰國 瑪瑙環十三件

#### 來源

藍田山房舊藏,1991年購於台北

類似環可比較《磐墓 – 戰國中山國國王之墓》,河北, 1996 年,圖版 183–185。亦可參考 2009 年 3 月 19 日 紐約佳士得賽克勒中國藝術珍藏拍賣,拍品 308 號的 三件瑪瑙環。





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(a) Certain words used in the catalogue description have special meanings. You can find details of these on the page headed "Important Notices and Explanation of Cataloguing Practice" which forms part of these terms. You can find a key to the Symbols found next to certain catalogue entries under the section of the catalogue called "Symbols

Used in this Catalogue".
(b) Our description of any **lot** in the catalogue, any **condition** report and any other statement made by us (whether orally or in writing) about any lot, including about its nature or condition, artist, period, materials, approximate dimensions or provenance are our opinion and not to be relied upon as a statement of fact. We do not carry out indepth research of the sort carried out by professional historians and scholars. All dimensions and weights are approximate only

#### OUR RESPONSIBILITY FOR OUR DESCRIPTION OF LOTS

We do not provide any guarantee in relation to the nature of a **lot** apart from our **authenticity warranty** contained in paragraph E2 and to the extent provided in paragraph I below.

#### CONDITION

(a) The **condition** of **lots** sold in our auctions can vary widely due to factors such as age, previous damage, restoration, repair and wear and tear. Their nature means that they will rarely be in perfect condition. **Lots** are sold "as is" in the **condition** they are in at the time of the sale, without any representation or warranty or assumption of liability of any kind as to

condition by Christie's or by the seller.(b) Any reference to condition in a catalogue entry or in a **condition** report will not amount to a full description of condition, and images may not show a **lot** clearly. Colours and shades may look different in print or on screen to how they look on physical inspection. **Condition** reports may be available to help you evaluate the condition of a lot. Condition reports are provided free of charge as a convenience to our buyers and are for guidance only. They offer our opinion but they may not refer to all faults, inherent defects, restoration, alteration or adaptation because our staff are not professional restorers or conservators. For that reason they are not an alternative to examining a **lot** in person or taking your own professional advice. It is your responsibility to ensure that you have requested, received and considered any condition report.

#### VIEWING LOTS PRE-AUCTION

(a) If you are planning to bid on a lot, you should inspect it personally or through a knowledgeable representative before you make a bid to make sure that you accept the description and its **condition**. We recommend you get your own advice from a restorer or other professional adviser.

(b) Pre-auction viewings are open to the public free of charge. Our specialists may be available to answer questions at pre-auction viewings or by appointment.

#### 5 ESTIMATES

**Estimates** are based on the **condition**, rarity, quality and provenance of the lots and on prices recently paid at auction for similar property. Estimates can change. Neither you, nor anyone else, may rely on any **estimates** as a prediction or guarantee of the actual selling price of a **lot** or its value for any other purpose. Estimates do not include the buyer's premium or any applicable taxes. Estimates may be shown in different currencies from that of the

saleroom for guidance only. The rate of exchange used in our printed catalogues is fixed at the latest practical date prior to the printing of the catalogue and may have changed by the time of our sale

#### WITHDRAWAI

Christie's may, at its option, withdraw any lot at any time prior to or during the sale of the **lot**. Christie's has no liability to you for any decision to withdraw.

#### **JEWELLERY**

(a) Coloured gemstones (such as rubies, sapphires and emeralds) may have been treated to improve their look, through methods such as heating and oiling. These methods are accepted by the international jewellery trade but may make the gemstone less strong and/or require special care

(b) All types of gemstones may have been improved by some method. You may request a gemmological report for any item which does not have a report if the request is made to us at least three weeks before the date of the auction and you pay the fee for the report. (c) We do not obtain a gemmological report for every gemstone sold in our auctions. Where we do get gemmological reports from internationally accepted gemmological laboratories, such reports will be described in the catalogue. Reports from American gemmological laboratories will describe any improvement or treatment to the gemstone. Reports from European gemmological laboratories will describe any improvement or treatment only if we request that they do so, but will confirm when no improvement or treatment has been made. Because of differences in approach and technology, laboratories may not agree whether a particular gemstone has been treated, the amount of treatment or whether treatment is permanent. The gemmological laboratories will only report on the improvements or treatments known to the laboratories at the date of the report.

(d) For jewellery sales, **estimates** are based on the information in any gemmological report or, if no report is available, assume that the gemstones may have been treated or enhanced.

#### WATCHES & CLOCKS

(a) Almost all clocks and watches are repaired in their lifetime and may include parts which are not original. We do not give a **warranty** that any individual component part of any watch or clock is **authentic**. Watchbands described as "associated" are not part of the original watch and may not be authentic. Clocks may be sold without pendulums, weights or keys.
(b) As collectors' watches and clocks often have

very fine and complex mechanisms, a general service, change of battery or further repair work may be necessary, for which you are responsible. We do not give a **warranty** that any watch or clock is in good working order. Certificates are not available unless described in the catalogue.

(c) Most watches have been opened to find out the type and quality of movement. For that reason, watches with water resistant cases may not be waterproof and we recommend you have them checked by a competent watchmaker before use. Important information about the sale, transport and shipping of watches and watchbands can be found in paragraph H2(f).

#### REGISTERING TO BID

#### **NEW BIDDERS**

If this is your first time bidding at Christie's or you are a returning bidder who has not bought anything from any of our salerooms within the last two years you must register at least 48 hours before an auction to give us enough time to process and approve your registration. We may, at our option, decline to permit you to register as a bidder. You will be asked for the following:
(i) for individuals: Photo identification (driving

licence, national identity card or passport) and, if not shown on the ID document, proof of your current address (for example, a current utility bill or bank statement):

(ii) for corporate clients: Your Certificate of Incorporation or equivalent document(s) showing your name and registered address, photo ID copy of the authorized bidder, letter of authorization duly

signed by legal representative and, where applicable, chopped with company stamp and together with documentary proof of directors and beneficial owners: (iii) for trusts, partnerships, offshore companies and other business structures, please contact us in

advance to discuss our requirements.
(b) We may also ask you to give us a financial reference and/or a deposit as a condition of allowing you to bid. For help, please contact our Client Services Department on +852 2760 1766.

#### RETURNING BIDDERS

We may at our option ask you for current identification as described in paragraph B1(a) above, a financial reference or a deposit as a condition of allowing you to bid. If you have not bought anything from any of our salerooms in the last two years or if you want to spend more than on previous occasions, please contact our Bids Department on +852 2978 9910 or email to bidsasia@christies.com.

#### IF YOU FAIL TO PROVIDE THE RIGHT DOCUMENTS

If in our opinion you do not satisfy our bidder identification and registration procedures including, but not limited to completing any anti-money laundering and/or anti-terrorism financing checks we may require to our satisfaction, we may refuse to register you to bid, and if you make a successful bid, we may cancel the contract for sale between you and the seller. Christie's may, at its option, specify the type of photo identification it will accept, for the purposes of bidder identification and registration procedures.

#### BIDDING ON BEHALF OF ANOTHER PERSON

(a) **As authorised bidder:** If you are bidding on behalf of another person, that person will need to complete the registration requirements above before you can bid, and supply a signed letter authorising you to hid for him/her

(b) As agent for an undisclosed principal: If you are bidding as an agent for an undisclosed principal (the ultimate buyer(s)), you accept personal liability to pay the purchase price and all other sums due. Further, you warrant that:
(i) you have conducted appropriate customer due

diligence on the ultimate buyer(s) of the lot(s) in accordance with any and all applicable anti-money laundering and sanctions laws, consent to us relying on this due diligence, and you will retain for a period of not less than 5 years the documentation and records evidencing the due diligence;

(ii) you will make such documentation and records evidencing your due diligence promptly available for immediate inspection by an independent thirdparty auditor upon our written request to do so. We will not disclose such documentation and records to any third-parties unless (1) it is already in the public domain, (2) it is required to be disclosed by law, or (3) it is in accordance with anti-money laundering laws; (iii) the arrangements between you and the ultimate buyer(s) are not designed to facilitate tax crimes;

(iv) you do not know, and have no reason to suspect. that the funds used for settlement are connected with, the proceeds of any criminal activity or that the ultimate buyer(s) are under investigation, charged with or convicted of money laundering, terrorist activities or other money laundering predicate crimes. A bidder accepts personal liability to pay the purchase price and all other sums due unless it has been agreed in writing with Christie's before commencement of the auction that the bidder is acting as an agent on behalf of a named third party acceptable to Christie's and that Christie's will only seek payment from the named third party.

#### BIDDING IN PERSON

If you wish to bid in the saleroom you must register for a numbered bidding paddle at least 30 minutes before the auction. For help, please contact the Client Services Department on +852 2760 1766.

#### 6 BIDDING SERVICES

The bidding services described below are a free service offered as a convenience to our clients and Christie's is not responsible for any error (human or otherwise), omission or breakdown in providing these services

#### (A) PHONE BIDS

Your request for this service must be made no later than 24 hours prior to the auction. We will accept bids by telephone for **lots** only if our staff are available to take the bids. Telephone bids cannot be accepted for lots estimated below HK\$30,000. If you need to bid in a language other than in English, you must arrange this well before the auction. We may record telephone bids. By bidding on the telephone, you are agreeing to us recording your conversations. You also agree that your telephone bids are governed by these Conditions of Sale.

#### (B) INTERNET BIDS ON CHRISTIE'S LIVE™

For certain auctions we will accept bids over the Internet. Please visit www.christies.com/livebidding and click on the 'Bid Live' icon to see details of how to watch, hear and bid at the auction from your computer. As well as these Conditions of Sale, internet bids are governed by the Christie's LIVE™ terms of use which are available on https://www.christies.com/LiveBidding/OnlineTermsOfUse.aspx.

#### (C) WRITTEN BIDS You can find a Writte

You can find a Written Bid Form at the back of our catalogues, at any Christie's office or by choosing the sale and viewing the lots online at www.christies.com. We must receive your completed Written Bid Form at least 24 hours before the auction. Bids must be placed in the currency of the saleroom. The auctioneer will take reasonable steps to carry out written bids at the lowest possible price, taking into account the reserve. If you make a written bid on a lot which does not have a reserve and there is no higher bid than yours, we will bid on your behalf at around 50% of the low estimate or, if lower, the amount of your bid. If we receive written bids on a lot for identical amounts, and at the auction these are the highest bids on the lot, we will sell the lot to the bidder whose written bid we received first.

#### **C** CONDUCTING THE SALE

#### 1 WHO CAN ENTER THE AUCTION

We may, at our option, refuse admission to our premises or decline to permit participation in any auction or to reject any bid.

#### 2 RESERVES

Unless otherwise indicated, all **lots** are subject to a **reserve**. We identify **lots** that are offered without **reserve** with the symbol • next to the **lot** number. The **reserve** cannot be more than the **lot's low estimate**.

#### 3 AUCTIONEER'S DISCRETION

The **auctioneer** can at his sole option:

- (a) refuse any bid;
- (b) move the bidding backwards or forwards in any way he or she may decide, or change the order of the **lots**;
- (c) withdraw any **lot**;
- (d) divide any **lot** or combine any two or more **lots**;
- (e) reopen or continue the bidding even after the hammer has fallen; and
- (f) in the case of error or dispute related to bidding and whether during or after the auction, continue the bidding, determine the successful bidder, cancel the sale of the **lot**, or reoffer and resell any **lot**. If you believe that the **auctioneer** has accepted the successful bid in error, you must provide a written notice detailing your claim within 3 business days of the date of the auction. The auctioneer will consider such claim in good faith. If the auctioneer, in the exercise of his or her discretion under this paragraph, decides after the auction is complete, to cancel the sale of a lot, or reoffer and resell a lot, he or she will notify the successful bidder no later than by the end of the 7th calendar day following the date of the auction. The **auctioneer**'s decision in exercise of this discretion is final. This paragraph does not in any way prejudice Christie's ability to cancel the sale of a **lot** under any other applicable provision of these Conditions of Sale, including the rights of cancellation set forth in sections B(3), E(2)(i), F(4) and J(1).

#### 4 BIDDING

The **auctioneer** accepts bids from:

- (a) bidders in the saleroom;
- (b) telephone bidders, and internet bidders through 'Christie's LIVE™ (as shown above in Section B6); and
- (c) written bids (also known as absentee bids or commission bids) left with us by a bidder before the auction.

#### 5 BIDDING ON BEHALF OF THE SELLER

The auctioneer may, at his or her sole option, bid on behalf of the seller up to but not including the amount of the reserve either by making consecutive bids or by making bids in response to other bidders. The auctioneer will not identify these as bids made on

behalf of the seller and will not make any bid on behalf of the seller at or above the **reserve**. If **lots** are offered without **reserve**, the **auctioneer** will generally decide to open the bidding at 50% of the **low estimate** for the **lot**. If no bid is made at that level, the **auctioneer** may decide to go backwards at his or her sole option until a bid is made, and then continue up from that amount. In the event that there are no bids on a **lot**, the **auctioneer** may deem such **lot** unsold.

#### 6 BID INCREMENTS

Bidding generally starts below the **low estimate** and increases in steps (bid increments). The **auctioneer** will decide at his or her sole option where the bidding should start and the bid increments. The usual bid increments are shown for guidance only on the Written Bid Form at the back of this catalogue.

#### 7 CURRENCY CONVERTER

The saleroom video screens, Christie's LIVE™ and Christie's website may show bids in some other major currencies from that of the saleroom. Any conversion is for guidance only and we cannot be bound by any rate of exchange used by Christie's. Christie's is not responsible for any error (human or otherwise), omission or breakdown in providing these services.

#### 3 SUCCESSFUL BIDS

Unless the **auctioneer** decides to use his or her discretion as set out in paragraph C3 above, when the **auctioneer**'s hammer strikes, we have accepted the last bid. This means a contract for sale has been formed between the seller and the successful bidder. We will issue an invoice only to the registered bidder who made the successful bid. While we send out invoices by post and/or email after the auction, we do not accept responsibility for telling you whether or not your bid was successful. If you have bid by written bid, you should contact us by telephone or in person as soon as possible after the auction to get details of the outcome of your bid to avoid having to pay unnecessary storage charges.

#### 9 LOCAL BIDDING LAWS

You agree that when bidding in any of our sales that you will strictly comply with all local laws and regulations in force at the time of the sale for the relevant sale site.

#### D THE BUYER'S PREMIUM, TAXES

#### 1 THE BUYER'S PREMIUM

In addition to the **hammer price**, the successful bidder agrees to pay us a **buyer's premium** on the **hammer price** of each **lot** sold. On all **lots** we charge 25% of the **hammer price** up to and including HK\$5,000,000, 20% on that part of the **hammer price** over HK\$5,000,000 and up to and including HK\$5,000,000, and 14.5% of that part of the **hammer price** above HK\$50,000,000.

#### 2 TAXES

The successful bidder is responsible for any applicable tax including any VAT, sales or compensating use tax or equivalent tax wherever such taxes may arise on the **hammer price** and the **buyer's premium**. It is the buyer's responsibility to ascertain and pay all taxes due. In all circumstances Hong Kong law takes precedence. Christie's recommends you obtain your own independent tax advice.

For lots Christie's ships to the United States, a state sales or use tax may be due on the hammer price, buyer's premium and/or any other charges related to the lot, regardless of the nationality or citizenship of the purchaser. Christie's will collect sales tax where legally required. The applicable sales tax rate will be determined based upon the state, county, or locale to which the lot will be shipped. Successful bidders claiming an exemption from sales tax must provide appropriate documentation to Christie's prior to the release of the lot. For shipments to those states for which Christie's is not required to collect sales tax, a successful bidder may be required to remit use tax to that state's taxing authorities. Christie's recommends you obtain your own independent tax advice with further questions.

#### **E WARRANTIES**

#### 1 SELLER'S WARRANTIES

For each **lot**, the seller gives a **warranty** that the seller:
(a) is the owner of the **lot** or a joint owner of the **lot** acting with the permission of the other co-owners or, if the seller is not the owner or a joint owner of the **lot**, has the permission of the owner to sell the **lot**, or the right to do so in law; and

(b) has the right to transfer ownership of the **lot** to the buyer without any restrictions or claims by anyone else.

If either of the above warranties are incorrect, the seller shall not have to pay more than the purchase price (as defined in paragraph F1(a) below) paid by you to us. The seller will not be responsible to you for any reason for loss of profits or business, expected savings, loss of opportunity or interest, costs, damages, other damages or expenses. The seller gives no warranty in relation to any lot other than as set out above and, as far as the seller is allowed by law, all warranties from the seller to you, and all other obligations upon the seller which may be added to this agreement by law, are excluded.

#### 2 OUR AUTHENTICITY WARRANTY

We warrant, subject to the terms below, that the lots in our sales are authentic (our "authenticity warranty"). If, within 5 years of the date of the auction, you give notice to us that your lot is not authentic, subject to the terms below, we will refund the purchase price paid by you. The meaning of authentic can be found in the glossary at the end of these Conditions of Sale. The terms of the authenticity warranty are as follows:

(a) It will be honoured for claims notified within a period of 5 years from the date of the auction. After such time, we will not be obligated to honour the authenticity warranty.

authenticity warranty.

(b) It is given only for information shown in UPPERCASE type in the first line of the catalogue description (the "Heading"). It does not apply to any information other than in the Heading, even if shown in UPPERCASE type.

(c) The authenticity warranty does not apply to any Heading or part of a Heading which is qualified. Qualified means limited by a clarification in a lot's catalogue description or by the use in a Heading of one of the terms listed in the section titled Qualified Headings on the page of the catalogue headed "Important Notices and Explanation of Cataloguing Practice". For example, use of the term "ATTRIBUTED TO....." in a Heading means that the lot is in Christie's opinion probably a work by the named artist but no warranty is provided that the lot is the work of the named artist. Please read the full list of Qualified Headings and a lot's full catalogue description before bidding.

(d) The authenticity warranty applies to the **Heading** as amended by any **Saleroom Notice**.

(e) The authenticity warranty does not apply where scholarship has developed since the auction leading to a change in generally accepted opinion. Further it does not apply if the **Heading** either matched the generally accepted opinion of experts at the date of the sale or drew attention to any conflict of opinion.

(f) The authenticity warranty does not apply if the lot can only be shown not to be authentic by a scientific process which, on the date we published the catalogue, was not available or generally accepted for use, or which was unreasonably expensive or impractical, or which was likely to have damaged the lot.

(g) The benefit of the **authenticity warranty** is only available to the original buyer shown on the invoice for the **lot** issued at the time of the sale and only if, on the date of the notice of claim, the original buyer is the full owner of the **lot** and the **lot** is free from any claim, interest or restriction by anyone else. The benefit of this **authenticity warranty** may not be transferred to anyone else.

(h) In order to claim under the **authenticity** warranty you must:

(i) give us written notice of your claim within 5 years of the date of the auction. We may require full details and supporting evidence of any such claim;

(ii) at Christie's option, we may require you to provide the written opinions of two recognized experts in the field of the **lot** mutually agreed by you and us in advance confirming that the **lot** is not **authentic**. If we have any doubts, we reserve the right to obtain additional opinions at our expense; and

(iii) return the **lot** at your expense to the saleroom from which you bought it in the **condition** it was in at the time of sale.

(i) Your only right under this authenticity warranty is to cancel the sale and receive a refund of the purchase price paid by you to us. We will not, in any circumstances, be required to pay you more than the purchase price nor will we be liable for any loss of profits or business, loss of opportunity or value, expected savings or interest, costs, damages, other damages or expenses.

(j) **Books**. Where the **lot** is a book, we give an additional **warranty** for 14 days from the date of the sale that if on collation any **lot** is defective in text or illustration, we will refund your **purchase price**, subject to the following terms:

- (a) This additional warranty does not apply to:
- (i) the absence of blanks, half titles, tissue guards or advertisements, damage in respect of bindings. stains, spotting, marginal tears or other defects not affecting completeness of the text or illustration;
- (ii) drawings, autographs, letters or manuscripts, signed photographs, music, atlases, maps or periodicals;
- (iii) books not identified by title;
- (iv) lots sold without a printed estimate;
- (v) books which are described in the catalogue as sold not subject to return; or
- (vi) defects stated in any condition report or announced at the time of sale.
- (b) To make a claim under this paragraph you must give written details of the defect and return the **lot** to the sale room at which you bought it in the same **condition** as at the time of sale, within 14 days of the date of the sale.

#### (k) South East Asian Modern and Contemporary Art and Chinese Calligraphy and Painting.

In these categories, the authenticity warranty does not apply because current scholarship does not permit the making of definitive statements. Christie's does, however, agree to cancel a sale in either of these two categories of art where it has been proven the lot is a forgery. Christie's will refund to the original buyer the **purchase price** in accordance with the terms of Christie's **authenticity warranty**, provided that the original buyer gives us written notice of the claim within twelve (12) months of the date of the auction. We may require full details and supporting evidence of any such claim. Such evidence must be satisfactory to us that the **lot** is a forgery in accordance with paragraph E2(h)(ii) above and the lot must be returned to us in accordance with E2h(iii) above. Paragraphs E2(b), (c), (d), (e), (f) and (g) and (i) also apply to a claim under these categories.

#### (I) Chinese, Japanese and Korean artefacts (excluding Chinese, Japanese and Korean calligraphy, paintings, prints, drawings and jewellery).

In these categories, paragraph E2 (b) - (e) above shall be amended so that where no maker or artist is identified, the authenticity warranty is given not only for the Heading but also for information regarding date or period shown in UPPERCASE type in the second line of the catalogue description (the "Subheading"). Accordingly, all references to the Heading in paragraph E2 (b) - (e) above shall be read as references to both the Heading and the Subheading.

#### **PAYMENT**

- HOW TO PAY
- (a) Immediately following the auction, you must pay the purchase price being:
- the hammer price; and
- the **buyer's premium**; and
- (iii) any duties, goods, sales, use, compensating or service tax.

Payment is due no later than by the end of the 7th calendar day following the date of the auction (the "due date").

- (b) We will only accept payment from the registered bidder. Once issued, we cannot change the buyer's name on an invoice or re-issue the invoice in a different name. You must pay immediately even if you want to export the lot and you need an export licence. (c) You must pay for **lots** bought at Christie's in Hong Kong in the currency stated on the invoice in one of the following ways:
- Christie's is pleased to offer clients the option of viewing invoices, paying and arranging shipping online through MyChristie's. To log in, or if you have yet to create an online account, please go to: www. christies.com/MyChristies. While this service is available for most lots, payment and shipping must be arranged offline for some items. Please contact Post-Sale Services directly to coordinate.

(ii) Wire transfer

You must make payments to: **HSBC** Head Office 1 Queen's Road, Central, Hong Kong Bank code: 004 Account No. 062-305438-001 Account Name: Christie's Hong Kong Limited SWIFT: HSBCHKHHHKH

#### (iii) Credit Card.

We accept most major credit cards subject to certain conditions. We accept payments in person by credit card up to HK\$1,000,000 per auction sale although conditions and restrictions apply. China Union Pay is accepted with no limits on amounts. To make a cardholder not present' (CNP) payment, we accept payment up to HK\$1,000,000 per auction sale. CNP

payments cannot be accepted by all salerooms and are subject to certain restrictions. Details of the conditions and restrictions applicable to credit card payments are available from our Post-Sale Services Department, whose details are set out in paragraph (d) below.

We accept cash subject to a maximum of HKD 80,000 per buyer per year at our Post-Sale Services Department only (subject to conditions). (v) Banker's draft

You must make these payable to Christie's Hong Kong Limited and there may be conditions.

(vi) Cheque

You must make cheques payable to Christie's Hong Kong Limited. Cheques must be from accounts in Hong Kong dollar from a Hong Kong bank.

(d) You must quote the sale number, your invoice number and client number when making a payment. All payments sent by post must be sent to: Christie's, Post-Sale Services Department, 22nd Floor Alexandra House, 18 Chater Road, Central, Hong Kong.

(e) For more information please contact our Post-Sale Services Department by phone on +852 2760 1766 or email to postsaleasia@christies.com

#### TRANSFERRING OWNERSHIP TO YOU

You will not own the lot and ownership of the lot will not pass to you until we have received full and clear payment of the **purchase price**, even in circumstances where we have released the **lot** to the buyer.

#### TRANSFERRING RISK TO YOU

The risk in and responsibility for the **lot** will transfer to you from whichever is the earlier of the following: When you collect the lot; or

(b) At the end of the 30th day following the date of the auction or, if earlier, the date the lot is taken into care by a third party warehouse unless we have agreed otherwise with you in writing

#### WHAT HAPPENS IF YOU DO NOT PAY

(a) If you fail to pay us the **purchase price** in full by the **due date**, we will be entitled to do one or more of the following (as well as enforce our rights under paragraph F5 and any other rights or remedies we have by law):

to charge interest from the due date at a rate of 7% a year above the 3-month HIBOR rate from time to time on the unpaid amount due;

(ii) we can cancel the sale of the lot. If we do this, we may sell the **lot** again, publically or privately on such terms we shall think necessary or appropriate, in which case you must pay us any shortfall between the purchase price and the proceeds from the resale. You must also pay all costs, expenses, losses, damages and legal fees we have to pay or may suffer and any shortfall in the seller's commission on the resale;

(iii) we can pay the seller an amount up to the net proceeds payable in respect of the amount bid by your default in which case you acknowledge and understand that Christie's will have all of the rights of the seller to pursue you for such amounts;

(iv) we can hold you legally responsible for the purchase price and may begin legal proceedings to recover it together with other losses, interest, legal fees and costs as far as we are allowed by law;

(v) we can take what you owe us from any amounts which we or any company in the Christie's Group may owe you (including any deposit or other partpayment which you have paid to us);

(vi) we can, at our option, reveal your identity and contact details to the seller;

(vii) we can reject at any future auction any bids made by you or on your behalf or to obtain a deposit from you before accepting any bids;

(viii)to exercise all the rights and remedies of a person holding security over any property in our possession owned by you, whether by way of pledge, security interest or in any other way as permitted by the law of the place where such property is located. You will be deemed to have granted such security to us and we may retain such property as collateral security for your obligations to us; and

(ix) we can take any other action we see necessary or appropriate.

(b) If you owe money to us or to another Christie's **Group** company, we can use any amount you do pay, including any deposit or other part-payment you have made to us, or which we owe you, to pay off any amount you owe to us or another **Christie's Group** company for any transaction.

(c) If you make payment in full after the **due date**, and we choose to accept such payment we may charge you storage and transport costs from the date that is 31 calendar days following the auction in accordance with paragraphs G(d)(i) and (ii). In such circumstances paragraph G(d)(iv) shall apply.

#### 5 KEEPING YOUR PROPERTY

If you owe money to us or to another Christie's **Group** company, as well as the rights set out in F4 above, we can use or deal with any of your property we hold or which is held by another Christie's Group company in any way we are allowed to by law. We will only release your property to you after you pay us or the relevant **Christie's Group** company in full for what you owe. However, if we choose, we can also sell your property in any way we think appropriate. We will use the proceeds of the sale against any amounts you owe us and we will pay any amount left from that sale to you. If there is a shortfall, you must pay us any difference between the amount we have received from the sale and the amount you owe us.

#### **COLLECTION AND STORAGE**

We ask that you collect purchased lots promptly following the auction (but note that you may not collect any lot until you have made full and clear payment of all amounts due to us).

(b) For information on collecting lots, Please contact Christie's Post-Sale Services Department on +852 2760 1766 / Email: postsaleasia@christies.com

(c) If you do not collect any **lot** promptly following the auction we can, at our option, remove the **lot** to another Christie's location or an affiliate or third

party warehouse.
(d) If you do not collect a **lot** by the end of the 30th day following the date of the auction, unless otherwise agreed in writing:

we will charge you storage costs from that date. (ii) we can, at our option, move the lot to or within an affiliate or third party warehouse and charge you transport costs and administrative fees for doing so. (iii) we may sell the lot in any commercially reasonable way we think appropriate.

(iv) the storage terms which can be found at www. christies.com/storage shall apply.

(v) nothing in this paragraph is intended to limit our rights under paragraph F4.

#### TRANSPORT AND SHIPPING TRANSPORT AND SHIPPING

We will enclose a transport and shipping form with each invoice sent to you. You must make all transport and shipping arrangements. However, we can arrange to pack, transport and ship your property if you ask us to and pay the costs of doing so. We recommend that you ask us for an estimate, especially for any large items or items of high value that need professional packing before you bid. We may also suggest other handlers, packers, transporters or experts if you ask us to do so. For more information, please contact Christie's Post-Sale Services Department by phone on +852 2760 1766 or email to postsaleasia@christies.com. We will take reasonable care when we are handling, packing, transporting and shipping a lot. However, if we recommend another company for any of these purposes, we are not responsible for their acts, failure to act or neglect.

#### 2 EXPORT AND IMPORT

Any **lot** sold at auction may be affected by laws on exports from the country in which it is sold and the import restrictions of other countries. Many countries require a declaration of export for property leaving the country and/or an import declaration on entry of property into the country. Local laws may prevent you from importing a lot or may prevent you selling a lot in the country you import it into

We will not be obliged to cancel your purchase and refund the **purchase price** if your **lot** may not be exported, imported or it is seized for any reason by a government authority. It is your responsibility to determine and satisfy the requirements of any applicable laws or regulations relating to the export or import of any **lot** you purchase.

(a) You alone are responsible for getting advice

about and meeting the requirements of any laws or regulations which apply to exporting or importing any lot prior to bidding. If you are refused a licence or there is a delay in getting one, you must still pay us in full for the **lot**. We may be able to help you apply for the appropriate licences if you ask us to and pay our fee for doing so. However, we cannot guarantee that you will get one. For more information, please contact Christie's Post-Sale Services Department by phone on +852

2760 1766 or email to postsaleasia@christies.com.

(b) You alone are responsible for any applicable taxes, tariffs or other government-imposed charges relating to the export or import of the **lot**. If Christie's exports or imports the lot on your behalf, and if Christie's pays these applicable taxes, tariffs or other government-imposed charges, you agree to refund that amount to Christie's.

#### (c) Lots made of protected species

Lots made of or including (regardless of the percentage) endangered and other protected species of wildlife are marked with the symbol - in the catalogue. This material includes, among other things, ivory, tortoiseshell, crocodile skin, rhinoceros horn, whalebone certain species of coral, and rosewood. You should check the relevant customs laws and regulations before bidding on any lot containing wildlife material if you plan to import the lot into another country. Several countries refuse to allow you to import property containing these materials. and some other countries require a licence from the relevant regulatory agencies in the countries of exportation as well as importation. In some cases, the lot can only be shipped with an independent scientific confirmation of species and/or age and you will need to obtain these at your own cost. If a lot contains elephant ivory, or any other wildlife material that could be confused with elephant ivory, (for example, mammoth ivory, walrus ivory, helmeted hornbill ivory) please see further important information in paragraph (c) if you are proposing to import the lot into the USA. We will not be obliged to cancel your purchase and refund the **purchase price** if your **lot** may not be exported, imported or it is seized for any reason by a government authority. It is your responsibility to determine and satisfy the requirements of any applicable laws or regulations relating to the export or import of property containing such protected or regulated material.

#### (d) US import ban on African elephant ivory

The USA prohibits the import of ivory from the African elephant. Any lot containing elephant ivory or other wildlife material that could be easily confused with elephant ivory (for example, mammoth ivory, walrus ivory, helmeted hornbill ivory) can only be imported into the US with results of a rigorous scientific test acceptable to Fish & Wildlife, which confirms that the material is not African elephant ivory. Where we have conducted such rigorous scientific testing on a lot prior to sale, we will make this clear in the lot description. In all other cases, we cannot confirm whether a lot contains African elephant ivory, and you will buy that lot at your own risk and be responsible for any scientific test or other reports required for import into the USA at your own cost. If such scientific test is inconclusive or confirms the material is from the African elephant, we will not be obliged to cancel your purchase and refund the purchase price. (e) Lots of Iranian origin

Some countries prohibit or restrict the purchase and/ or import of Iranian-origin "works of conventional craftsmanship" (works that are not by a recognized artist and/or that have a function, for example: carpets, bowls, ewers, tiles, ornamental boxes). For example, the USA prohibits the import of this type of property and its purchase by US persons (wherever located). Other countries, such as Canada, only permit the import of this property in certain circumstances. As a convenience to buyers, Christie's indicates under the title of a **lot** if the **lot** originates from Iran (Persia). It is your responsibility to ensure you do not bid on or import a **lot** in contravention of the sanctions or trade embargoes that apply to you.

#### (f) Gold

Gold of less than 18ct does not qualify in all countries as 'gold' and may be refused import into those countries as 'gold'.

#### (g) Watches

Many of the watches offered for sale in this catalogue are pictured with straps made of endangered or protected animal materials such as alligator or crocodile. These lots are marked with the symbol  $\Psi$  in the catalogue. These endangered species straps are shown for display purposes only and are not for sale. Christie's will remove and retain the strap prior to shipment from the sale site. At some sale sites, Christie's may, at its discretion, make the displayed endangered species strap available to the buyer of the lot free of charge if collected in person from the sale site within 1 year of the date of the sale. Please check with the department for details on a particular lot.

For all symbols and other markings referred to in paragraph H2, please note that **lots** are marked as a convenience to you, but we do not accept liability for errors or for failing to mark **lots**.

#### I OUR LIABILITY TO YOU

(a) We give no warranty in relation to any statement made, or information given, by us or our representatives or employees, about any lot other than as set out in the authenticity warranty and, as far as we are allowed by law, all warranties and other terms which may be added to this agreement by law are excluded. The seller's warranties

contained in paragraph E1 are their own and we do not have any liability to you in relation to those warranties.

(b) (i) We are not responsible to you for any reason (whether for breaking this agreement or any other matter relating to your purchase of, or bid for, any lot) other than in the event of fraud or fraudulent misrepresentation by us or other than as expressly set out in these conditions of sale; and

(ii) We do not give any representation, warranty or guarantee or assume any liability of any kind in respect of any lot with regard to merchantability, fitness for a particular purpose, description, size, quality, condition, attribution, authenticity, rarity, importance, medium, provenance, exhibition history, literature, or historical relevance. Except as required by local law, any warranty of any kind is excluded by this paragraph.
(c) In particular, please be aware that our written and telephone bidding services, Christie's LIVE™, condition reports, currency converter and saleroom video screens are free services and we are not responsible to you for any error (human or otherwise), omission, breakdown, or delay, unavailability, suspension or termination of any of these services.
(d) We have no responsibility to any person other than a buyer in connection with the purchase of any lot.

(e) If, in spite of the terms in paragraphs (a) to (d) or E2(i) above, we are found to be liable to you for any reason, we shall not have to pay more than the **purchase price** paid by you to us. We will not be responsible to you for any reason for loss of profits or business, loss of opportunity or value, expected savings or interest, costs, damages, or expenses.

#### J OTHER TERMS

#### OUR ABILITY TO CANCEL

In addition to the other rights of cancellation contained in this agreement, we can cancel a sale of a **lot** if we reasonably believe that completing the transaction is, or may be, unlawful or that the sale places us or the seller under any liability to anyone else or may damage our reputation.

#### 2 RECORDINGS

We may videotape and record proceedings at any auction. We will keep any personal information confidential, except to the extent disclosure is required by law. However, we may, through this process, use or share these recordings with another **Christie's Group** company and marketing partners to analyse our customers and to help us to tailor our services for buyers. If you do not want to be videotaped, you may make arrangements to make a telephone or written bid or bid on Christie's LIVE™ instead. Unless we agree otherwise in writing, you may not videotape or record proceedings at any auction.

#### 3 COPYRIGHT

We own the copyright in all images, illustrations and written material produced by or for us relating to a **lot** (including the contents of our catalogues unless otherwise noted in the catalogue). You cannot use them without our prior written permission. We do not offer any guarantee that you will gain any copyright or other reproduction rights to the **lot**.

#### 4 ENFORCING THIS AGREEMENT

If a court finds that any part of this agreement is not valid or is illegal or impossible to enforce, that part of the agreement will be treated as being deleted and the rest of this agreement will not be affected.

#### 5 TRANSFERRING YOUR RIGHTS AND RESPONSIBILITIES

You may not grant a security over or transfer your rights or responsibilities under these terms on the contract of sale with the buyer unless we have given our written permission. This agreement will be binding on your successors or estate and anyone who takes over your rights and responsibilities.

#### 6 TRANSLATIONS

If we have provided a translation of this agreement, we will use the English version in deciding any issues or disputes which arise under this agreement.

#### 7 PERSONAL INFORMATION

We will hold and process your personal information and may pass it to another **Christie's Group** company for use as described in, and in line with, our privacy policy at **www.christies.com**. If you are a resident of California you can see a copy of our California Consumer Privacy Act statement at <a href="https://www.christies.com/about-us/contact/copa.">https://www.christies.com/about-us/contact/copa.</a>

#### 8 WAIVER

No failure or delay to exercise any right or remedy provided under these Conditions of Sale shall constitute a waiver of that or any other right or remedy, nor shall it prevent or restrict the further exercise of that or any other right or remedy. No single or partial exercise of such right or remedy shall prevent or restrict the further exercise of that or any other right or remedy.

#### 9 LAW AND DISPUTES

The rights and obligations of the parties with respect to these Conditions of Sale, the conduct of the auction and any matters connected with any of the foregoing shall be governed and interpreted by the Hong Kong laws. By bidding at auction, whether present in person or by agent, by written bid, telephone or other means, the buyer shall be deemed to have accepted these Conditions and submitted, for the benefit of Christie's, to the exclusive jurisdiction of the Hong Kong courts, and also accepted that Christie's also has the right to pursue remedies in any other jurisdiction in order to recover any outstanding sums due from the buyer.

#### 10 REPORTING ON WWW.CHRISTIES.COM

Details of all **lots** sold by us, including **catalogue descriptions** and prices, may be reported on **www.christies.com**. Sales totals are **hammer price** plus **buyer's premium** and do not reflect costs, financing fees, or application of buyer's or seller's credits. We regret that we cannot agree to requests to remove these details from **www.christies.com**.

#### K GLOSSARY

auctioneer: individual auctioneer and/or Christie's.
authentic: a genuine example, rather than a copy or forgery of:

(i) the work of a particular artist, author or manufacturer, if the **lot** is described in the **Heading** as the work of that artist, author or manufacturer;

(ii) a work created within a particular period or culture, if the **lot** is described in the **Heading** as a work created during that period or culture;

(iii) a work for a particular origin source if the **lot** is described in the **Heading** as being of that origin or source: or

(iv) in the case of gems, a work which is made of a particular material, if the **lot** is described in the **Heading** as being made of that material.

**authenticity warranty:** the guarantee we give in this agreement that a **lot** is **authentic** as set out in section E2 of this agreement.

**buyer's premium :** the charge the buyer pays us along with the **hammer price**.

catalogue description: the description of a lot in the catalogue for the auction, as amended by any saleroom notice.

**Christie's Group:** Christie's International Plc, its subsidiaries and other companies within its corporate group.

condition: the physical condition of a lot.

due date: has the meaning given to it paragraph F1(a). estimate: the price range included in the catalogue or any saleroom notice within which we believe a lot may sell. Low estimate means the lower figure in the range and high estimate means the higher figure. The mid estimate is the midpoint between the two.

**hammer price :** the amount of the highest bid the **auctioneer** accepts for the sale of a **lot**.

**Heading:** has the meaning given to it in paragraph E2. **lot:** an item to be offered at auction (or two or more items to be offered at auction as a group).

other damages: any special, consequential, incidental or indirect damages of any kind or any damages which fall within the meaning of 'special', 'incidental' or 'consequential' under local law.

**purchase price:** has the meaning given to it in paragraph F1(a).

**provenance:** the ownership history of a **lot**.

qualified: has the meaning given to it in paragraph E2 and Qualified Headings means the section headed Qualified Headings on the page of the catalogue headed 'Important Notices and Explanation of Cataloguing Practice'.

**reserve :** the confidential amount below which we will not sell a **lot**.

saleroom notice: a written notice posted next to the lot in the saleroom and on www.christies.com, which is also read to prospective telephone bidders and notified to clients who have left commission bids, or an announcement made by the auctioneer either at the beginning of the sale, or before a particular lot is auctioned. Subheading: has the meaning given to it in paragraph E2.

**UPPER CASE type:** means having all capital letters. **warranty:** a statement or representation in which the person making it guarantees that the facts set out in it are correct.

#### 業務規定・買方須知

#### 業務規定

業務規定和重要通知及目錄編列方法之說明列明佳士得拍賣刊載在本目錄中**拍賣品**的條款。

通過登記競投和/或在拍賣會中競投即表示您同意接受這些條款,因此,您須在競投之前仔細閱讀這些條款。下述粗體字體詞語的解釋在尾部詞匯表列明。

除非佳士得擁有**拍賣品**所有權(以△標示), 佳十得為賣方的代理人。

#### A. 拍賣之前

#### 1. 拍賣品描述

- (a) **目錄描述**部分使用的某些詞匯有特殊意義。詳情請見構成條款部分的重要通知及目錄編列方法之說明。對目錄內的標識的解釋,請見本目錄內"本目錄中使用的各類標識"。
- (b) 本公司在本目錄中對任何**拍賣品**的描述,拍賣品狀况報告及其它陳述(不管是口頭還是書面),包括拍賣品性質或狀况、藝術家、時期、材料、概略尺寸或來源均屬我們意見之表述,而不應被作為事實之陳述。我們不像專業的歷史學家及學者那樣進行深入的研究。所有的尺寸及重量僅為粗略估計。
- 2. 對於**拍賣品**描述佳士得所負的責任 我們不對**拍賣品**的性質提供任何保證,除了 下述第 E2 段的**真品保證**以及第 I 段另有約 定。

#### 3. 狀况

- (a) 在我們拍賣會上拍賣的**拍賣品狀况**可因年代、先前損壞、修復、修理及損耗等因素而差異甚大。其性質即意味著幾乎不可能處於完美的**狀况。拍賣品**是按照其在拍賣之時的情况以"現狀"出售,而且不包括佳士得或賣方的任何陳述或保證或對於**狀况**的任何形式的責任承擔。
- (b) 在本目錄條目或狀況報告中提及狀況不等同於對狀況的完整描述,圖片可能不會清晰展示出拍賣品。拍賣品的色彩和明暗度在印刷品或屏幕上看起來可能可與實體檢查時的情況不同。狀況報告可協助您評估拍賣品的狀況,僅作為指可方,狀況報告為免費提供,僅作為指引可能,狀況報告提供了數,內在瑕疵、修復或維護人員。出於這品以會與實體,因為我們的僱員不是,他復或維護人員檢查拍賣品或您自己已來的專業意見。買方有責任確保自己已來來及求提供、收悉及考慮了任何狀況報

#### 4. 拍賣之前檢查拍賣品

(a) 如果您計劃競投一件**拍賣品**,應親自或 通過具有專業知識之代表檢視,以確保

- 您接受**拍賣品**描述及**狀况**。我們建議您 從專業修復人員或其它專業顧問那裏索 取意見。
- (b) 拍賣之前的檢視免費向公衆開放。在拍 賣之前的檢視或通過預約,我們的專家 可在場回答問題。

#### 5. 估價

估價是基於拍賣品的狀況、稀有程度、質量、來源及類似物品的近期拍價決定。估價可能會改變。您或任何其他人在任何情况下都不可能依賴估價,將其作為拍賣品的實際售價的預測或保證。估價不包括買方酬金或任何適用的稅費。估價可能以拍賣場當地貨幣以外的貨幣顯示並僅作指引。本目錄使用的貨幣兌換率是根據最貼近目錄付印時的兌換率設定,所以可能與拍賣當日兌換率有差別。

#### 6. 撤回

佳士得有權單方面决定在**拍賣品**拍賣過程中或拍賣之前的任何時間將**拍賣品**撤回。佳士得無須然任何撤回决定向您承擔責任。

#### 7 珠寶

- (a)有色寶石(如紅寶石、藍寶石及綠寶石)可能經過處理以改良外觀,包括加熱及上油等方法。這些方法都被國際珠寶行業認可,但是經處理的寶石的硬度可能會降低及/或在日後需要特殊的保養。
- (b) 所有類型的實石均可能經過某些改良處理。如果某件拍賣品沒有報告,您可以在拍賣日之前至少提前三周向我們要求實石鑒定報告,報告的費用由您支付。
- (c) 我們不會為每件拍賣的寶石拿取鑒定報告。若我們有從國際認可的寶石鑒定實驗室取得鑒定報告,我們會在目錄中提及。從美國寶石鑒定實驗室發出的鑒定報告會描述對寶石的改良或處理。歐洲寶石鑒定實驗室的報告僅在我們要求的時候,才會提及對寶石的改良及處理,但是該報告會確認該寶石沒有被改良克處理。因各實驗室使用方法和技術的程度或處理是不整定實驗室僅對報告作出日定或處理是不整定實驗室僅對報告作出日之前實驗室所知悉的改進及處理進行報生。
- (d) 對於珠寶銷售來說,**估價**是以寶石鑒定報 告中的信息為基礎,如果沒有報告,就會 認為寶石可能已經被處理或提升過。

#### 8 締結

(a) 幾乎所有的鐘錶在使用期內都被修理過,可能都含有非原裝零部件。我們不能保證任何鐘錶的任何個別零部件都是原裝。被陳述為"關聯"字樣的錶帶不是原裝錶的部分,可能不是真品。拍賣的鐘可能跟隨沒有鐘擺、鐘錘或鑰匙出售。

- (b) 收藏家等級的鐘錶經常有非常精細複雜的機械構造,可能需要一般保養服務、 更換電池或進一步的修理工作,而這些 都由買方負責。我們不**保證**每一隻鐘錶 都是在良好運作狀態。除非目錄中有提 及,我們不提供證書。
- (c) 大多數的錶都被打開過查看機芯的型號 及質量。因為這個原因,帶有防水錶殼 的錶可能不能防水,在使用之前我們建 議您讓專業鐘錶師事先檢驗。

手錶及錶帶的拍賣及運送方面的重要信息, 請見第 H2(f) 段。

#### B. 登記競投

#### 1. 新競投人

- (a) 如果這是您第一次在佳士得競投,或者您曾參與我們的拍賣,但在過去兩年內未曾從任何佳士得拍賣場成功競投過任何東西,您必須在拍賣之前至少 48 個小時登記,以給我們足够的時間來處理及批准您的登記。我們有權單方面不允許您登記成為競投人。您需提供以下資料:
  - (i) 個人客戶:帶有照片的身份證明(駕 照執照、國民身份證或護照)及(如 果身份證文件上沒有顯示現時住址 資料)現時住址證明,如:用事業 帳單或銀行月結單。
  - (ii)公司客戶:顯示名稱及注冊地址的公司注冊證明或類似文件,公司地址證明,被授權競投者附有相片的身份證文件,由法定代表人簽署及蓋有公司章(若有)的競投授權書,以及列出所有董事和受益股東的文件證明。
  - (iii) 信托、合夥、離岸公司及其它業務 結構,請提前聯繫我們商談要求。
- (b) 我們可能要求您向我們提供財務證明及/或押金作為許可您競投的條件。如需幫助,請聯繫我們的客戶服務部: +852 2760 1766。

#### 2. 再次參與競投的客人

我們可選擇要求您提供以上 B1(a) 段所提及的現時身份證明,財務證明及/或押金作為許可您競投的條件。如果您過去兩年中沒有從我們的拍賣會成功投得**拍賣品**,或者您本次擬出價金額高於過往,請聯繫我們的投標部:+852 2978 9910 或電郵至bidsasia@christies.com

#### 3. 如果您未能提供正確的文件

如果我們認為,您未能滿足我們對競投者身份及登記手續的要求,包括但不限於完成及滿足本公司可能要求進行的所有反洗黑錢和/或反恐佈主義財政審查,我們可能會不允許您登記競投,而如果您成功投得**拍賣品**,我們可能撤銷您與賣方之間的買賣合約。佳士得有權單方面決定所須的身份證明文件類別,作為滿足我們對競投者身份及登記手續的要求。

#### 4. 代表他人競投

- (a) 作為授權競投人:如果您代表他人競投, 在競投前,委託人需要完成以上的登記 手續及提供已簽署的授權書,授權您代 表其競投。
- (b) 作為隱名委托人的代理人:如果您以代理人身份為隱名委托人(最終的買方)進行競投,您同意承擔支付購買款項和所有其他應付款項的個人責任。並且,您保證:
  - (i) 您已經根據所有適用的反洗黑錢及 制裁法律對**拍賣品**的最終的買方進 行必要的客戶盡職調查,同意我們 依賴該盡職調查。並且,您將在不 少於 5 年的期間裏保存證明盡職調 查的文件和記錄。
  - (ii) 您在收到我們書面要求後可以將證明盡職調查的文件和記錄立即提供給獨立第三方審計人員即時查閱。我們不會向任何第三方披露上述文件和記錄,除非(1)它已經在公共領域存在,(2)根據法律要求須被披露,(3)符合反洗黑錢法律規定。
  - (iii) 您和最終的買方之間的安排不是為 了便於任何涉稅犯罪。
  - (iv) 您不知曉並且沒有理由懷疑用於結算的資金和任何犯罪收入有關或最終的買方因洗黑錢,恐怖活動或其他基於洗黑錢的犯罪而被調查,被起訴或被定罪。

除非競投人和佳士得在拍賣開始前書面同意 競投人僅作為佳士得認可並指定的第三方的 代理參與競投並且佳士得只會向該指定第三 方收取付款,競投人同意就繳付**購買款項**和 所有其他應付款項負上個人法律責任。

#### 5. 親自出席競投

如果您希望在拍賣現場競投,必須在拍賣舉行前至少 30 分鐘辦理登記手續,並索取競投號碼牌。如需協助,請聯繫客戶服務部: +852 2760 1766。

#### 6. 競投服務

下述的競投服務是為方便客戶而設,如果在 提供該服務出現任何錯誤(人為或其它), 遺漏或故障,佳士得均不負上任何責任。

#### (A) 電話競投

您必須在拍賣開始前至少 24 小時辨理申請電話競投。佳士得只會在能夠安排人員協助電話競投的情况下接受電話競投。估價低於港幣 30,000 元之拍賣品將不接受電話競投。若需要以英語外的其他語言進行競投,須儘早在拍賣之前預先安排。電話競投將可被錄音。以電話競投即代表您同意其對話被錄音。您同意電話競投受業務規定管限。

#### (B) 在 Christie's LIVE™ 網絡競投

在某些拍賣會,我們會接受網絡競投。請登入www.christies.com/livebidding,點擊"現場競投"圖標,瞭解如何從電腦聆聽及觀看拍賣及參與競投。網絡競投受業務規定及Christie's LIVE™使用條款的管限,詳情請見 https://www.christies.com/LiveBidding/OnlineTermsOfUse.aspx網站。

#### (C) 書面競投

您可於本目錄,任何佳士得辦公室或通過www.christies.com選擇拍賣並查看拍賣品

取得書面競投表格。您必須在拍賣開始前至少24小時提交已經填妥的書面競投表格。投標必須是以拍賣會當地的貨幣為單位。拍賣官將在參考底價後,合理地履行書面競投務求以可能的最低價行使書面標。如果您以書面競投一件沒有底價的拍賣品,而且沒有其他更高叫價,我們會為您以低端估價的50%進行競投;或如果您的書面標比上述更低,則以您的書面標的價格進行競投。如佳士得收到多個競投價相等的書面競投,而在拍賣時此等競投價乃該拍賣品之最高出價,則該拍賣品售給最先送達其書面競投書給本公司之競投人。

#### C. 舉行拍賣

#### 1. 進入拍賣現場

我們有權不允許任何人士進入拍賣場地,參 與拍賣,亦可拒絕接受任何競投。

#### 2. 底價

除非另外列明,所有拍賣品均有底價。不定 有底價的拍賣品,在拍賣品號碼旁邊用•標 記。底價不會高於拍賣品的低端估價。

#### 3. 拍賣官之酌情權

#### 拍賣官可以酌情選擇:

- (a) 拒絕接受任何競投;
- (b) 以其决定方式將競投提前或拖後,或改 變**拍賣品**的順序;
- (c) 撤回任何**拍賣品**;
- (d) 將任何**拍賣品**分開拍賣或將兩件或多件 **拍賣品**合併拍賣;
- (e) 重開或繼續競投,即便已經下槌;
- (f) 如果有關於競投的錯誤或者爭議,無論 是在拍賣時或拍賣後,選擇繼續拍賣、 決定誰是成功競投人、取消**拍賣品**的拍 賣,或是將**拍賣品**重新拍賣或出售。如 果您相信**拍賣官**在接受成功投標時存在 錯誤,您必須在拍賣日後3個工作天 內提供一份詳細記述您訴求的書面通 知。**拍賣官**將本著真誠考慮該訴求。如 果**拍賣官**在根據本段行使酌情權,在拍 賣完成後決定取消出售一件**拍賣品**,或 是將**拍賣品**重新拍賣或出售,**拍賣官**最 遲將在拍賣日後第7個日曆日結束前通 知成功競投人。**拍賣官**有最終決定權。 本段不在任何情況下影響佳士得依據本 業務規定中任何其他適用規定,包括第 B(3), E(2)(i), F(4) 及 J(1) 段中所列的 取消權,取消出售一件拍賣品的權利。

#### 4. 競投

#### **拍賣官**接受以下競投:

- (a) 拍賣會場參與競投的競投人;
- (b) 從電話競投人,通過 Christie's Live™ (如第 B6 部分所示)透過網絡競投的 競投人;
- (c) 拍賣之前提交佳士得的書面競投(也稱 為不在場競投或委托競投)。

#### 5. 代表賣方競投

拍賣官可選擇代賣方競投的方式連續競投或 以回應其他競投者的投標而競投的方式,直 至達到底價以下。拍賣官不會特別指明此乃 代表賣方的競投。拍賣官不會代表賣方作出 相等於或高於底價之出價。就不設底價的拍 賣品,拍賣官通常會以低端估價的 50% 開始拍賣。如果在此價位沒有人競投,拍賣官可以自行斟酌將價格下降繼續拍賣,直至有人競投,然後從該價位向上拍賣。如果無人競投該拍賣品,拍賣官可視該拍賣品為流拍拍賣品。

#### 6. 競投價遞增幅度

競投通常從低於**低端估計**開始,然後逐步增加(競投價遞增幅度)。拍賣官會自行决定競投開始價位及遞增幅度。本目錄內的書面競投表格上顯示的是一般遞增幅度,僅供閣下參考。

#### 7. 貨幣兌換

拍賣會的顯示板,Christie's Live™ 和佳士得網站可能會以拍賣場當地貨幣外的主要貨幣來展示競投。任何佳士得使用的兌換率僅作指引,佳士得並不受其約束。對於在提供該服務出現的任何錯誤(人為或其它),遺漏或故障,佳士得並不負責。

#### 8. 成功競投

除非拍賣官决定使用以上 C3 段中的酌情權,拍賣官下槌即表示對最終競投價之接受。這代表賣方和成功競投人之間的買賣合約之訂立。我們僅向已登記的成功競投人開具發票。拍賣後我們會以郵寄及 / 或電子郵件方式發送發票,但我們並不負責通知閣下競投是否成功。如果您以書面競投,拍賣後您應儘快以電話聯繫我們或親臨本公司查詢競投結果,以避免產牛不必要的倉儲費用。

#### 9. 競投地法律

當您在我們的拍賣中競投時,您同意您會嚴格遵守所有在拍賣時生效並適用於相關拍賣場所的當地法律及法規。

#### D. 買方酬金及稅款

#### 1. 買方酬金

成功競投人除支付成交價外,亦同意支付本公司以該拍賣品成交價計算的買方酬金。酬金費率按每件拍賣品成交價首港幣5,000,000元之25%;加逾港幣5,000,000元以上至港幣50,000,000元部分之20%;加逾港幣50,000,000元以上之14.5%計算。

#### 2. 稅費

成功競投者將負責所有適用拍賣品稅費,包括增值稅,銷售或補償使用稅費或者所有基於成交價和買方酬金而產生的該等稅費。買方有責任查明並支付所有應付稅費。在任何情况下香港法律先决適用。佳士得建議您徵詢獨立稅務意見。

#### E. 保證

#### 1. 賣方保證

對於每件**拍賣品**, 賣方**保證**其:

- (a) 為拍賣品的所有人,或拍賣品的共有人 之一並獲得其他共有人的許可;或者, 如果賣方不是拍賣品的所有人或共有人 之一,其已獲得所有人的授權出售拍賣 品或其在法律上有權這麽做;
- (b)有權利將拍賣品的所有權轉讓給買方, 且該權利不負擔任何限制或任何其他人 之索賠權。

如果以上任何**保證**不確實,賣方不必支付超過您已向我們支付的**購買款項**(詳見以下第F1(a)段定義)的金額。賣方不會就閣下利潤上或經營的損失、預期存款、商機喪失或利息的損失、成本、賠償金、**其他賠償**或支出承擔責任。賣方不就任何**拍賣品**提供任何以上列舉之外的**保證**;只要法律許可,所有賣方對您做出的**保證**及法律要求加入本協議的所有其它賣方責任均被免除。

#### 2. 真品保證

在不抵觸以下條款的情況下,本公司保證我們拍賣的拍賣品都是真品(我們的"真品保證")。如果在拍賣日後的五年內,您通知我們您的拍賣品不是真品,在符合以下條款規定之下,我們將把您支付的購買款項退還給您。業務規定的詞匯表裏有對"真品"一詞做出解釋。真品保證條款如下:

- (a) 我們對在拍賣日後5年內提供的申索通知提供**真品保證**。此期限過後,我們不再提供**真品保證**。
- (b) 我們只會對本**目錄描述**第一行("標題")以大階字體注明的資料作出真品保證。除了標題中顯示的資料,我們不對任何標題以外的資料(包括標題以外的大階字體注明)作出任何保證。
- (c) 真品保證不適用有保留標題或任何有保留的部分標題。有保留是指受限於拍賣品目錄描述內的解釋,或者標題中有"重要通告及目錄編列方法之說明"內有保留標題的某些字眼。例如:標題中對"認為是…之作品"的使用指佳士得認為拍賣品可能是某位藝術家的作品,但是佳士得不保證該作品一定是該藝術家的作品。在競投前,請閱畢"有保留標題"列表及拍賣品的目錄描述。
- (d) **真品保證**適用於被**拍賣會通告**修訂後的標題。
- (e) 真品保證不適用於在拍賣之後,學術發展導致被普遍接受的學者或專家意見有所改變。此保證亦不適用於在拍賣日時,標題乎合被普遍接受的學者或專家的意見,或標題指出意見衝突的地方。
- (f) 如果**拍賣品**只有通過科學鑒定方法才能 鑒定出不是**真品**,而在我們出版目錄之 日,該科學方法還未存在或未被普遍接 納,或價格太昂貴或不實際,或者可能 損壞**拍賣品**,則**真品保證**不適用。
- (g) 真品保證僅適用於拍賣品在拍賣時由佳士得發出之發票之原本買方,且僅在申索通知做出之日原本買方是拍賣品的唯一所有人,且拍賣品不受其他申索權、權利主張或任何其他制約的限制。此真品保證中的利益不可以轉讓。

- (h) 要由索**直品保證**下的權利,您必須:
  - (i) 在拍賣日後5年內,向我們提供書面的申索通知。我們可以要求您提供上述申索完整的細節及佐證證據;
  - (ii) 佳士得有權要求您提供為佳士得及 您均事先同意的在此**拍賣品**領域被 認可的兩位專家的書面意見,確認 該**拍賣品**不是**真品**。如果我們有任 何疑問,我們保留自己支付費用獲 取更多意見的權利;及
  - (iii) 自費交回與拍賣時**狀況**相同的**拍賣** 品給佳士得拍賣場。
- (i) 您在本**真品保證**下唯一的權利就是取消該項拍賣及取回已付的**購買款項**。在任何情况下我們不須支付您超過您已向我們支付的**購買款項**的金額,同時我們也無須對任何利潤或經營損失、商機或價值喪失、預期存款或利息、成本、賠償金或**其他賠償**或支出承擔責任。
- (j) 書籍。如果拍賣品為書籍,我們提供額 外自拍賣日起為期 14 天的保證,如經 校對後,拍賣品的文本或圖標存有瑕 疵,在以下條款的規限下,我們將退回 已付的購買款項:
  - (a) 此額外**保證**不適用於:
    - (i) 缺少空白頁、扉頁、保護頁、廣告、及書籍鑲邊的破損、污漬、邊緣磨損或其它不影響文本及圖標完整性的瑕疵;
    - (ii) 繪圖、簽名、書信或手稿;帶有 簽名的照片、音樂唱片、地圖 冊、地圖或期刊;
    - (iii) 沒有標題的書籍;
    - (iv) 沒有標明**估價**的已出售**拍賣品**;
    - (v) 目錄中表明售出後不可退貨的 書籍;
    - (vi) **狀况**報告中或拍賣時公告的瑕疵。
  - (b) 要根據本條規定申索權利,您必須 在拍賣後的14天內就有關瑕疵提交 書面通知,並交回與拍賣時狀況相 同的拍賣品給當時進行拍賣的佳士 得拍賣行。
- (k) 東南亞現代及當代藝術以及中國書畫。 真品保證並不適用於此類別拍賣品。目前學術界不容許對此類別作出確實之說明,但佳士得同意取消被證實為價品之東南亞現代及當代藝術以及中國書畫拍賣品之交易。已付之購買款項則根據佳士得真品保證的條款退還予原本買方,但買方必須在拍賣日後12個月內,向我們提供書面的申索通知。我們可以要求您提供上述申索完整的細節及佐證證據。買方需按以上E2(h)(ii) 的規定提供令佳士得滿意的證據,證實該拍賣品為價品,及須按照以上E2(h)(iii) 規定交回拍賣品給我們。E2(b),(c),(d),(e),(f),(g) 和(i) 適用於此類別之申索。
- (I) 中國、日本及韓國工藝品(中國、日本及韓國書畫、版畫、素描及珠寶除外)。 以上 E2(b)-(e) 在此類別拍賣品將作修改如下。當創作者或藝術家未有列明時,我們不僅為標題作出真品保證,並會對本目錄描述第二行以大階字體注明的有關日期或時期的資料提供真品保證("副標題")。以上E2(b)-(e) 所有提及標題之處應被理解為標題及副標題。

#### F. 付款

- 1. 付款方式
- (a) 拍賣後,您必須立即支付以下購買款項:
  - (i) **成交價**;和
  - (ii) **買方酬金**;和
  - (iii) 任何關稅、有關貨物、銷售、使用、 補償或服務稅項。

所有款項須於拍賣後7個日曆天內悉數付清 ("**到期付款日**")。

- (b) 我們只接受登記競投人付款。發票一旦 開具,發票上買方的姓名不能更換,我 們亦不能以不同姓名重新開具發票。即 使您欲將**拍賣品**出口且需要出口許可 證,您也必須立即支付以上款項。
- (c) 在香港佳士得購買的**拍賣品**,您必須按 照發票上顯示的貨幣以下列方式支付:
  - (i) 佳士得通過"MyChristie's"網上賬戶為客人提供查看發票、付款及運送服務。您可直接登錄查詢(如您還未註冊線上賬戶,請登錄www.christies.com/MyChristies進行註冊)。本服務適用於大多數拍賣品,但仍有少數拍賣品的付款和運送安排不能通過網上進行。如需協助,請與售後服務部聯絡。
  - (ii) 電匯至: 香港上海匯豐銀行總行 香港中環皇后大道中 1 號 銀行編號: 004

賬號:062-305438-001 賬名:Christie's Hong Kong Limited 收款銀行代號:HSBCHKHHHKH

(iii) 信用卡

在符合我們的規定下,我們接受各種主要信用卡付款。本公司每次拍賣接受總數不超過港幣1,000,000元之現場信用卡付款,但有關條款及限制適用。以中國銀聯支付方式沒有金額限制。如要以"持卡人不在場"(CNP)的方式支付,本公司每次拍賣接受總數不超過港幣1,000,000元之付款。CNP付款不適用於所有佳士得拍賣場,並受某些限制。適用於信用卡付款的條款和限制可從佳士得的售後服務部獲取,詳情列於以下(d)段:

(iv) 現金

本公司每年只接受每位買方總數不超過港幣80,000元之現金付款(須受有關條件約束);

- (v) 銀行匯票 抬頭請注明「佳士得香港有限公司」 (須受有關條件約束);
- vi) 支票 抬頭請注明「佳士得香港有限公 司」。支票必須於香港銀行承兌並 以港幣支付。
- (d) 支付時請注明拍賣號碼、發票號碼及客戶 號碼;以郵寄方式支付必須發送到:佳士 得香港有限公司,售後服務部(地址:香 港中環遮打道18號歷山大廈22樓)。
- (e) 如要瞭解更多信息,請聯繫售後服務 部。電話 +852 2760 1766 或發電郵至 postsaleasia@christies.com。

#### 2. 所有權轉移

只有我們自您處收到全額且清算購買款項後,您才擁有拍賣品及拍賣品的所有權,即 使本公司已將拍賣品交給您。

#### 3. 風險轉移

**拍賣品**的風險和責任自以下日期起將轉移給您(以較早者為準):

- (a) 買方提貨日;
- (b) 自拍賣日起 30 日後,如較早,則**拍賣** 品由第三方倉庫保管之日起;除非另行協議。

#### 4. 不付款之補救辦法

- (a) 如果**到期付款日**,您未能全數支付**購買款項**,我們將有權行使以下一項或多項(及執行我們在 F5 段的權利以及法律賦予我們的其它權利或補救辦法):
  - (i) 自**到期付款**日起,按照尚欠款項, 收取高於香港金融管理局不時公布 的三個月銀行同業拆息加 7% 的利 息;
  - (ii) 取消交易並按照我們認為合適的條件對拍賣品公開重新拍賣或私下重新售賣。您必須向我們支付原來您應支付的購買款項與再次轉賣收益之間的差額。您也必須支付我們必須支付或可能蒙受的一切成本、費用、損失、賠償,法律費用及任何賣方酬金的差額;
  - (iii) 代不履行責任的買方支付賣方應付的拍賣淨價金額。您承認佳士得有賣方之所有權利向您提出追討。
  - (iv) 您必須承擔尚欠之購買款項,我們可就取回此金額而向您提出法律訴訟程序及在法律許可下向您索回之其他損失、利息、法律費用及其他費用;
  - (v) 將我們或**佳士得集團**任何公司欠下 您之款項(包括您已付給我們之任 何保證金或部分付款)用以抵銷您 未付之款項;
  - (vi) 我們可以選擇將您的身份及聯繫方 式披露給賣方;
  - (vii)在將來任何拍賣中,不允許您或您的代表作出競投,或在接受您競投之前向您收取保證金;
  - (viii)在拍賣品所處地方之法律許可之下,佳士得就您擁有並由佳士得管有的拍賣品作為抵押品並以抵押品持有人身份行使最高程度之權利及補救方法,不論是以典當方式、抵押方式或任何其他形式。您則被視為已授與本公司該等抵押及本公司可保留或售賣此物品作為買方對本公司及賣方的附屬抵押責任;和
  - (ix) 採取我們認為必要或適當的任何行動。
- (b) 將您已付的款項,包括保證金及其他部份 付款或我們欠下您之款項用以抵銷您欠我 們或其他**佳士得集團**公司的款項。
- (c) 如果您在**到期付款日**之後支付全部款項,同時,我們選擇接受該付款,我們可以自拍賣後第 31 日起根據 G(d)(i) 及(ii) 段向您收取倉儲和運輸費用。在此情况下,G(d)(iv) 段將適用。

#### 5. 扣押拍賣品

如果您欠我們或其他**佳士得集團**公司款項,除了以上 F4 段的權利,在法律許可下,我們可以以任何方式使用或處置您存於我們或其它**佳士得集團**公司的**拍賣品。**只有在您全額支付欠下我們或相關**佳士得集團**公司的全部款項後,您方可領取有關**拍賣品。**我們亦可選擇將您的**拍賣品**按照我們認為適當的方式出售。我們將用出售**拍賣品**的銷售所得來抵銷您欠下我們的任何款項,並支付您任何剩餘部分。如果銷售所得不足以抵扣,您須支付差額。

#### G. 提取及倉儲

- (a) 我們要求您在拍賣之後立即提取您購買的拍賣品(但請注意,在全數付清所有款項之前,您不可以提取拍賣品)。
- (b) 有關提取拍賣品之詳情,請聯繫售後服務部。電話 +852 2760 1766 或發電郵至: postsaleasia@christies.com
- (c) 如果您未在拍賣完畢立即提取您購買的拍 賣品,我們有權將**拍賣品**移送到其他佳士 得所在處或其關聯公司或第三方倉庫。
- (d) 如果您未在拍賣後第三十個日曆日或之前提取您購買的**拍賣品**,除非另有書面約定:
  - (i) 我們將自拍賣後第 31 日起向您收取 倉儲費用。
  - (ii) 我們有權將拍賣品移送到關聯公司或第三方倉庫,並向您收取因此產生的運輸費用和處理費用。
  - (iii) 我們可以按我們認為商業上合理且 恰當的方式出售**拍賣品**。
  - (iv) 倉儲的條款適用,條款請見 www. christies.com/storage。
  - (v) 本段的任何內容不限制我們在 F4 段下的權利。

#### H. 運送

#### 1. 運送

運送或付運表格會與發票一同發送給您。您 須自行安排**拍賣品**的運送和付運事宜。我們 也可以依照您的要求安排包裝運送及付運事 宜,但您須支付有關收費。我們建議您在競 投前預先查詢有關收費的估價,尤其是需要 專業包裝的大件物品或高額品。應您要求, 我們也可建議處理員、包裝、運輸公司或有 關專家。

詳情請聯繫佳士得售後服務部,電話:+852 2760 1766 或發郵件至 postsaleasia@christies.com。我們會合理謹慎處理、包裝、運輸**拍賣品**。若我們就上述目的向您推薦任何其他公司,我們不會承擔有關公司之行為,遺漏或疏忽引致的任何責任。

#### 2. 出口/進口

拍賣售出的任何拍賣品都可能受拍賣品售出國家的出口法律及其他國家的進口法律限制。

許多國家就拍賣品出境要求出口聲明及/ 或就拍賣品入境要求進口聲明。進口國當地 法律可能會禁止進口某些拍賣品或禁止拍賣 品在進口國出售。

我們不會因您所購買的拍賣品無法出口,進口或出於任何原因遭政府機構沒收而有責任 取消您的購買或向您退換購買款項。您應負 責確認並滿足任何法律或法規對出口或進口 您購買的**拍賣品**的要求。

- (a) 在競投前,您應尋求專業意見並負責滿足任何法律或法規對出口或進口**拍賣品**的要求。如果您被拒發許可證,或申請許可證延誤,您仍須全數支付**拍賣品**的價款。如果您提出請求,在我們能力範圍許可內,我們可以協助您申請所需許可證,但我們會就此服務向您收取費用。我們不保證必能獲得許可證。如欲了解詳情,請聯繫佳士得售後服務部,電話:+852 2760 1766 或發郵件至postsaleasia@christies.com。
- (b) 你應負責支付與**拍賣品**出口或進口有關的所有適用稅費、關稅或其他政府徵收的費用。如果佳士得為您出口或進口**拍賣品**,且佳士得支付了上述適用的稅費、關稅或其他政府徵收的費用,您同意向佳士得退還該筆費用。

#### (c) 含有受保護動植物料的拍賣品

由瀕臨絕種及其他受保護野生動植物製造或組成(不論分比率)的**拍賣品**在本目錄中註有「~〕號。

這些物料包括但不限於象牙、玳瑁殼、 鱷魚皮、犀牛角、鯨骨、某些珊瑚品種 及玫瑰木。若您有意將含有野生動物物 料的任何拍賣品進口至其他國家,您須 於競投該拍賣品之前了解有關海關法例 和規定。有些國家完全禁止含有這類物 料的物品進口,而其他國家則規定須向 出口及入口國家的有關管理機構取得許 可證。在有些情況下,**拍賣品**必須附有 獨立的物種的科學證明和/或年期證 明,方能裝運,而您須要自行安排上述 證明並負責支付有關的費用。如果一件 拍賣品含有象牙或其他可能和象牙相混 淆的野生動物材料(例如猛獁象牙,海 象象牙和犀鳥象牙) 且您計劃將上述拍 賣品進口到美國,請查看 (c) 段中之重 要信息。如果您無法出口,進口該拍賣 品或因任何原因拍賣品被政府部門查 收,我們沒有義務因此取消您的交易並 退回您的購買款項。您應負責確定並滿 足有關含有上述物料拍賣品進出口的法 律和規例要求。

#### (d) 美國關於非洲象象牙的進口禁令

美國禁止非洲象象牙進口美國。如果一 件拍賣品含有象牙或其他可能和象牙相 混淆的野生材料(例如猛獁象牙,海象 象牙和犀鳥象牙),其必須通過受美國 漁業和野生動物保護局認可的嚴格科學 測試確認該物料非非洲象象牙後方可進 口美國。如果我們在拍賣前對拍賣品已 經進行了該嚴格科學測試,我們會在**拍 賣品**陳述中清楚表明。我們一般無法確 認相關拍賣品的象牙是否來自非洲象。 您凡購買有關**拍賣品**並計畫將有關拍賣 品進口美國,必須承擔風險並負責支付 任何科學測試或其他報告的費用。有關 測試並無定論或確定物料乃非洲象象 牙,不被視為取消拍賣和退回**購買款項** 的依據。

#### (e) 源自伊朗的拍賣品

一些國家禁止或限制購買和/或進口源 自伊朗的"傳統工藝作品"(身份不明 確的藝術家作品及/或功能性作品。例 如:地毯、碗、大口水壺、瓷磚和裝飾盒)。美國禁止進口以上物品亦禁止美國民眾(不論所在處)購買以上物品。有些國家,例如加拿大則允許在某特定情况下可以進口上述物品。為方便買方,佳士得在源自伊朗(波期)的拍賣局下方特別注明。如您受以上制裁或貿易禁運限制,您須確保您不會競投或進口有關拍賣品,違反有關適用條例。

#### (f) 黃金

含量低於 18k 的黃金並不是在所有國家均被視為「黃金」,並可能被拒絕入口。

#### (a) 鐘錶

本目錄內有些錶帶的照片顯示該手錶配有瀕危及受保護動物 (如短吻鱷或鱷魚)的物料所製成的錶帶。這些拍賣品在本目錄內的拍賣品編號旁以 Ψ 符號顯示。這些錶帶只用來展示拍賣品並不作銷售用途。在運送手錶到拍賣地以外的地點前,佳士得會把上述錶帶拆除並予以保存。買方若在拍賣後一年內親身到拍賣所在地的佳士得提取,佳士得可酌情免費提供該展示用但含有瀕危及受保護動物物料的錶帶給買方。

H2 段中的標記是佳士得為了方便閣下 而在有關**拍賣品**附加的,附加標記時如 有任何錯誤或遺漏,佳士得恕不承擔任 何責任。

#### 1. 佳士得之法律責任

- (a)除了真品保證,佳士得、佳士得代理人或僱員,對任何拍賣品作任何陳述,或資料的提供,均不作出任何保證。在法律容許的最大程度下,所有由法律附加的保證及其他條款,均被排除在本協議外。在 E1 段中的賣方保證是由賣方提供的保證,我們對這些保證不負有任何責任。
- (b) (i) 除非我們以欺詐手段作出有欺詐成份的失實陳述或在本業務規定中另有明確說明,我們不會因任何原因對您負有任何責任(無論是因違反本協議,購買**拍賣品**或與競投相關的任何其它事項);和
  - (ii) 本公司無就任何拍賣品的可商售品質、是否適合某特定用途、描述、尺寸、質量、狀況、作品歸屬、真實性、稀有程度、重要性、媒介、來源、展覽歷史、文獻或歷史的關聯等作出任何陳述、保證或擔保或承擔任何責任。除非當地的法律強制要求,任何種類之任何保證,均被本段排除在外。
- (c) 請注意佳士得所提供的書面競投及電話競投服務、Christie's Live™、**狀况**報告、貨幣兌換顯示板及拍賣室錄像影像為免費服務,如有任何錯誤(人為或其它原因)、遺漏或故障或延誤、未能提供、暫停或終止,本公司不負任何責任。
- (d) 就**拍賣品**購買的事宜,我們僅對買方負有法律責任。
- (e) 如果儘管有(a)至(d)或 E2(i)段的規定, 我們因某些原因須對您負上法律責任, 我們不須支持超過您已支付的**購買款** 項。佳士得不須就任何利潤或經營損失、 商機喪失或價值、預期存款或利息、費 用、賠償或支出等原因負上任何責任。

#### J. 其它條款

#### 1. 我們的撤銷權

除了本協議中的其他撤銷權利,如果我們合 理地認為完成交易可能是違法行為或該銷售 會令我們或賣方向任何人負上法律責任或損 壞我們的名聲,我們可取消該**拍賣品**的拍賣。

#### 2. 錄像

我們可以錄影及記錄拍賣過程。除非按法律要求,我們會對個人信息加以保密。該資料可能用於或提供其他**佳士得集團**公司和市場夥伴以作客戶分析或以便我們向買方提供合適的服務。若您不想被錄影,你可透過電話或書面競投或者在 Christie's Live™ 競投。除非另有書面約定,您不能在拍賣現場錄像或錄音。

#### 3. 版權

所有由佳士得或為佳士得與**拍賣品**有關之製作之一切圖片、插圖與書面資料(除有特別注釋外,包括我們的目錄的內容)之版權均屬於佳士得所有。沒有我們的事先書面許可不得使用以上版權作品。我們沒有保證您就投得的**拍賣品**會取得任何版權或其他複製的權利。

#### 4. 效力

如本協議的任何部份遭任何法院認定為無效、不合法或無法執行,則該部分應被視為 删除,其它部分不受影響。

#### 5. 轉讓您的權利及責任

除非我們給予書面許可,否則您不得就您在本協議下的權利或責任設立任何抵押,亦不得轉讓您的權利和責任。本協議對您的繼任人、 遺產及任何承繼閣下責任的人具有約束力。

#### 6. 翻譯

如果我們提供了本協議的翻譯件,我們將會 使用英文版用於解決本協議項下產生的任何 問題以及爭議。

#### 7. 個人信息

您同意我們將持有並處理您的個人數據或信息,並將其交給其它**佳士得集團**公司用於我們的私隱政策所描述的,或與其相符的目的。您可以在 www.christies.com 上找到本公司私隱政策。如您是加利福尼亞州居民,您可在 https://www.christies.com/about-us/contact/ccpa 看到我們的《加州消費者隱私法》( California Consumer Privacy Act ) 聲明。

#### 8. 棄権

未能或延遲行使本業務規定下的權利或補償 不應被視為免除該權利或補償,也不應阻止 或限制對該權利或補償或其他權利或補償的 行使。單獨或部分行使該權力或補償不應阻 止或限制對其它權利或補償的行使。

#### 9. 法律及管轄權

各方的權利及義務,就有關本業務規定,拍 賣的行為及任何與上述條文的事項,均受香 港法律管轄及根據香港法律解釋。在拍賣競 投時,無論是親自出席或由代理人出席競 投,書面、電話及其他方法競投,買方則被 視為接受本業務規定,及為佳士得之利益而言,接受香港法院之排他性管轄權,並同時接納佳士得亦有權在任何其他司法管轄區提出索償,以追討買方拖欠的任何款項。

10. www.christies.com 的報告

售出的拍賣品的所有資料,包括目錄描述及價款都可在www.christies.com上查閱。銷售總額為成交價加上買方酬金,其不反映成本、財務費用或買方或賣方信貸申請情況。我們不能按要求將這些資料從www.christies.com網站上删除。

#### K. 詞匯表

拍賣官:個人拍賣官和/或佳士得。

**真品:**以下所述的真實作品,而不是複製品或屬品:

- a) 拍賣品在標題被描述為某位藝術家、作 者或製作者的作品,則為該藝術家、作 者或製造者的作品;
- b) **拍賣品**在**標題**被描述為是某時期或流派 創作的作品,則該時期或流派的作品;
- c) 拍賣品在標題被描述為某來源,則為該來源的作品;
- d) 以寶石為例,如**拍賣品在標題**被描述為 由某種材料製成,則該作品是由該材料 製成。

真品保證: 我們在本協議 E 段所詳述為拍 賣品提供的保證。

**買方酬金:**除了**成交價**,買方支付給我們的

**目錄描述:**拍賣目錄內對**拍賣品**的陳述(包括於拍賣場通過對有關陳述作出的任何更 改)。

**佳士得集團:**Christie's International Plc、 其子公司及集團的其它公司。

**狀况:拍賣品**的物理**狀况**。

到期付款日:如第 F1(a) 段所列出的意思。 估價:目錄中或拍賣場通告中列明的我們認 為拍賣品可能出售的價格範圍。低端估價指 該範圍的最低價;高端估價指該範圍的最高 價。中間估值為兩者的中間點。

成交價:拍賣官接受的拍賣品最高競投價。 標題:如 E2 段所列出的意思。

拍賣品:供拍賣的一件拍賣品(或作為一組 拍賣的兩件或更多的物件);

其他賠償:任何特殊、連帶、附帶或間接的 賠償或任何符合當地法律規定的"特殊"、 "附帶"或"連帶"賠償。

購買款項:如第 F1(a) 段的意思。

**來源:拍賣品**的所有權歷史。

有保留:如 E2 段中的意思;有保留標題則指目錄中"重要通知和目錄編制說明"頁中的"有保留標題"的意思。

底價:拍賣品不會以低於此保密底價出售。 拍賣場通告:張貼位於拍賣場內的拍賣品旁 或 www.christies.com 的書面通知(上述 通知內容會另行通知以電話或書面競投的客 戶),或拍賣會舉行前或拍賣某拍賣品前拍 賣官宣布的公告。

**副標題:**如 E2 段所列出的意思。 **大階字體:**指包含所有的大寫字母。

**保證:**陳述人或聲明人保證其所陳述或聲明 的事實為正確。

#### SYMBOLS USED IN THIS CATALOGUE

The meaning of words coloured in **bold** in this section can be found at the end of the section of the catalogue headed 'Conditions of Sale • Buying at Christie's'

Christie's has a direct financial interest in the lot. See Important Notices and Explanation of Cataloguing

Owned by Christie's or another **Christie's Group** company in whole or part. See Important Notices and Explanation of Cataloguing Practice.

Christie's has a direct financial interest in the lot and has funded all or part of our interest with the help of someone else. See Important Notices and Explanation of Cataloguing Practice.

Bidding by parties with an interest.

Lot offered without reserve which will be sold to the highest bidder regardless of the pre-sale estimate in the catalogue.

Lot incorporates material from endangered species which could result in export restrictions. See Section H2(b) of the Conditions of Sale • Buying at Christie's.

Lot incorporates material from endangered species that is not for sale and is shown for display purposes

Please note that lots are marked as a convenience to you and we shall not be liable for any errors in, or failure to, mark a lot.

#### 本目錄中使用的各類標識

本部份 制體字體詞語的涵義載於本目錄中題為"業務規定‧買方須知"一章的最後一頁。

佳士得對該拍賣品擁有直接經濟利益。請參 閱重要通知及目錄編列方法之說明。

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全部或部分由佳士得或其他佳士得集團公司 持有。請參閱重要通知及目錄編列方法之說 明。

佳士得對該**拍賣品**擁有直接經濟利益,佳士 得的全部或部分利益通過第三方融資。請參 閱重要通知及目錄編列方法之說明。

利益方的競投。

不設底價的拍賣品,不論其在本目錄中的售前 估價,該拍賣品將售賣給出價最高的競投人。

拍賣品含有瀕危物種的材料,可能受出口限 制。請參閱業務規定·買方須知第 H2(b) 段。

拍賣品含有瀕危物種的材料,只用作展示用 涂, 並不作銷售。

請注意對藏品的標記僅為您提供方便,本公 司不承擔任何因標示錯誤或遺漏標記的責任。

#### IMPORTANT NOTICES AND EXPLANATION OF CATALOGUING **PRACTICE**

#### **IMPORTANT NOTICES**

#### CHRISTIE'S INTEREST IN PROPERTY CONSIGNED FOR AUCTION

△ Property Owned in part or in full by Christie's From time to time, Christie's may offer a lot which it

owns in whole or in part. Such property is identified in the catalogue with the symbol  $\Delta$  next to its lot number. Where Christie's has an ownership or financial interest in every lot in the catalogue, Christie's will not designate each lot with a symbol, but will state its interest in the front of the catalogue.

#### <sup>o</sup> Minimum Price Guarantees:

On occasion, Christie's has a direct financial interest in the outcome of the sale of certain lots consigned for sale. This will usually be where it has guaranteed to the Seller that whatever the outcome of the auction, the Seller will receive a minimum sale price for the work. This is known as a minimum price guarantee. Where Christie's holds such financial interest we identify such lots with the symbol o next to the lot number.

#### ○◆ Third Party Guarantees/Irrevocable bids

Where Christie's has provided a Minimum Price Guarantee it is at risk of making a loss, which can be significant, if the lot fails to sell. Christie's therefore sometimes chooses to share that risk with a third party who agrees prior to the auction to place an irrevocable written bid on the lot. If there are no other higher bids, the third party commits to buy the lot at the level of their irrevocable written bid. In doing so, the third party takes on all or part of the risk of the lot not being sold. Lots which are subject to a third party guarantee arrangement are identified in the catalogue with the symbol 0.

Christie's compensates the third party in exchange for accepting this risk provided that the third party is not the successful bidder. The remuneration to the third party may either be based on a fixed fee or an amount calculated against the final hammer price. The third party may also bid for the lot above the irrevocable written bid. Where the third party is the successful bidder, the third party is required to pay the hammer price and the buyer's premium in full.

Third party guarantors are required by us to disclose to anyone they are advising their financial interest in any lots they are guaranteeing. However, for the avoidance of any doubt, if you are advised by or bidding through an agent on a lot identified as being subject to a third party guarantee you should always ask your agent to confirm whether or not he or she has a financial interest in relation to the lot.

#### 🏿 Bidding by parties with an interest

When a party with a direct or indirect interest in the lot who may have knowledge of the lot's reserve or other material information may be bidding on the lot, we will mark the lot with this symbol  $\mathbf{z}$ . This interest can include beneficiaries of an estate that consigned the lot or a joint owner of a lot. Any interested party that successfully bids on a lot must comply with Christie's Conditions of Sale, including paying the lot's full Buyer's Premium plus applicable taxes.

#### Post-catalogue notifications

In certain instances, after the catalogue has been published, Christie's may enter into an arrangement or become aware of bidding that would have required a catalogue symbol. In those instances, a pre-sale or pre-lot announcement will be made.

#### Other Arrangements

Christie's may enter into other arrangements not involving bids. These include arrangements where Christie's has made loans or advanced money to consignors or prospective purchasers or where Christie's has shared the risk of a guarantee with a partner without the partner being required to place an irrevocable written bid or otherwise participating in the bidding on the lot. Because such arrangements are unrelated to the bidding process they are not marked with a symbol in the catalogue.

Please see http://www.christies.com/financialinterest/ for a more detailed explanation of minimum price guarantees and third party financing arrangements.

#### **EXPLANATION OF CATALOGUING PRACTICE**

Terms used in a catalogue or lot description have the meanings ascribed to them below. Please note that all statements in a catalogue or lot description as to authorship, period, reign or dynasty are made subject to the provisions of the Conditions of Sale, including the Authenticity Warranty. Our use of these expressions does not take account of the condition of the lot or of the extent of any restoration. Buyers are advised to inspect the property themselves. Written condition reports are usually available on

A term and its definition listed under 'Qualified Headings' is a qualified statement as to authorship, period, reign or dynasty. While the use of this term is based upon careful study and represents the opinion of specialists, Christie's and the consignor assume no risk, liability and responsibility for the authenticity of authorship or of the lot being created in certain period, reign or dynasty of any lot in this catalogue described by this term, and the Authenticity Warranty shall not be available with respect to lots described using this term.

Discrepancy in the layout of information may appear between the catalogue description in English and its Chinese translation. We will use the English version of the catalogue description in deciding any

issue or disputes which arise under the Authenticity Warranty or the 'Qualified Headings'

- In Christie's opinion a work by the maker or artist
- e.g. A YIXING TEAPOT BY CHEN MINGYUAN KANGXI PERIOD (1662-1722) When a piece is, in Christie's opinion, of a certain period, reign or dynasty, its attribution appears in uppercase letters directly below the heading of the description of the lot. e.g. A BLUE AND WHITE BOWL QING DYNASTY, 18TH CENTURY
- If the date, period or reign mark mentioned in uppercase letters directly below the heading of the description of the lot states that the mark is of the period, then in Christie's opinion, the piece is of the date, period or reign of the mark. e.g. A BLUE AND WHITE BOWL

KANGXI SIX-CHARACTER MARK IN UNDERGLAZE BLUE AND OF THE PERIOD (1662-1722)

- When a piece is, in Christie's opinion, made no later than a certain period, reign or dynasty, its attribution appears in uppercase letters directly below the heading of the description of the lot and the term "AND EARLIER" appears.
  e.g. A JADE NECKLACE
  LIANGZHU CULTURE AND EARLIER, CIRCA
  - 3900-2300 BC
- If no date, period or reign mark is mentioned in uppercase letters directly below the heading of the description of the lot, in Christie's opinion it is of uncertain date or late manufacture. e.g. A BLUE AND WHITE BOWL

#### CHINESE CERAMICS AND WORKS OF ART **QUALIFIED HEADINGS**

When a piece is, in Christie's opinion, not of the period to which it would normally be attributed on stylistic grounds, this will be incorporated into the first line or the body of the text of the

- e.g. A BLUE AND WHITE MING-STYLE BOWL The Ming-style bowl is decorated with lotus scrolls..
- In Christie's qualified opinion, this object could be dated to the Kangxi period but there is a strong element of doubt. e.g. A BLUE AND WHITE BOWL POSSIBLY KANGXI PERIOD
- In Christie's opinion, this object is of a certain period, reign or dynasty. However, in Christie's qualified opinion, this object could belong to a particular culture but there is a strong element of doubt.

e.g. A JADE BLADE NEOLITHIC PERIOD, POSSIBLY DAWENKOU CULTURE

#### 重要通知及目錄編列方法之說明

#### 重要通知

#### 佳士得在受委託拍賣品中的權益

#### △部分或全部歸佳士得擁有的拍賣品

佳士得可能會不時提供佳士得集團旗下公司 全部或部分擁有之拍賣品。該等拍賣品在目 錄中於拍賣編號旁註有 △ 符號以資識別。如 果佳士得在目錄中每一項拍賣品中均有所有 權或經濟利益,佳士得將不會于每一項拍賣 品旁附注符號,但會于正文首頁聲明其權益。

#### 保證最低出售價

佳士得有時就某些受委托出售的拍賣品的拍 賣成果持有直接的經濟利益。通常為其向賣 方保證無論拍賣的結果如何,賣方將就拍賣 品的出售獲得最低出售價。這被稱為保證最 低出售價。該等拍賣品在目錄中於拍賣編號 旁註有 • 號以資識別。

#### •◆ 第三方保證 / 不可撤銷的競投

在佳士得已經提供最低出售價保證,如果拍 賣品未能出售,佳士得將承擔遭受重大損失 的風險。因此,佳士得有時選擇與同意在拍 賣之前就該拍賣品提交一份不可撤銷的書面 競投的第三方分擔該風險。如果沒有其他更 高的競價,第三方承諾將以他們提交的不可 撤銷的書面競投價格購買該拍賣品。第三方 因此承擔拍賣品未能出售的所有或部分風險。 該等拍賣品在目錄中注以符號◆◆以資識別。

第三方需要承擔風險,在自身不是成功競投 人的情況下,佳士得將給予酬金給第三方。 第三方的酬金可以是固定金額或基於成交價 計算的酬金。第三方亦可以就該拍賣品以超 過書面競投的價格進行競投。如果第三方成 功競投,第三方必須全額支付不可撤銷的成 交價及買方酬金。

我們要求第三方保證人向其客戶披露在給予 保證的拍賣品持有的經濟利益。如果您通過 顧問意見或委託代理人競投一件標示為有第 三方融資的拍賣品,我們建議您應當要求您 的代理人確認他 / 她是否在拍賣品持有經

#### ¤ 利益方的競投

當那些可能獲悉了拍賣品的底價或其他重要 信息對拍賣品擁有直接或間接權益的一方可 能進行競投時,我們會對該拍賣品附注符號 ¤。該利益可包括委託出售拍賣品的遺產受 益人或者拍賣品的共同所有人之一。任何成 功競得拍賣品的利益方必須遵守佳士得的業 務規定,包括全額支付拍賣品的買方酬金及 適用的稅費。

#### 目錄出版後通知

在有些情形下,在目錄出版後,佳士得可能 會達成某種安排或意識到有需要附注目錄符 號的競投。在此情況下,我們會在拍賣會前 或拍賣該項拍賣品前做出通知。

#### 其他安排

佳士得可能訂立與競投無關的協議。這些協議 包括佳士得向賣方或者潛在買方提供借款或 者預付金額或者佳士得與第三方分擔保證風 險,但並不要求第三方提供不可撤銷的書面 競投或參與拍賣品的競投。因為上述協議與 競投過程無關,我們不會在目錄中注以符號。

請登錄 http://www.christies.com/financialinterest/ 瞭解更多關於最低出售價保證以及 第三方融資安排的說明。

#### 目錄編列方法之說明

下列詞語於本目錄或拍賣品描述中具有以下 意義。請注意本目錄內或拍賣品描述中有關 創作者、時期、統治時期或朝代的所有陳述 均在符合本公司之業務規定,買方須知,包 括真品保證的條款下作出。該用詞的表達獨 立於拍賣品本身的狀況或任何程度的修復。 我們建議買方親身檢視拍賣品的狀況。佳士 得也可按要求提供書面狀況報告。

於本目錄「有保留的標題」下編列方法的詞 語及其定義為對拍賣品創作者、時期、統治 時期或朝代有所保留的陳述。該詞語之使 用,乃依據審慎研究所得之佳士得專家之意 見。佳士得及賣方對該詞語及其所陳述的本 目錄拍賣品之創作者或拍賣品於某時期、統 治時期或朝代內創作的真贗,並不承擔任何 風險、法律責任和義務。而真品保證條款, 亦不適用於以該詞語所描述的拍賣品。

目錄描述中資料的前後編排版面的英文版本 與中文翻譯可能出現偏差。我們將會使用英 文版本之目錄描述解決真品保證或「有保留 的標題」下產生的任何問題以及爭議。

佳士得認為是屬於該創作者或藝術家之 作品 例如: A YIXING TEAPOT BY CHEN **MINGYUAN** KANGXI PERIOD (1662-1722)

- 當作品描述標題的直接下方以英文大階 字體註明作品的歸屬,以佳士得之意見 認為,該作品屬於所註明之時期、統治 時期或朝代。
- 例如: A BLUE AND WHITE BOWL QING DYNASTY, 18TH CENTURY
- 如日期、時期或統治時期款識出現在作 品描述標題的直接下方並以英文大階字 體註明款識為屬於某時期,則以佳士得 之意見認為, 該作品乃款識所示之日 期、時期或統治時期之作品。
  - 例如: A BLUE AND WHITE BOWL KANGXI SIX-CHARACTER MARK IN UNDERGLAZE BLUE AND OF THE PERIOD (1662-1722)
- 作品之歸屬以英文大階字體在其標題描 述直接下方及以詞語「和更早」註明, 以佳士得之意見認為,該作品不遲於該 時期、統治時期或朝代創造 例如: A JADE NECKLACE LIANGZHU CULTURE AND EARLIER. CIRCA 3900-2300 BC
- 在作品描述標題的直接下方沒有以英文 大階字體註明日期、時期或統治時期款 識之作品,以佳士得之意見認為,該作 品之創作日期不詳或屬於較後時期創作

例如: A BLUE AND WHITE BOWL

#### 中國瓷器及工藝精品

#### 有保留的標題

以佳士得之意見認為,作品並非白歸屬 於基於其風格其通常被認為的時期,此 風格將會註明在描述的第一行或描述內 容中。

例 如: A BLUE AND WHITE MING-STYLE BOWL

The Ming-style bowl is decorated with lotus scrolls...

- 以佳士得有保留之意見認為作品可能或 為康熙時期但佳士得對此有強烈懷疑。 例如: A BLUE AND WHITE BOWL POSSIBLY KANGXI PERIOD
- 佳士得認為作品屬於某時期、統治時期 或朝代。但以佳士得有保留之意見認為, 作品可能屬於某文化但佳士得對此有強 烈懷疑。

例如: A JADE BLADE NEOLITHIC PERIOD, POSSIBLY DAWENKOU CULTURE

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Bidding generally starts below the low estimate and increases in steps, blof increments) of top to Cent. The auctioners will decide where the bidding should start and the bld increments. Written bids that do not conform to the increments as the blow may be lowered to the next bidding interval.

HK\$1,000 to HK\$2,000 by HK\$100s HK\$2,000 to HK\$3,000 by HK\$200s HK\$3,000 to HK\$5,000 by HK\$200,500,800

(i.e.: HK\$4.200, HK\$4.500, HK\$4.800)
HK\$5.000 to HK\$10.000 by HK\$500s
HK\$10.000 to HK\$10.000 by HK\$200s
HK\$20.000 to HK\$20.000 by HK\$2.000s
HK\$20.000 to HK\$50.000 by HK\$2.000.
K\$0.00
(i.e.: HK\$22.000 HK\$35.000, HK\$3.8000)
HK\$50.000 to HK\$100.000 by HK\$5.0000
HK\$100.000 to HK\$100.000 by HK\$10.000s

HK\$200,000 to HK\$300,000 by HK\$20,000s HK\$20,000 to HK\$500,000 by HK\$20,000, 50,000 \$1000 HK\$350,000, HK\$380,000) (i.e.: HK\$320,000, HK\$380,000) HK\$500,000 to HK\$1,000,000 by HK\$50,000s Above HK\$1,000,000 at auctioneer's discretion The auctioneer may vary the increments during the course of the auction at his or her own discretion.

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Bids Registration Form (Updated on April 2021)

# 佳士得 競投表格

競投牌號	
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200,000-300,000 港元 20,000 港元 300,000-500,000 港元 20,000, 50,000, 80,000 港元 (例320,000, 350,000, 380,000 港元 50,000 港元

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Alexandre Bigler, Carmen Shek Cerne, Janet Chang, Liang-Lin Chen, Fung Chiang, Isaac Choi, Betsy Chow, Jennie Chu, Emily Fisher, Georgina Hilton, Jacky Ho, Dexter How, Seiji Inami, Yunah Jung, Imogen Kerr, Elaine Kwok, Hak Jun Lee, Stephenie Leung, Ruben Lien, Georgina Liu, Gabrielle Mak, Sara Mao, Mindy Melrose, Lillian Ng, Jasmin Ngai, Jessie Or, Terence Poon, Jing Jing Qiao, Sonal Singh, Prapadavee Sophonpanich, Winsy Tsang, Nicole Wright, Alan Yip, Harriet Yu, Dina Zhang, Grace Zhuang

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Nga Lam Chan, Winifred Chan, Kelly Chang, Lesley Chen, Amy Cheng, Henry Cheng, Joanne Cheng, Terry Choi, Yanie Choi, Simon Chow, Eric Chung, Dai Dai, Helen Fung, Gigi Ho, Phybie Ho, Yiwen Huang, Chie Kawasaki, Masahiko Kuze, Annie Lee, Joyce Lee, Kevyn Leung, Caroline Liang, Louis Lin, Vicky Liu, Yu-Shan Lu, Tony Ng, Cissy Ngan, Benson Or, Stephanie Pang, Felix Pei, Zhongwei Qin, Joe So, CY Tang, Sherese Tong, Ada Tsui, Mandy Wang, Aaron Wong, Michael Xie, Maxwell Yao, Mia Zhang

19/10/2021

#### HONG KONG AUCTION CALENDAR

#### **FINE & RARE WINE AND SPIRITS**

Sale number: 19866
THURSDAY 25 NOVEMBER
11.00 AM

#### FINE & RARE WINE AND SPIRITS

Sale number: 19867 FRIDAY 26 NOVEMBER 10.30 AM

#### **HANDBAGS & ACCESSORIES**

Sale number: 19871 FRIDAY 26 NOVEMBER 2.00 PM

Viewing: 26 November

#### **IMPORTANT WATCHES**

Sale number: 19868 SATURDAY 27 NOVEMBER 11.00 AM

Viewing: 26 November

#### IMPORTANT WATCHES (AFTERNOON SESSION)

Sale number: 21185 SATURDAY 27 NOVEMBER 4.00 PM

Viewing: 26 November

#### HONG KONG MAGNIFICENT JEWELS

Sale number: 19870 SATURDAY 28 NOVEMBER

Viewing: 26-28 November

#### FINE CHINESE CLASSICAL PAINTINGS AND CALLIGRAPHY

Sale number: 20161 MONDAY 29 NOVEMBER 2.30 PM

Viewing: 26-29 November

#### FINE CHINESE MODERN AND CONTEMPORARY INK PAINTINGS

Sale number: 20162 TUESDAY 30 NOVEMBER 10.00 AM & 2.30 PM Viewing: 26-29 November

#### 20<sup>TH</sup> AND 21<sup>ST</sup> CENTURY ART EVENING SALE

Sale number: 16900 WEDNESDAY 1 DECEMBER

Viewing: 26 November - 1 December

#### 21ST CENTURY ART DAY SALE

Sale number: 16902 THURSDAY 2 DECEMBER 10.30 AM

Viewing: 26 November - 1 December

#### 20TH CENTURY ART DAY SALE

Sale number: 16901 THURSDAY 2 DECEMBER 12.30 PM

Viewing: 26 November - 1 December

THE CHANG WEI-HWA
COLLECTION OF ARCHAIC
JADES - SPRING AND AUTUMN &
WARRING STATES PERIODS

Sale number: 20196 FRIDAY 3 DECEMBER 10.30 AM

Viewing: 26 November - 2 December

#### THE SONGDE TANG COLLECTION - SONG DYNASTY CERAMICS

Sale number: 20195
FRIDAY 3 DECEMBER

Viewing: 26 November - 2 December

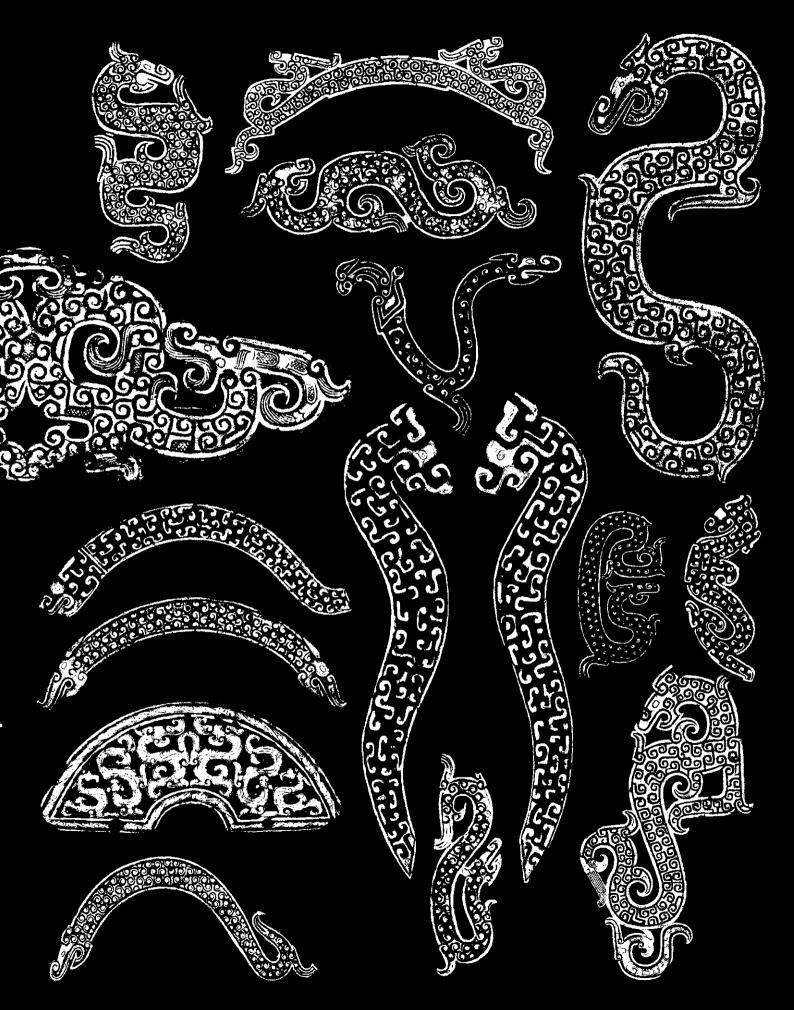
#### IMPORTANT CHINESE CERAMICS AND WORKS OF ART

Sale number: 20163 FRIDAY 3 DECEMBER 3.00 PM

Viewing: 26 November - 2 December

22/10/2021







### CHRISTIE'S 佳士得